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Abstract: Temperate mesophotic reefs (circalittoral and offshore circalittoral hard/firm habitats), extending between 40 and 300 m depth and hosting complex three-dimensional animal forests, are vulnerable habitats protected by international agreements. In order to evaluate and monitor the status of these ecosystems, the multi-parametric index Mesophotic Assemblages Conservation Status (MACS) was developed, composed by two independent components, the Index of Status (Is) and the Index of Impact (Ii). The Is includes six metrics, targeting conspicuous species diversity, basal layer and canopy composition, whilst the Ii is composed by seven metrics targeting canopy condition, silting levels, and marine litter. Underwater video transects recorded with a Remotely Operated Vehicle (ROV) along the Ligurian Sea offered the chance to test the index on a large geographic scale, comprehensive of four macro-areas and 24 sites subjected to different human pressures. Most of the Ligurian mesophotic communities investigated exhibited an overall moderate ecological status, with local critical situations mainly related to high fishing pressure. The MACS index represents a valuable tool for monitoring the status of mesophotic reefs, with a high potentiality to use ROV footages, as required by the EU Marine Strategy Framework Directive, the Habitats Directive and the guidelines of the Integrated Monitoring and Assessment Programme of the Mediterranean Sea and Coast of the Barcelona Convention.

## Highlights

- Temperate mesophotic reefs host diverse animal assemblages, vulnerable to fishing activities.
- The evaluation and the monitoring of their status is of primary interest, since these habitats provide goods for the society.
- A multiparametric index (MACS), based on ROV video footages and characterized by an ecosystem-based approach, is herein proposed and tested on a large dataset.
- Results show five different ecological status levels for the investigated sites, providing a valuable tool to monitor the health status of mesophotic reefs.

1 **Criteria to assess the environmental status of temperate mesophotic reefs**

2

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25 **Abstract**

26 Temperate mesophotic reefs (circalittoral and offshore circalittoral hard/firm habitats), extending  
27 between 40 and 300 m depth and hosting complex three-dimensional animal forests, are vulnerable  
28 habitats protected by international agreements. In order to evaluate and monitor the status of these  
29 ecosystems, the multi-parametric index Mesophotic Assemblages Conservation Status (MACS) was  
30 developed, composed by two independent components, the Index of Status ( $I_s$ ) and the Index of Impact  
31 ( $I_i$ ). The  $I_s$  includes six metrics, targeting conspicuous species diversity, basal layer and canopy  
32 composition, whilst the  $I_i$  is composed by seven metrics targeting canopy condition, silting levels, and  
33 marine litter. Underwater video transects recorded with a Remotely Operated Vehicle (ROV) along the  
34 Ligurian Sea offered the chance to test the index on a large geographic scale, comprehensive of four  
35 macro-areas and 24 sites subjected to different human pressures. Most of the Ligurian mesophotic  
36 communities investigated exhibited an overall moderate ecological status, with local critical situations  
37 mainly related to high fishing pressure. The MACS index represents a valuable tool for monitoring the  
38 status of mesophotic reefs, with a high potentiality to use ROV footages, as required by the EU Marine  
39 Strategy Framework Directive, the Habitats Directive and the guidelines of the Integrated Monitoring  
40 and Assessment Programme of the Mediterranean Sea and Coast of the Barcelona Convention.

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43 **Keywords:** reefs, ecological status, ROV, Marine Strategy Framework Directive, Mediterranean Sea.

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45 **Declaration of interest:** none.

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47

48 **1. Introduction**

49 The mesophotic environments, receiving less than 3% of the surface irradiance, embrace the  
50 continental shelf and the upper bathyal zone between 40 to 300 m depth and include both the  
51 “circalittoral” and the “offshore circalittoral” level 2 units of the marine component of the revised  
52 European EUNIS habitats classification (Evans et al., 2016). Explorations with Remotely Operated  
53 Vehicle (ROV) showed that they are extremely rich and diverse in terms of benthic ecosystems,  
54 hosting complex three-dimensional animal forests over biogenic and rocky reefs both in tropical  
55 environments (Lesser et al., 2009; Kahng et al., 2010) and at temperate latitudes (James et al., 2017;  
56 Bo et al., in press). The characterization of these ecosystems highlighted the importance of these  
57 animal-dominated habitats and their species, mainly represented by arborescent, structuring  
58 anthozoans, sponges and bryozoans (Lombardi et al., 2014; Gori et al., 2017; Maldonado et al., 2017).  
59 Some studies also identified the main sources of vulnerability for these habitats and these structuring  
60 taxa proved to be important indicators of stress conditions (Bo et al., 2014; Gori et al., 2017; Kaiser et  
61 al., 2018). Since these ecosystems provide goods and services for the society (Folkersen et al., 2018),  
62 understanding their functioning and assessing their health status are topics of primary interest.

63 The European Union Marine Strategy Framework Directive (European Commission, 2008) has been  
64 elaborated as a result of a growing international interest towards an ecosystem-based management of  
65 marine environments, including scientific-based reactive criteria. Eleven descriptors have been  
66 identified to describe the Good Environmental Status (European Commission, 2008; Van Hoey et al.,  
67 2010; Borja et al., 2011). Benthic ecosystems are crucial elements for many MSFD Descriptors (in  
68 particular D1 – Biological diversity, D6 – Sea floor integrity, D10 - Litter), for the reporting under  
69 Article 17 of the Habitats Directive (Council of the European Union, 1992) and for the IMAP  
70 guidelines (Integrated Monitoring and Assessment Programme of the Mediterranean Sea and Coast)  
71 (UNEP-MAP, 2008) of the Barcelona Convention. In this regard, ecological indices are effective tools  
72 to evaluate and monitor over time the status of marine benthic ecosystems. In the last two decades  
73 many indices have been developed for the Mediterranean benthic ecosystems, including soft bottoms  
74 (Borja et al., 2000; Simboura and Zenetos, 2002; Rosenberg et al., 2004; Dauvin and Ruellet, 2007),  
75 seagrass meadows (Gobert et al., 2009; Montefalcone, 2009), underwater marine caves (Rastorgueff et  
76 al., 2015), as well as shallow-water hard bottoms and coralligenous concretions (Ballesteros et al.,  
77 2007; Cecchi and Piazzzi, 2010; Deter et al., 2012; Cecchi et al., 2014; Gatti et al., 2015a; Ruitton et al.,  
78 2015; Paoli et al., 2016; Montefalcone et al., 2017; Sartoretto et al., 2017).

79 Some efforts have been made to define indices for mesophotic environments based on ROV footages,  
80 resulting in two seascape approaches, namely MAES (Mesophotic Assemblages Ecological Status)  
81 (Cánovas-Molina et al., 2016a) and CBQI (Coralligenous Bioconstructions Quality Index) (Ferrigno et  
82 al., 2017), both tested on a relatively low number of sites. The Italian MSFD protocol for monitoring  
83 coralligenous and rocky reefs from 40 to 100 m depth includes a standard sampling design conceived  
84 to gather various quantitative components, such as the occurrence and extent of the habitat (here  
85 biogenic and rocky reefs), the silting levels, and the abundance, status and population composition of  
86 structuring megabenthic species forming aggregations (here animal forests), as well as presence and  
87 typology of marine litter (MATTM-ISPRA, 2016). This methodology has been defined as the standard  
88 for the monitoring that will be continued in the years to come.

89 The possibility to use a large archive of ROV video-transects collected along the Ligurian Sea (NW  
90 Mediterranean) in 2015 and 2016 offered the opportunity to develop and test, on a large geographic  
91 extent, a new index that combines status and impact indicators following a DPSIR (Driving forces -  
92 Pressures - Status - Impacts - Response) approach (Elliot, 2002; Atkins et al., 2011). The Mesophotic  
93 Assemblages Conservation Status (MACS) multi-parametric index, integrates three major descriptors  
94 proposed by the MSFD to define the ecological quality of the marine environment, namely biological  
95 diversity, seafloor integrity, and marine litter (European Commission, 2008), and is in line with the  
96 IMAP guidelines of the Barcelona Convention (UNEP-MAP, 2008). The MACS index was thus  
97 applied on 24 temperate mesophotic reefs in the Ligurian Sea subjected to different levels of human  
98 pressures.

99

## 100 **2. Materials and methods**

### 101 **2.1 Study area**

102 This study focused on the circalittoral zone of the Ligurian Sea, located in the North-western sector of  
103 the Mediterranean Sea (Fig. 1, Tables 1 and SM1). The Ligurian coastline extends for over 350 km,  
104 from Ventimiglia at the western border to La Spezia at the eastern one. The seabed topography differs  
105 remarkably between western and eastern coasts (Fanucci et al., 1989). The shelf in the western coast is  
106 deeper, steeper and narrower, whereas in the eastern coast is larger and with a gentler slope (Fig. 1).

107 About 76 rivers, predominantly torrential streams, flow through the Alpine and Apennine chains  
108 within the Ligurian basin (Fig. 1, Table SM2) (<http://www.pianidibacino.ambienteinliguria.it>). Due to  
109 the cyclonic circulation, the overall superficial current in the basin moves westward (Cattaneo-Vietti et  
110 al., 2010). Intermediate Levantine waters and deep waters entering the basin from the Tyrrhenian Sea

111 through the Tuscan Archipelago and along the western coasts of Corse follow a similar cyclonic path  
112 (Taviani et al., 2015; Chimienti et al., in press).

113 The Ligurian coast is among the most urbanised of the Mediterranean Sea (Table SM2) with an  
114 estimated overall population of 1,262,000 residents (subjected to summer increase) (Fig. 1, Table  
115 SM2). Some of the Ligurian smaller towns do not have a sewage system; therefore black water outlets  
116 are occasionally present along the coast. Liguria hosts approximately 42 harbour structures, with those  
117 of La Spezia, Genova and Vado Ligure being the largest commercial ports (Fig. 1, Table SM2).  
118 Ligurian harbours count over 20,300 berths, of which approximately 750 are registered for  
119 professional fishing boats, mainly targeting coastal demersal *metiers* or deep trawling activities. Boats  
120 are mainly dislocated in the fishing harbours of La Spezia, Santa Margherita Ligure, Genova, Savona,  
121 Imperia, and Sanremo, each one allocating more than 50 fishing boats (Fig. 1, Table SM2)  
122 (<http://ec.europa.eu/fisheries/fleet/index.cfm>; <https://www.tuttobarche.it/ricerca-rade-e-porti>). No  
123 precise census is available for recreational fishermen, which however have been estimated to be more  
124 than 160,000 distributed along the entire territory (Regione Liguria, 2014).

125 Differently from other bathymetric zones, the circalittoral extending between 40 and 200 m depth has  
126 received far less attention mainly due to sampling difficulties; nevertheless, the occurrence of  
127 coralligenous and rocky reefs dominated by erect filter-feeding species forming dense aggregations in  
128 dim-light conditions is well known (Ballesteros, 2006; Diviacco & Coppo, 2006; Cattaneo-Vietti et al.,  
129 2010; Cánovas-Molina et al., 2016b). Despite the presence of three Marine Protected Areas and other  
130 three tutelary marine sites (Fig. 1), the Ligurian circalittoral zone is enormously exploited by  
131 recreational and professional fishermen contributing to the general degradation of the environment  
132 (Bavestrello et al., 1997; Cattaneo-Vietti et al., 2010; Bo et al., 2014; Cánovas-Molina et al., 2016b).

133

## 134 **2. 2 Data acquisition**

135 Following the methodological Italian protocol on MSFD Habitat 7 (MATTM-ISPRA, 2016) on  
136 coralligenous and rocky reef habitats, two oceanographic surveys on board of R/V *Astrea*, were  
137 conducted in August 2015 and September 2016 by ISPRA (Rome). Twenty-four sites were identified  
138 along the Ligurian region, divided into four macro-areas namely A1 (western sector), A2 (central-  
139 western sector), A3 (central-eastern sector) and A4 (eastern sector) respectively from West to East  
140 (Tables 1 and SM1; Fig. 1). For each site, from one to four 200 m long standard video-transects were  
141 collected, for a total of 70 transects, ranging from 30 to 140 m depth. Footages were obtained by  
142 means of the ROV Pollux III, equipped with a digital camera (Nikon D80, 10 megapixels), a strobe

143 (Nikon SB 400), a high definition video camera (Sony HDR-HC7), lights, and a 3-jaw grabber. The  
144 ROV hosted also an underwater acoustic positioning system (Ultra Short Baseline Linqest Trackling  
145 1500 MA), a depth sensor, and a compass to obtain georeferenced tracks overlapped to multibeam  
146 maps. Two parallel laser beams provided an 8 cm scale for size reference.

147 ROV footages were recorded within sites previously mapped with a high-resolution Multibeam Echo  
148 Sounder (MBES, Kongsberg EM2040) and characterized by the occurrence of hard bottom and  
149 possibly homogeneous depth. Selection was driven also by the presence of hard-bottom structuring  
150 species, here intended as arborescent or massive megabenthic species (e.g. sponges, anthozoans,  
151 bryozoans), reaching elevated sizes (decimetric) and densities, and hence able to shape the  
152 environment and support a complex biocoenosis, *sensu* Buhl-Mortensen et al. (2010). In order to  
153 guarantee the best quality of videos footages, ROV moved along linear tracks, in continuous recording  
154 mode, at constant slow speed (<0.3 m/sec) and at a constant height from the bottom (<1.5 m), thus  
155 allowing for adequate illumination and facilitating the taxonomic identification of the megafauna.

156 Transects were positioned along full dive tracks by means of QGIS software editing. Transects were  
157 obtained from 43 ROV paths on average 870 m  $\pm$  53 long (from 370 to 1860 m). Each video transect  
158 was analysed through ROV-imaging technique with Apple Final Cut Software, using starting and end  
159 time of the transect track as reference. Visual census of megabenthic species was carried out along the  
160 complete extent of each 200 m long transect and within a 50 cm wide visual field, for a total of 100 m<sup>2</sup>  
161 of bottom surface covered per transect.

162 The ROV methodology allowed to collect the following parameters:

- 163 I. Extent of hard bottom calculated as percentage of total video time showing this type of  
164 substratum (rocky reefs and biogenic reefs) and expressed in m<sup>2</sup>;
- 165 II. Species richness, considering only the conspicuous megabenthic sessile and sedentary species  
166 of hard bottom in the intermediate and canopy layers (*sensu* Gatti et al., 2015a) of the  
167 biogenic rocky reefs. Organisms were identified to the lowest taxonomic level and counted.  
168 Fishes and encrusting organisms have not been considered, as well as typical soft bottoms  
169 species. Some hard bottom species, especially cnidarians, can occasionally invade soft  
170 bottoms by settling on small hard debris dispersed in the sedimentary environment (Morri et  
171 al., 1991). For this reason, typical hard bottom species (e.g., *Eunicella verrucosa*) encountered  
172 on highly silted environments have been considered in the analysis;



- 173 III. Structuring species have been counted, measured (height expressed in cm) and the density of  
174 each structuring species was computed and referred to the hard bottom surface (as n° of  
175 colonies or individuals m<sup>-2</sup>);
- 176 IV. The percentage of colonies with signs of epibiosis, necrosis and directly entangled in lost  
177 fishing gears were calculated individually for all structuring anthozoans;
- 178 V. Marine litter has been identified in terms of typology and size, and counted. The final density  
179 (as n° of items m<sup>-2</sup>) has been computed considering the entire transect (100 m<sup>2</sup>).

180 Within each transect, 20 random high definition pictures targeting hard bottom were obtained, and for  
181 each of them four parameters have been estimated, following an ordinal scale. Modal values for each  
182 transect were calculated. Evaluated parameters include:

- 183 VI. Slope of the substrate: 0°, <30° (low), 30°-80° (medium), >80° (high);
- 184 VII. Basal bio-cover, estimated considering the percentage of hard bottom covered by organisms  
185 of the basal (encrusting species) and intermediate (erect species but smaller than 10 cm in  
186 height) layers: 0, 1 (<30%), 2 (30-60%), 3 (>60%);
- 187 VIII. Coralline algae cover (indirect indicator of biogenic reef), estimated considering the  
188 percentage of basal bio-cover represented by encrusting carbonatic algae: 0, 1 (sparse), 2  
189 (abundant), 3 (very abundant);
- 190 IX. Sedimentation level, estimated considering the percentage of hard bottom covered by  
191 sediments: 0%, <30% (low), 30-60% (medium), >60% (high).

192

## 193 **2. 3 Data analysis**

### 194 *2.3.1 Methodological issues*

195 In order to test whether the size of the sampling units adopted (200 m in length) was representative of  
196 the whole megabenthic community of mesophotic reefs, seven complete video paths, ranging from 600  
197 to 1250 m in length, were analysed. Each video track was divided into 50 m-long segments. Species  
198 accumulation curves (sample-based rarefaction curves) were then traced for each transect according to  
199 Bianchi et al. (2018). Species occurring with less than three individuals (or colonies) were not  
200 considered in the analysis. Additionally, the number of species found in each 200 m-long transect was  
201 compared to the total species richness of the dive track from which it was extrapolated.

202 In order to define the minimum percentage of hard bottom assuring the reliability of a 200 m-long path  
203 conducted in fragmented habitats, the correlation between species richness and percentage of hard  
204 bottom in each site was evaluated.

205

### 206 2.3.2 Index metrics

207 The MACS (Mesophotic Assemblages Conservation Status) multi-parametric index for the assessment  
208 of the ecological status of mesophotic reefs is composed by two independent units, the Index of Status  
209 ( $I_s$ ) and the Index of Impact ( $I_i$ ) (Fig. 2, Table 2), following the DPSIR approach (Elliot, 2002; Atkins  
210 et al., 2011). The  $I_s$  describes the general condition of the community, whereas the  $I_i$  identifies the  
211 impacts affecting reefs. A total of 13 metrics has been considered for the final index. All the metrics  
212 adopted are 1<sup>st</sup> order indices and belong to the list of the MSFD parameters (Borja et al., 2011), and are  
213 easily inferable from the video analysis previously described.  $I_s$  and  $I_i$  are composed by six and seven  
214 metrics respectively, and thus are 2<sup>nd</sup> order indices. The final MACS index is a 3<sup>rd</sup> order index,  
215 combining information from the status and the impact indices. For each metric, a score from 1 to 3 was  
216 assigned, following the ordinal ranks reported in Table 2, with absence scoring zero (Bianchi et al.,  
217 2004).

218 The  $I_s$  analyses the health status of the megabenthic communities by focusing on conspicuous species  
219 diversity, basal layer status and canopy condition (Table 2):

- 220 i) *species richness*. This indicator reflects the MSFD Descriptor “Biological diversity”, and  
221 considers: 1) the mega benthic conspicuous species richness (SR) as described in paragraphs  
222 2.2.II;
- 223 ii) *biogenic substrate*. This parameter reflects the MSFD Descriptor “Seafloor integrity”. Here, the  
224 basal layer is investigated by ranking: 2) the basal bio-cover (BC); and 3) the coralline algae  
225 cover (CC) as mentioned in paragraphs 2.2.VII and 2.2.VIII.
- 226 iii) *canopy*. This parameter investigates the upper layer of the community and reflects the MSFD  
227 Descriptor “Seafloor integrity”. The components involved are: 4) the dominance (DM) of the  
228 structuring species forming the canopy, classified as mono-, oligo- and polyspecific (Bianchi,  
229 2001); 5) the density of all the structuring species (SSD); and 6) the mean height of the dominant  
230 structuring species (SSH) as mentioned in paragraph 2.2.III. The dominance of the canopy is  
231 evaluated considering the number of structuring species reaching abundance higher than the  
232 mean value per transect of the entire structuring assemblage. A lower score is given to  
233 monospecific communities with respect to oligospecific and polyspecific ones (Table 2). The  
234 maximum height for each species has been obtained from the literature (Table 3) and half of it  
235 has been considered has a reference condition for the populations (Linares et al., 2008; Sini et

236 al., 2015). Scores were thus assigned considering one third and two thirds of the half of the  
237 maximum high (Table 3).

238 The  $I_i$  includes seven components and focuses on the silting level of the environment, the percent of  
239 damaged structuring anthozoans, and the occurrence of marine litter (Table 2):

240 iv) *sedimentation*. This parameter reflects the MSFD Descriptor “Seafloor integrity”: 7) the  
241 percentage of hard bottoms covered by sediments (SD) is calculated as specified in paragraph  
242 2.2.IX.

243 v) *damaged anthozoans*. This parameter reflects the MSFD Descriptor “Seafloor integrity”. Due to  
244 their documented response to anthropogenic mechanical pressures (Bavestrello et al., 1997; Bo  
245 et al., 2014), structuring anthozoans were chosen to depict the health status of megabenthic  
246 communities. The investigated components included: 8) the percent of colonies directly  
247 entangled with marine litter or fishing gears (ENT); 9) the percent of colonies showing necrotic  
248 portions (NCR); and 10) the percent of colonies showing epibiotic portions (EPB), as specified  
249 in paragraph 2.2.IX.

250 vi) *marine litter*. This section refers to MSFD Descriptor “Litter” and considers three different  
251 aspects as mentioned in paragraph 2.2.V: 11) the density of litter items observed in each video-  
252 transect (LD); 12) the type of garbage (LT), distinguishing the occurrence of general litter (score  
253 1) and lost fishing gears (score 2); and 13) the size of the items observed (LS). The lowest score  
254 is assigned to transects with only small items, identified as objects playing their influence only  
255 on a restricted area of one or few square meters (e.g. bottles, tyres, shoes), whereas intermediate  
256 score is assigned to large items, impacting a larger area (e. g. fishing nets, longlines). In both  
257 cases, the highest score is assigned when either typologies or sizes occur (Table 2).

258

### 259 2.3.3 Reference conditions definition

260 The identification of reference conditions is of fundamental importance when evaluating the ecological  
261 status of a habitat. Different methods are commonly used to this purpose, including: i) the  
262 identification of pristine conditions, ii) the comparison with historical information, and iii) the  
263 development of a model (Gatti et al., 2015b). Previous studies have highlighted the rarity of pristine  
264 habitats in the Italian circalittoral zone, persisting only where the occurrence of fortuitous obstacles  
265 limits fishing activity (Bo et al., 2014, 2015; Angiolillo et al., 2015). Historical data are not available  
266 for these ecosystems, so that only a conceptual model can be adopted. The Ecological Quality Ratio  
267 (EQR) has been commonly adopted in order to compare the observed situation with a hypothetical

268 ‘ideal’ reference condition (Borja et al., 2010, 2011; Gatti et al., 2015a). Obviously, biological and  
269 environmental factors play a relevant role in defining the reference conditions. We can expect that, in  
270 the hypothetical reference condition, the parameters of the  $I_s$  reach the maximum value of 3 for each  
271 metric, corresponding to a total score of 18 (indicative of high biodiversity, high biotic cover in the  
272 basal layer, and well-developed canopy). Similarly, the metrics of the  $I_i$  are expected to have the zero  
273 score in the reference condition (hence, absence of silting, of damaged structuring anthozoans, and of  
274 marine litter). Thus, each metric is normalized, by dividing for three (the maximum value expected)  
275 and multiplying for 100 (the scale of EQR).

276 For two metrics, namely coralline algae cover and sedimentation, EQR is defined exceptionally on a  
277 case-basis due to the fact that natural decreasing trends related to environmental factors do not allow  
278 reaching maximum scores. Coralline algae in the Mediterranean coralligenous bioconstructions, for  
279 instance, are known to develop up to 120 m depth (Ballesteros, 2006), although their maximum  
280 abundance is expected to be found at around 40-60 m in optimal conditions. For this reason, EQR for  
281 coralline algae cover considers that the maximum score of 3 can be potentially obtained only for  
282 transects up to 60 m, the score of 2 for those up to 90 m and the score of 1 is the maximum value that  
283 we can assign at depths greater than 90 m.

284 Similarly, sedimentation on rocky reefs is strictly related to substratum inclination. As the maximum  
285 angle of repose for sediment is  $45^\circ$  (Al-Hashemi et al., 2018), we adopted this value as the maximum  
286 inclination to score 3 for sedimentation. For more accentuate inclinations (greater than  $45^\circ$ ), the  
287 maximum score expected is 2.

288

#### 289 *2.3.4 Combined MACS index and quality status*

290 After transforming the scores of the 13 metrics in EQR, the two indices were aggregated for each  
291 transect using the following formulae (see paragraph 2.3.2 and Fig. 2 for acronyms explanation):

$$292 I_s = (EQR_{SR} + EQR_{BC} + EQR_{CC} + EQR_{DM} + EQR_{SSD} + EQR_{SSH})/6$$

$$293 I_i = (EQR_{SD} + EQR_{ENT} + EQR_{NCR} + EQR_{EPB} + EQR_{LD} + EQR_{LT} + EQR_{LS})/7$$

294 The mean value of  $I_s$  and  $I_i$  for each site are then obtained by averaging the values of the three  
295 transects. Radar graphs were used to show the contribution of the various metrics in the resulting  $I_s$  and  
296  $I_i$  indices in the sites of Spotorno, Diano Marina, Nervi and Bordighera, chosen as examples. A  
297 multivariate Correspondence Analysis has also been carried out by means of the software PAST  
298 (Hammer et al., 2001), to identify which metrics are more important in distinguishing sites.

299 The final MACS index has been calculated for each site, combining information of status and impact  
 300 indices, using the following formula:

$$\text{MACS} = \frac{I_s + (100 - I_i)}{2}$$

301 The  $I_s$ , the  $I_i$  and the resulting index MACS are all numbers ranging from 0 to 100.

302 Categories of the ecological quality status (following the Water Framework Directive) are defined  
 303 based on the following scheme:

<i>Index of Status</i>	<i>Index of Impact</i>	<i>MACS</i>
≤ 35 = Bad	≤ 35 = Very low	≤ 35 = Bad
36-45 = Poor	36-45 = Low	36-45 = Poor
46-55 = Medium	46-55 = Moderate	46-55 = Medium
56-65 = Good	56-65 = High	56-65 = Good
≥ 66 = High	≥ 66 = Very high	≥ 66 = High

304

### 305 2.3.5 Index validation

306  $I_s$  and  $I_i$  for the 24 sites were correlated with two topographic features in order to evaluate natural  
 307 patterns of variability.

308 In order to evaluate how the three indices ( $I_s$ ,  $I_i$ , and MACS) respond to different levels of human  
 309 pressures, the approach carried out by Cánovas-Molina et al. (2016a) was applied, considering the  
 310 following anthropogenic pressures within a radius of 10 km from the centroid of each site: i) the  
 311 number of inhabitants of the costal municipalities, obtained from the online database of the Italian  
 312 national STATistical institute - ISTAT (<http://dati.istat.it>), ii) the number of berths for each port,  
 313 obtained from the EU Fleet register (<http://ec.europa.eu/fisheries/fleet>), iii) the number of fishing boats  
 314 listed in each harbour, found at the on-line pilot's book for the Mediterranean Sea,  
 315 (<https://www.tuttobarche.it/ricerca-rade-e-porti>); and iv) the cumulative surface of the catchment areas  
 316 of the rivers, available in the Ligurian Region official web-site  
 317 (<http://www.pianidibacino.ambienteinliguria.it>) (Table SM2). The robustness of each index to each  
 318 pressure has been assessed using linear regression.

319

## 320 3. Results

### 321 3.1 General description of sites and metrics

322 The four macro-areas investigated are well distinguished based on their general topographic  
 323 characteristics (Table 1, SM1). In terms of bathymetric location, the mesophotic reefs of the Ligurian

324 Sea are mainly located within 40-70 m depth, with those in the central-western sector (A2) reaching  
325 the greatest depths (60-70 m). The average slope of the sites indicates a more gentle slope for the  
326 eastern sectors (30°-40°) with respect to the western ones (40°-50°), the latter including numerous sub-  
327 vertical substrates represented by rocky cliffs or large boulders. Mesophotic reefs in the Ligurian Sea  
328 are highly fragmented, in particular in the eastern Ligurian Sea (A4), with 40-50% of the transects  
329 covered by rocky or biogenic reefs. A1 is characterized by the widest extension of hard bottom, being  
330 most of the transects covered by 70% with rock.

331 Megabenthic species richness varies from a minimum of 7 species (NE3) to a maximum of 55 (SP1)  
332 and it is lower in the eastern areas respect to the western ones (SM3 and SM4). The basal bio-cover is  
333 similar in all four areas, with a slight increase moving from East to West. The occurrence of coralline  
334 algae is generally low in all sites, with the lowest values found in A4 and the highest values in the  
335 Portofino Marine Protected Area.

336 A total of 13 structuring species (with over 16,000 individuals or colonies counted) has been recorded  
337 in the video-transects: three sponges (*Axinella polypoides*, *Spongia* (*Spongia*) *lamella*, *Sarcotragus*  
338 *foetidus*), one scleractinian (*Dendrophyllia cornigera*), one antipatharian (*Antipathella subpinnata*), six  
339 gorgonians (*Corallium rubrum*, *Eunicella cavolini*, *Eunicella verrucosa*, *Eunicella singularis*,  
340 *Paramuricea clavata*, *Leptogorgia sarmentosa*), and two bryozoans (*Myriapora truncata*, *Pentapora*  
341 *fascialis*) (Table 3). Canopies are generally monospecific, with *P. clavata* and *E. verrucosa* as the most  
342 commonly recorded species. Excluding *A. polypoides*, *S. lamella*, *D. cornigera*, and *A. subpinnata*, all  
343 the other structuring species have been included in the group of the dominant species. Various site-  
344 specific differences have been found in the metrics regarding the density and the height of the canopies  
345 (SM3 and SM4).

346 Silting levels are generally high in all areas, but in A1 (SM3 and SM4). Anthozoan colonies entangled  
347 by demersal fishing gears appear more abundant in the western sector. The highest values of necrosis  
348 are observed in area A2, whereas epibiosys is higher in A1. There are no sites completely free of  
349 marine litter. Litter density ranges from 0.02 (CM1) to 0.51 (SP1) items per 100 m<sup>2</sup> and all four areas  
350 show a similar average density (around 0.14 items per 100 m<sup>2</sup>) (SM3 and SM4). Litter includes lost  
351 fishing gears (mainly artisanal trammel nets, longlines, ropes and pots) and general litter (including  
352 plastic bottles and bags, tires, glass and rubber objects, textile robe, metal objects, barrels and  
353 anchors), mostly deriving from ships. 100% of the sites show traces of lost fishing gear and 30% show  
354 also general litter.

355

356 *3.2 Minimum length of the transect*

357 The cumulative richness analysis of the seven complete ROV paths showed that, on average,  $55 \pm 3.5\%$   
358 (SE) of the species are found in the first 200 m of the video transect (Fig. 3A). When the 200 m-long  
359 tracks are arranged in concomitance of coral forests, as required by the protocol, they contain on  
360 average  $30 \pm 2$  species, representing  $60 \pm 2\%$  of the total richness of the entire dives from which the  
361 transect has been extrapolated.

362 Most of the transects showed highly fragmented, with hard bottom covering from 7% to 100% of the  
363 transect length (SM1); however, no significant correlation was found between the number of  
364 conspicuous species and the percentage of hard bottom in the 24 studied sites (Fig. 3B), which implies  
365 that only a limited amount of hard bottom within the transect (e.g., 20%) would be enough.

366

367 *3.3 Index of status and index of impact*

368  $I_s$  ranges from 43 to 74, while  $I_i$  varies from 48 to 73 (SM4, Fig. 4, Fig. 5). The site with the highest  
369 ecological status is Diano Marina (in A1), whereas the site showing the lowest ecological status is  
370 Nervi (in A3), followed by Bordighera (in A1). Bordighera is also the site having the highest level of  
371 human impact, together with Spotorno (in A2). Low degrees of human impact have been observed in  
372 the sites of Isuela and Cala degli Inglesi (both located inside the Portofino Marine Protected Area, A3)  
373 as well as in the sites of Nervi and Sori (A3).

374 On average, the Ligurian mesophotic reefs display a good ecological status notwithstanding the high  
375 levels of impact. No correlation between ecological status and impact level has thus been found ( $R^2 =$   
376  $0.0035$ ), being the conditions of the 24 sites idiosyncratic (Fig. 6). A slight correlation has been  
377 observed ( $R^2 = 0.2241$ ,  $p < 0.05$ ) when the sites of Nervi, Bogliasco, Sori and Spotorno (encircled in  
378 Fig. 6) are excluded from the analysis, since they represent extreme situations.

379 In the sites characterized by high ecological status and low impact, diversity, structure of the canopy,  
380 and basal bio-cover represent the driving factors (Fig. 7). Absence of evident signs of damage on the  
381 canopy, low levels of sedimentation and moderate litter are indicative of low impact. On the contrary,  
382 in sites with low ecological status and high impact, the environment is characterized by a poorly  
383 structured canopy coupled to high occurrence of litter, silting and entanglements. A diverse  
384 community with high densities of structuring species, but also a high density of large litter entangling  
385 and damaging the canopy, characterizes the site of Spotorno. The site of Nervi is extremely poor in  
386 terms of diversity and canopy, despite the low density of litter.

387 In the Correspondence Analysis (Fig. 8), the first axis, related to the presence of coralline algae  
388 according to depths, explains 35% of the variance, whereas the second axis explains 20% and is  
389 mainly related to entanglement, necrosis and epibiosis.

390 The integrated MACS index (Fig. 4, SM4) depicts an overall moderate ecological status of the  
391 Ligurian mesophotic reefs. Values of the MACS index range between 36 (Bordighera) to 59 (Isuela),  
392 with three sites showing a good ecological status, 15 sites a moderate ecological status and 6 sites a  
393 poor status, the latter mainly located in A2 and A4.

394

### 395 *3. 4 Indices validation*

396 A slight significant correlation was depicted only between depth and  $I_i$  ( $R^2 = 0.195$ ,  $p < 0.05$ ),  
397 indicative of the effect of depth on the entity of damages on canopies due to an increased density of  
398 lost litter.

399 The distribution of anthropogenic pressures is different along the coast: inhabitants show a peak in  
400 close proximity to the sites eastward of Genoa (Sori, Bogliasco and Nervi), while a minimum is  
401 observed in the easternmost sites (SM2, Fig. 9). These latter sites are also those with a reduced number  
402 of boats and fishing vessel; these two pressures, however, are largely distributed in the proximity of all  
403 sites, peaking in A2 and A3. River inflows are largely present in the most extreme parts of the Ligurian  
404 coast, especially near Monterosso (under the influence of Magra river) and near Bordighera and Capo  
405 Mortola (under the influence of Roja river). Negative correlations have been found between  $I_s$  and the  
406 number of inhabitants ( $R^2 = 0.213$ ,  $p < 0.05$ ), between  $I_s$  and the area of rivers ( $R^2 = 0.232$ ,  $p < 0.01$ ),  
407 while a positive correlation has been found between  $I_i$  and the catchment area of rivers ( $R^2 = 0.429$ ,  $p <$   
408  $0.01$ ).

409

## 410 **4. Discussion**

411 This study describes the use of a multi-parametric index (MACS) applied for the first time on a large-  
412 extent assessment of mesophotic temperate reefs. This index takes into consideration three descriptors  
413 listed by the Marine Strategy Framework Directive, i.e. biodiversity, seafloor integrity and marine  
414 litter (European Commission, 2008), and is composed of a number of metrics obtained from ROV  
415 surveys. The MSFD represents the most recent European legislation targeting the protection and  
416 restoration of the ecological quality and the integrity of marine ecosystems (Borja et al., 2008). The  
417 final goal of MSFD is to reach and maintain a Good Environmental Status for marine waters, habitats



418 and resources, within a holistic functional ecosystem-based approach (Browman et al., 2004; Borja et  
419 al., 2010).

420 ROV has been largely employed in the last 10 years as a technological tool for deep Mediterranean  
421 exploration (Bo et al., 2009, 2015; Mastrototaro et al., 2010; Grinyó et al., 2018), but only limited  
422 experiences used the ROV footage to elaborate ecological indicators (Cánovas-Molina et al., 2016a;  
423 Ferrigno et al., 2017). ROV offers various advantages including a seascape approach, a wide  
424 geographic coverage, and no bathymetric limits (within the depth range of interest). The ROV MSFD  
425 methodology focuses on conspicuous species that are relatively easy to identify, therefore minimizing  
426 the observer effect and the specialist-oriented analysis, and maximizing the taxonomic resolution. The  
427 natural history of the target species is usually well known, which allows for an easier identification of  
428 the responses to various natural and anthropic pressures such as fishing, sedimentation, and mass  
429 mortalities. Disadvantages related to the employment of this technique include relatively high  
430 operative costs, low taxonomic resolution in the basal layer species identification, and influence of the  
431 quality of the ROV footage on the quality of the output.

432 With respect to existing ROV-based indices developed for assessing the quality of circalittoral  
433 Mediterranean rocky reefs, i.e. the MAES index (Cánovas-Molina et al., 2016a) and the CQBI index  
434 (Ferrigno et al., 2017), the MACS index here proposed integrates a larger number of metrics that better  
435 delineate the environmental and anthropic variability. This implementation is based on video-imaging  
436 showing a large coverage of the substrate and is executable both by ROV and classic scuba video-  
437 operators (for the shallowest depth).

438 Its DPSIR approach (Elliot, 2002; Atkins et al., 2011), coupling status and impact indicators, reflects  
439 more efficiently site-specific situations of each area. From a general point of view, Ligurian  
440 mesophotic reefs seem to show a moderate ecological status; following the MSFD this means that  
441 during the first two years of sampling, only 12.5% of the investigated sites fully achieves GES.  
442 Considering that hard-bottom structuring species are a focal point in the MACS index, it is necessary  
443 to find additional indexes to assess sites with no canopies. Similarly, it is necessary to give a more  
444 critical interpretation to those sites, such as Sori, Nervi and Bogliasco, which are close to the chief  
445 town of Genoa and where reduced water quality (Montefalcone et al., 2007), rather direct local  
446 pressures, may be the cause of the low values observed.

447 Natural patterns of communities related to topographic features are occasionally difficult to be  
448 discriminated from human-induced ones. Correlations were depicted only between depth and the  $I_i$ ,  
449 supporting a positive effect of depth on the entity of damages on the canopies, as well an increasing

450 effect of lost litter. This may be supported by the highest concentration of coastal fishing activities in  
451 the mesophotic zone where hard bottom occurs. Significant negative correlations have also been  
452 shown between  $I_s$  and the number of inhabitants, as well as between  $I_s$  and the impact and catchment  
453 area of rivers. Large coastal urbanisations (as in the proximity of the city of Genoa), as well as large  
454 river outflows (as in the most extreme macro-areas) are associated to high silting levels and poor  
455 canopies of the structuring species. Deep reefs within or nearby Marine Protected Areas (such as  
456 Portofino) support well-structured canopies and comparatively lower impacts.

457 Focusing on the canopy-forming species is not related just to methodological taxonomic issues, but  
458 also to ecological issues. The selected metrics involving structuring, long-living species (such as  
459 carbonatic organisms and arborescent gorgonians and antipatharians) are effective indicators of  
460 disturbance and recovery ability (Bianchi et al., 2017), in particular the species abundances and  
461 changes in size (Kaiser et al., 2018). With regards to the changes in size, structuring anthozoans were  
462 chosen to depict the health status of megabenthic communities due to their documented response to  
463 anthropogenic mechanical pressures (Bavestrello et al., 1997; Bo et al., 2014). This approach only  
464 partially works for sponges and bryozoans, whose response includes a more active reaction to lost  
465 fishing gears (keratose sponges generally incorporate lines and branched bryozoans generally break  
466 down).

467 The 200 m-long transect are representative of the overall diversity of the studied environments, and the  
468 survey proven to be efficient also in areas with highly fragmented hard bottom. These results support  
469 the adaptability of the method to a wide array of habitat situations.

470 Benthic indicators are fundamental tools to implement MSFD requirements as they allow an effective  
471 and representative picture of ecologically complex situations so to give the synthetic information  
472 essential to identify the areas where specific management measures must be undertaken to allow the  
473 GES achievement. The MACS index is here proposed as a comprehensive, seascape-approached,  
474 monitoring tool with the potential to be employed by a wide array of marine technicians over hard-to-  
475 reach environments. Results represent valuable information to be employed to evaluate improvements  
476 of the ecological status and thus the effectiveness of the measures that will must be undertaken in the  
477 monitoring sites and for future coastal planning and definition of protection zones.

478

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790

## 791 **Figures legends**

792

793 **Fig. 1 A)** Map of the study area with indication of the four macro-areas, the 24 sites (black dots) and  
794 location of the Ligurian Sea within the Mediterranean basin (up-left rectangle). The main canyons,  
795 river outflows, urban centres, Italian National Marine Protected Areas (in blue), Ligurian Regional  
796 Marine Protected Area (in orange) and dominant currents are also indicated. Close-up view of the four

797 investigated macro-areas (transects are represented by black dots): **B)** A1, located in the western sector  
798 of the Ligurian Sea; **C)** A2, in the central-western sector; **D)** A3 corresponding to the central-eastern  
799 sector; and **E)** A4 in the easternmost sector.

800

801 **Fig. 2** Scheme of the MACS index composed by two independent units, the Index of Status ( $I_s$ ) and the  
802 Index of Impact ( $I_i$ ). The thirteen metrics composing the two indices are reported in light gray. The  
803 three rectangles at the base of the figure represent the three MSFD descriptors and the relative  
804 indicators (dark gray). SR, species richness; BC, basal bio-cover; CC, coralline algae cover; DM,  
805 dominance; SSD, structuring species density; SSH, structuring species height; SD, sedimentation;  
806 ENT, entanglement; NCR, necrosis; EPB, epibiosys; LD, litter density; LT, litter type; LS, litter size.

807

808 **Fig. 3 A)** Species accumulation curves for seven full-length ROV dive paths: ME, Punta Mescio; MA,  
809 Punta Manara; FA, Punta del Faro; CL, Celle Ligure; SP, Spotorno; SS, Santo Stefano; CM, Capo  
810 Mortola. **B)** Correlation between species richness and percentage of hard bottom.

811

812 **Fig. 4** Results of  $I_s$  and  $I_i$  for the 24 investigated sites.

813

814 **Fig. 5** Mean value ( $\pm$ SD) of EQR-transformed results for  $I_s$  and  $I_i$  for each investigated site. Refer to  
815 Table 1 for the code of each site.

816

817 **Fig. 6** Correlation between averaged EQR-transformed results for  $I_s$  and  $I_i$  for each investigated site.  
818 Shaded areas indicate four relevant sites.

819

820 **Fig. 7** Radar graphs for the 13 MACS metrics in four selected sites. Graphs on the left side correspond  
821 to  $I_s$ , whereas on the right side to  $I_i$ . **A)** The case of Spotorno (SP), located in the central western area,  
822 identifies a site with high status and high impact. **B)** In the western area, Dianio Marina (DM) is  
823 characterized by high status and low impact. **C)** Nervi (NE), from the central eastern area, exhibits low  
824 status and low impact. **D)** The site of Bordighera (BG) has high impact and low status. See text for  
825 more details.

826

827 **Fig. 8** Multivariate Correspondence Analysis of the 13 MACS metrics in the 24 investigated sites.

828

829 **Fig. 9** Anthropogenic pressures in a 10-km radius around each investigated site.

Figure  
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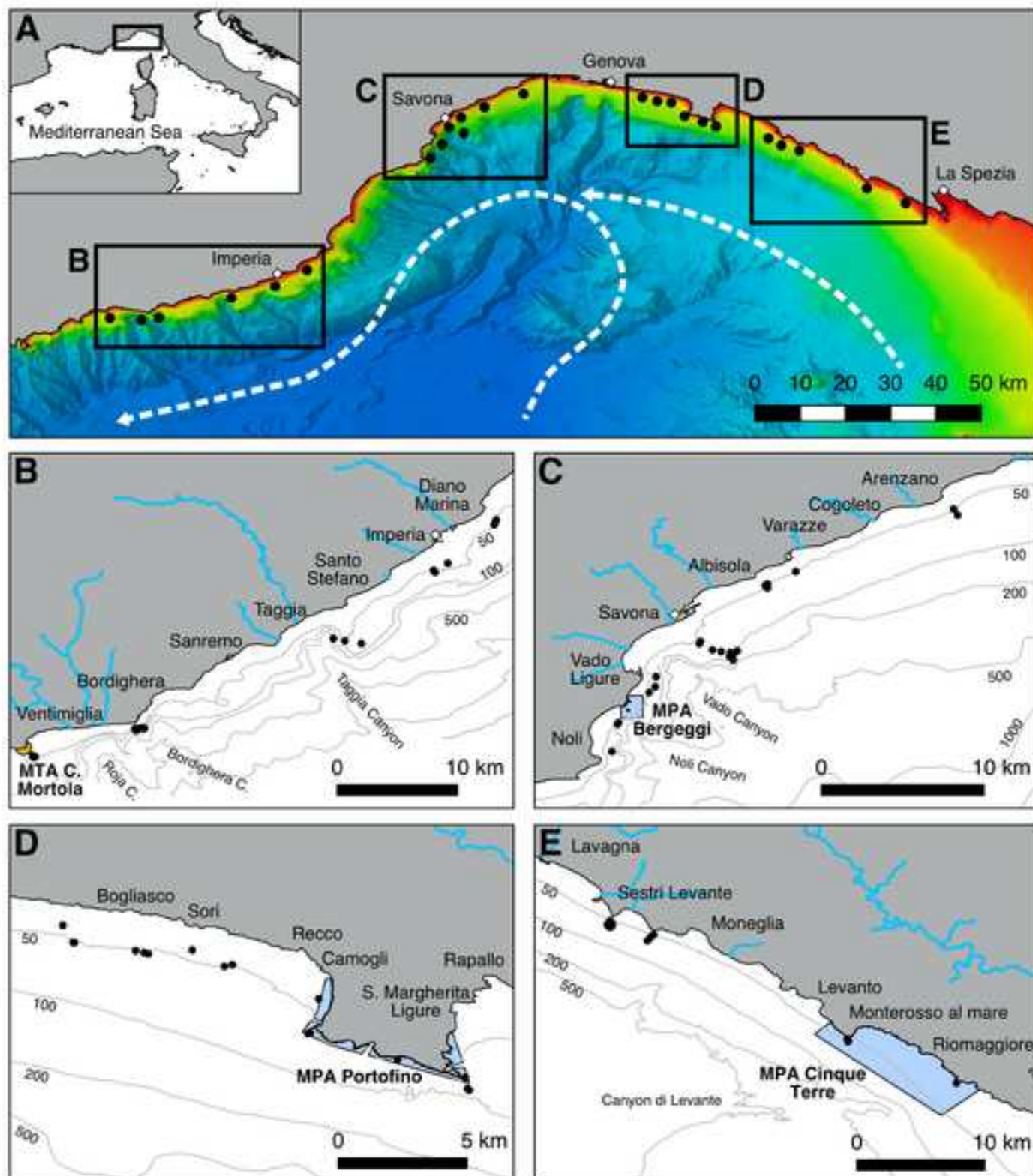


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# MACS

*Status Index*

*Impact Index*

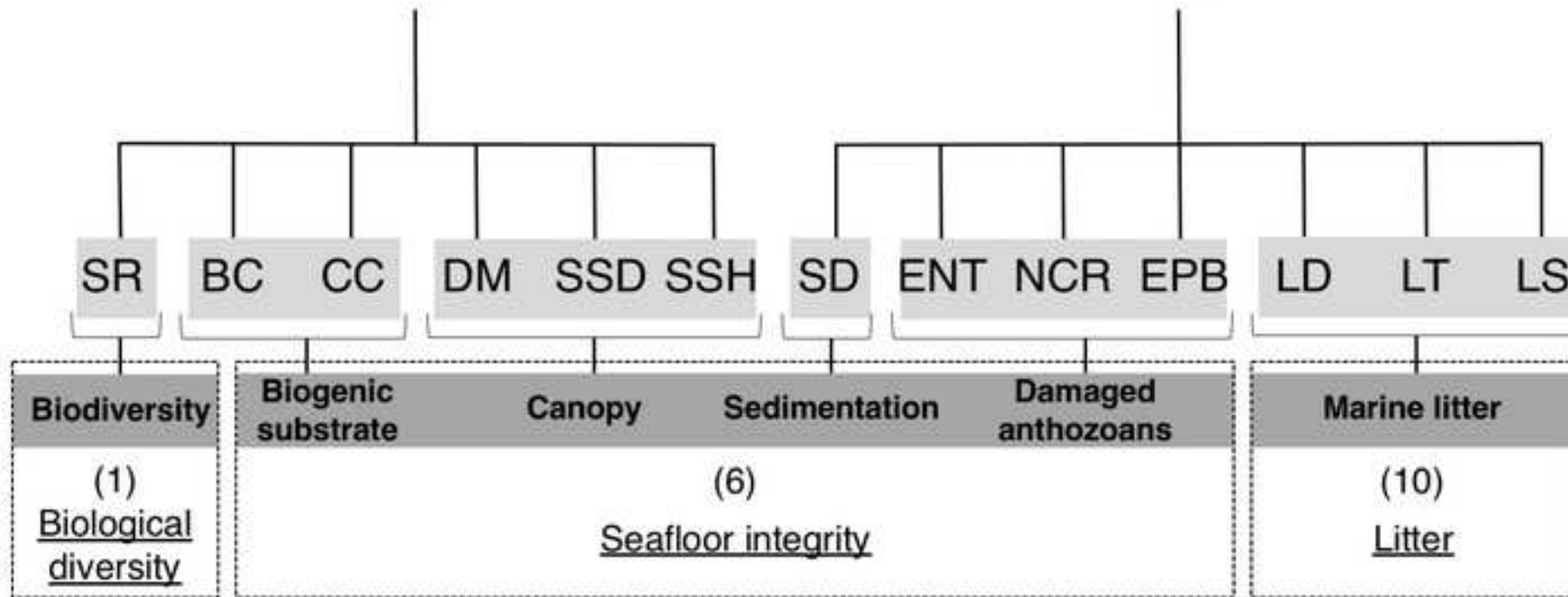




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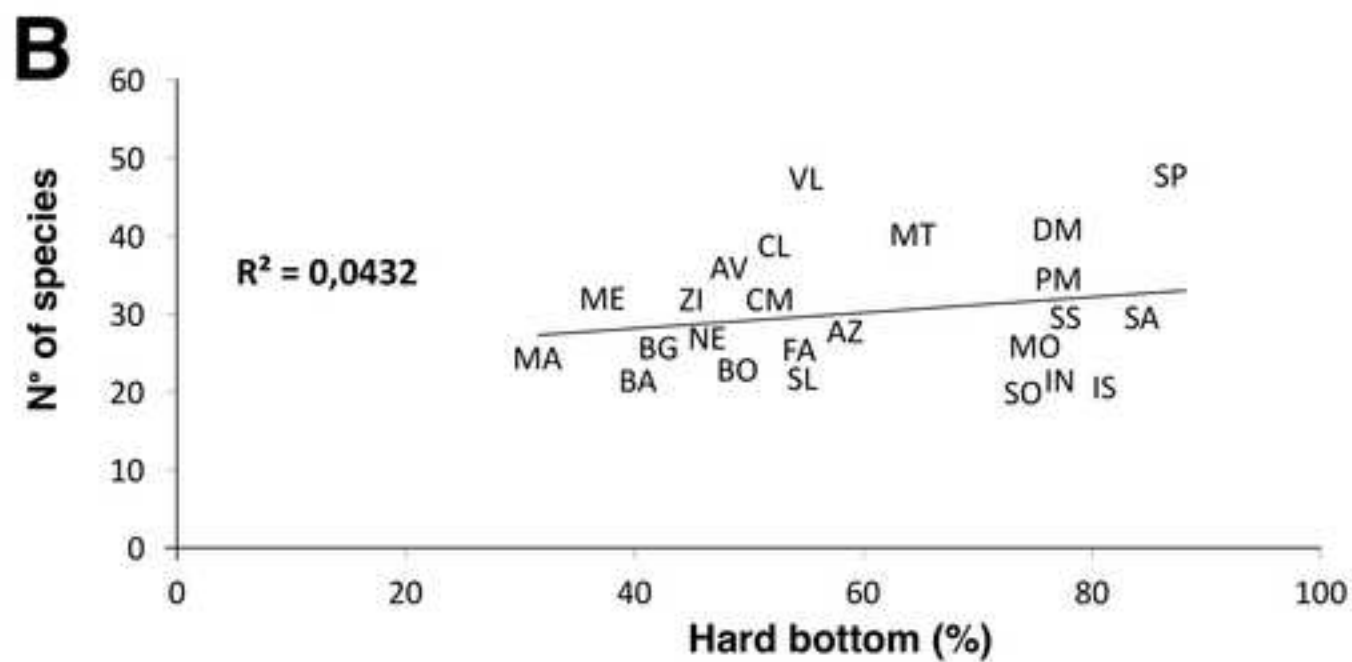
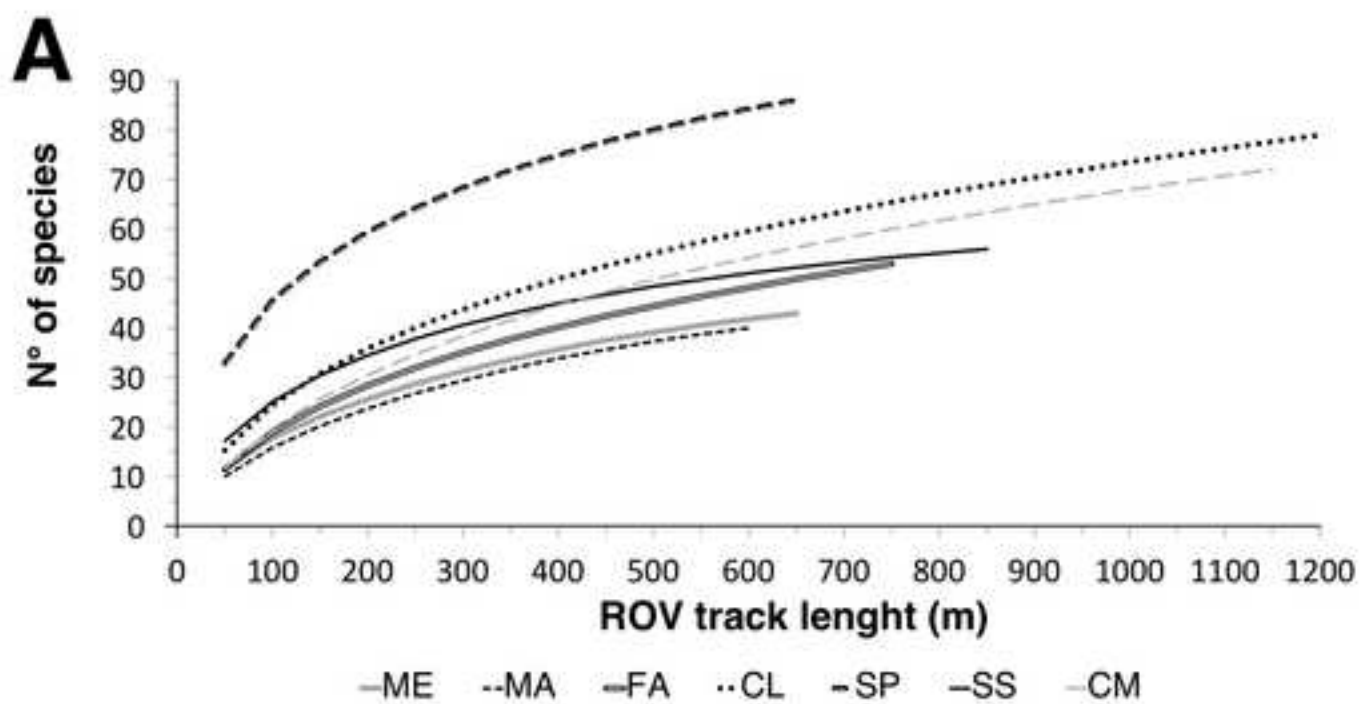
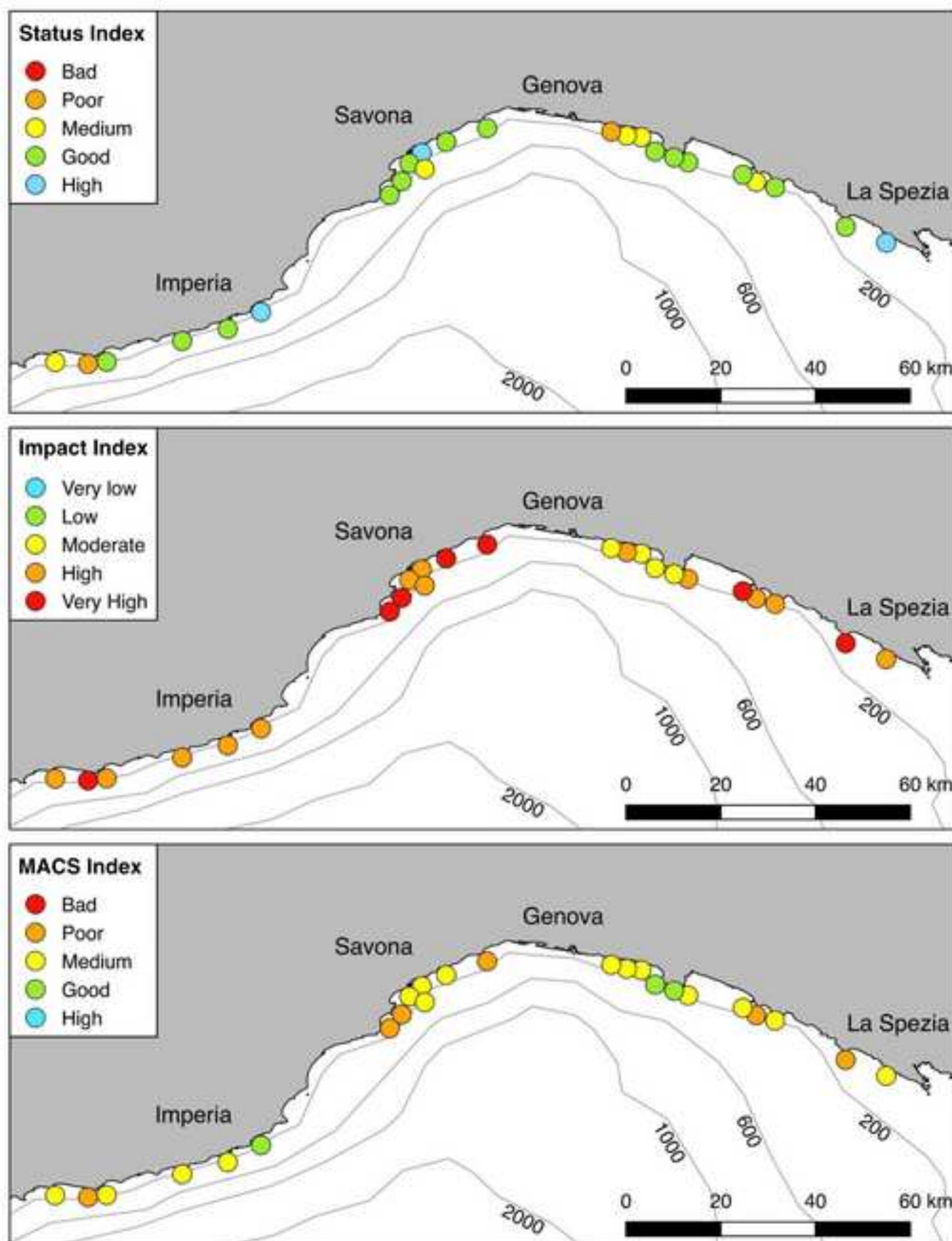
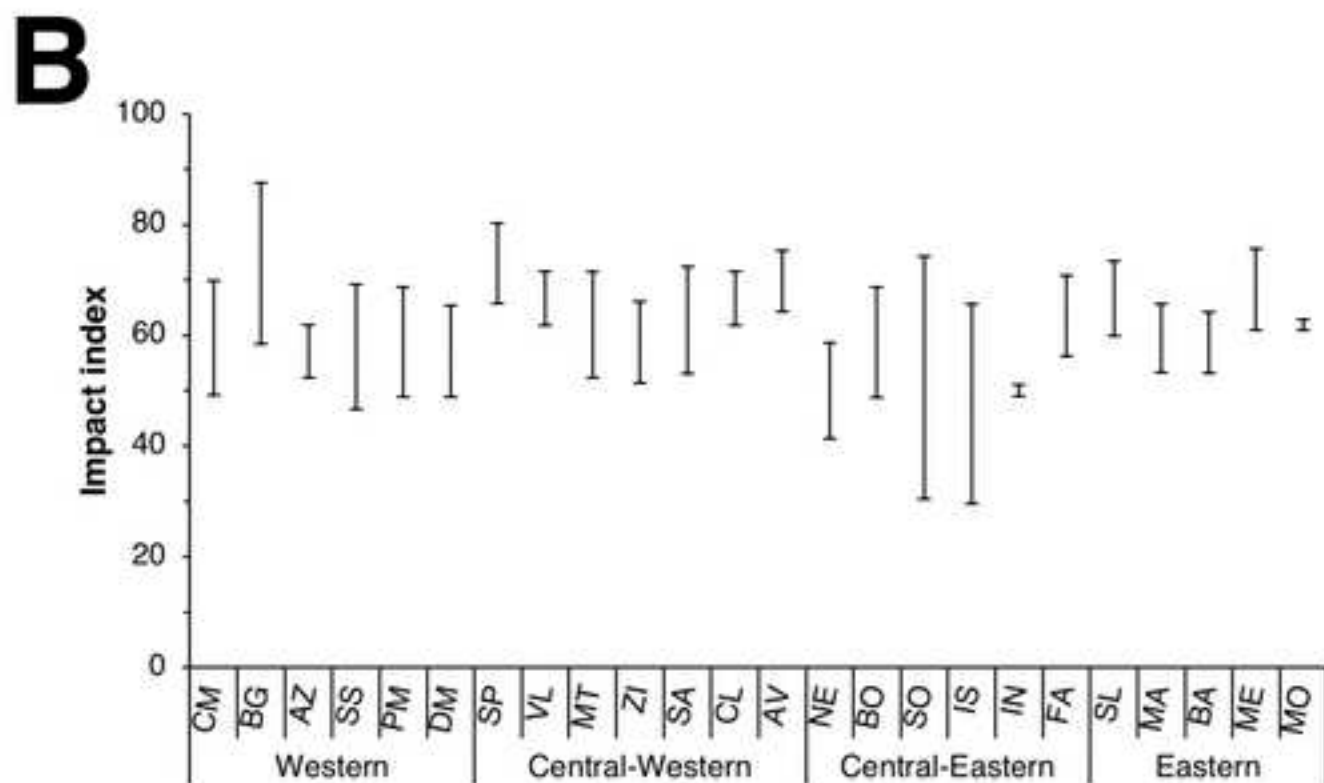
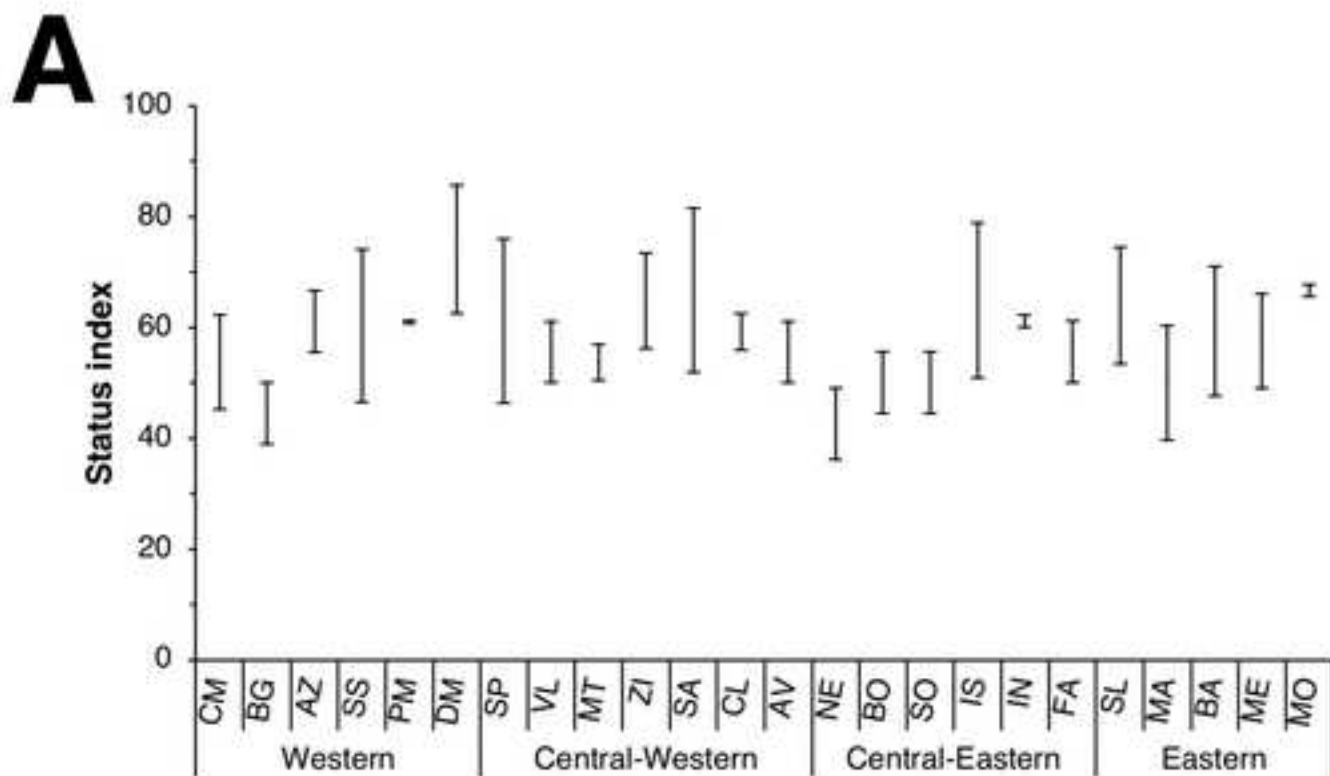


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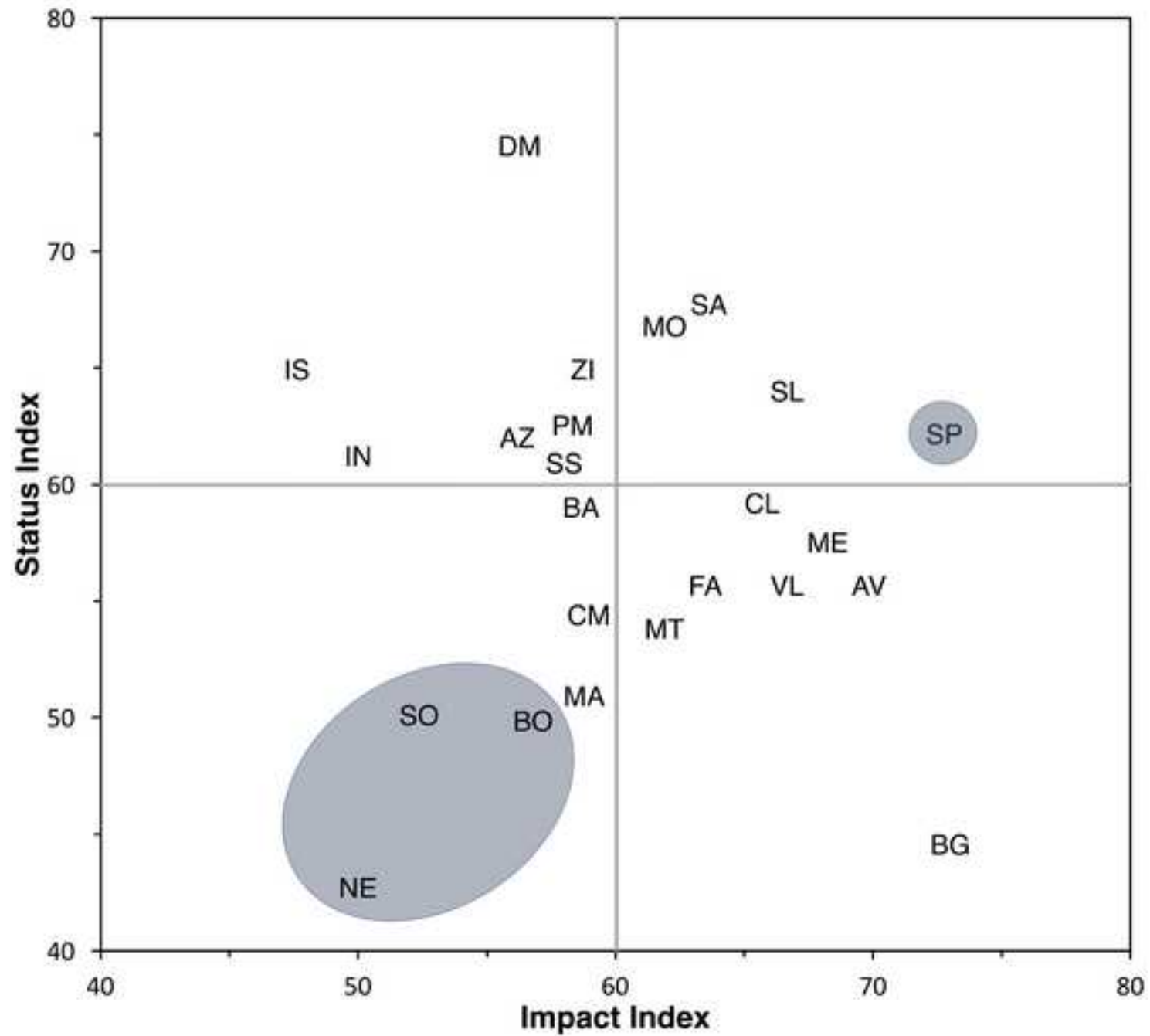
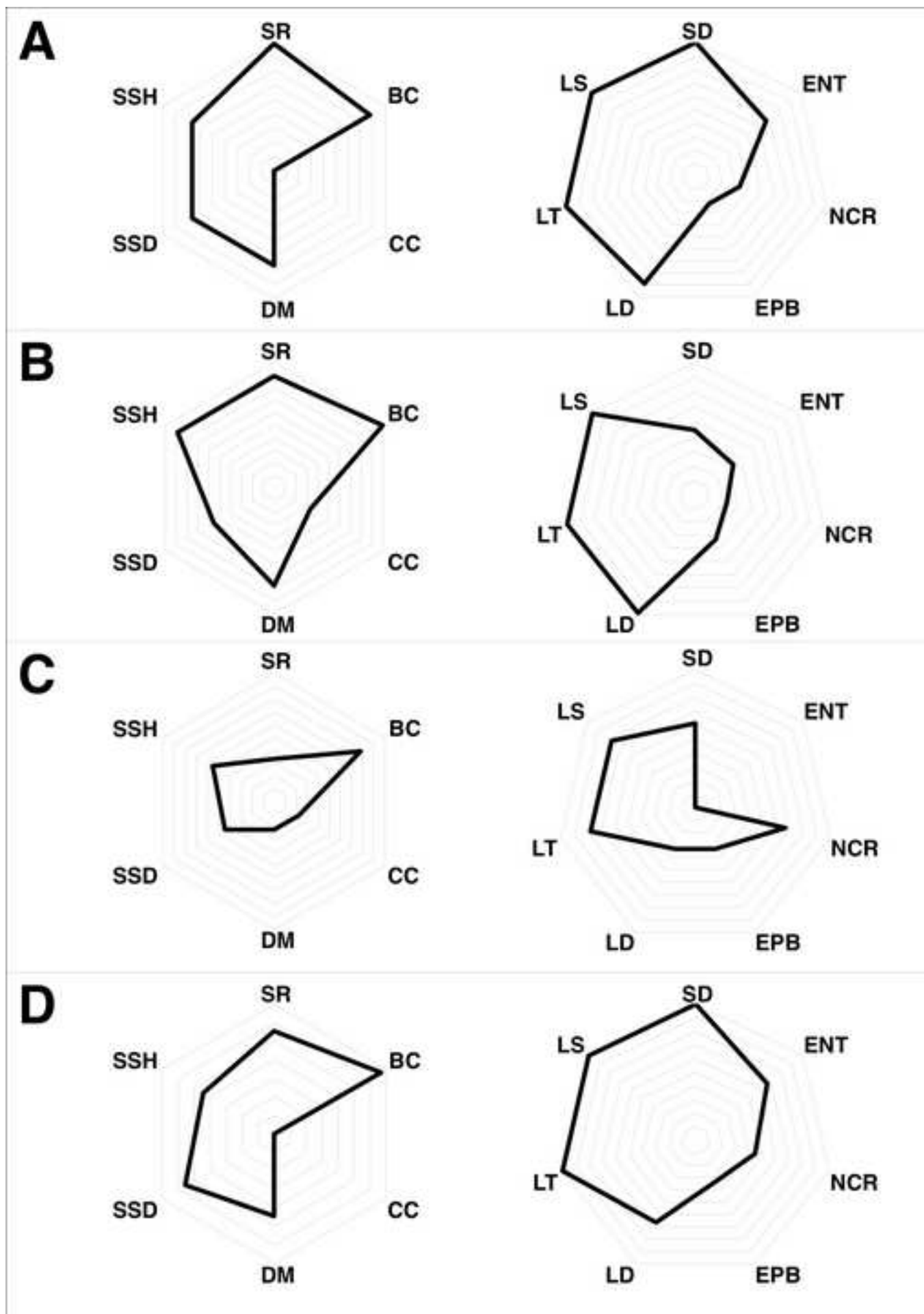


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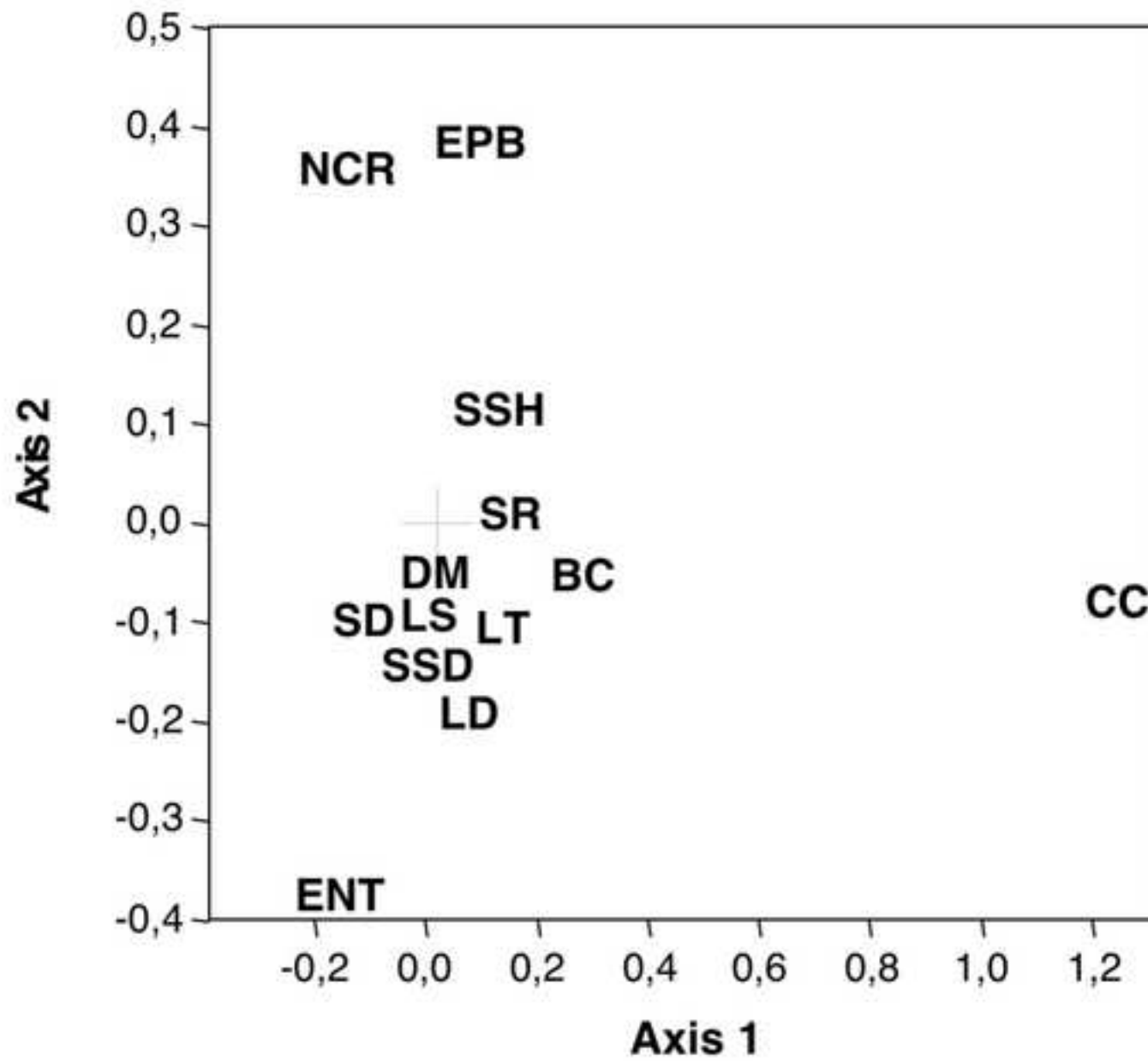
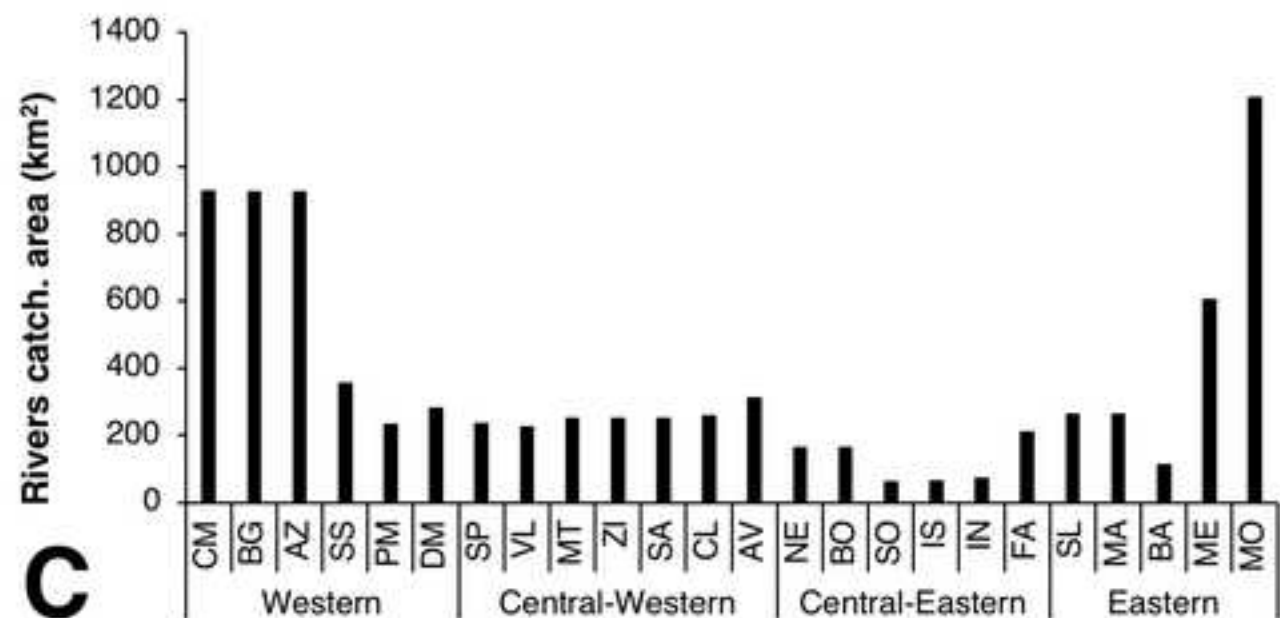
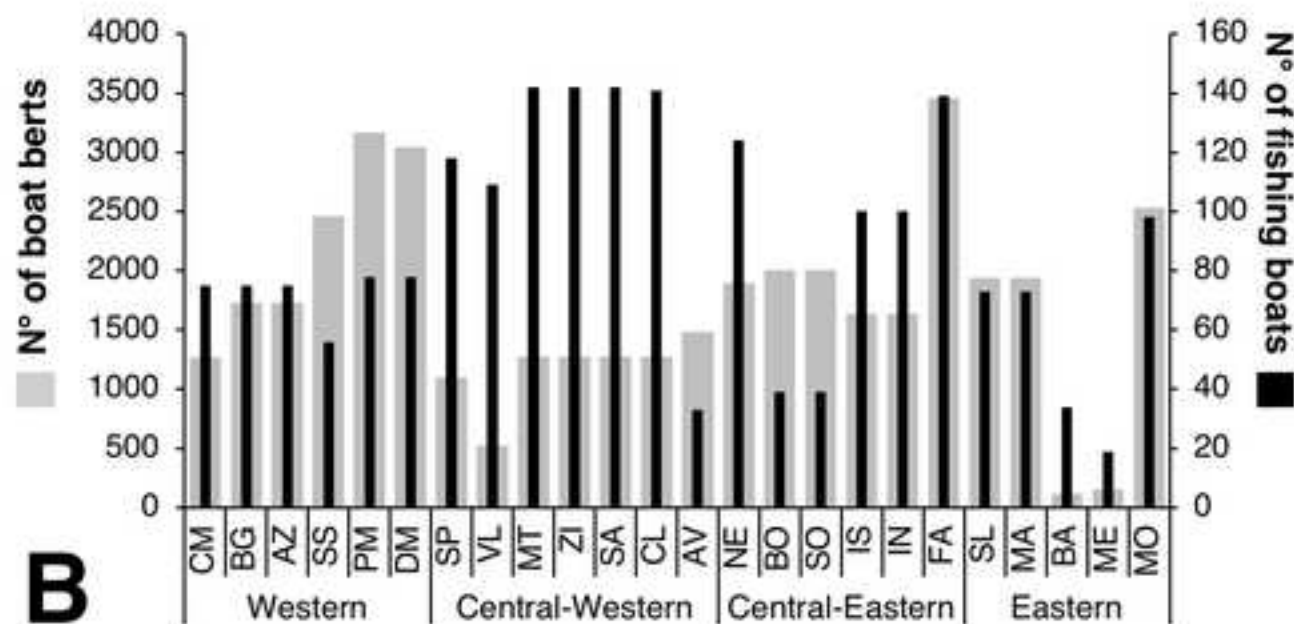
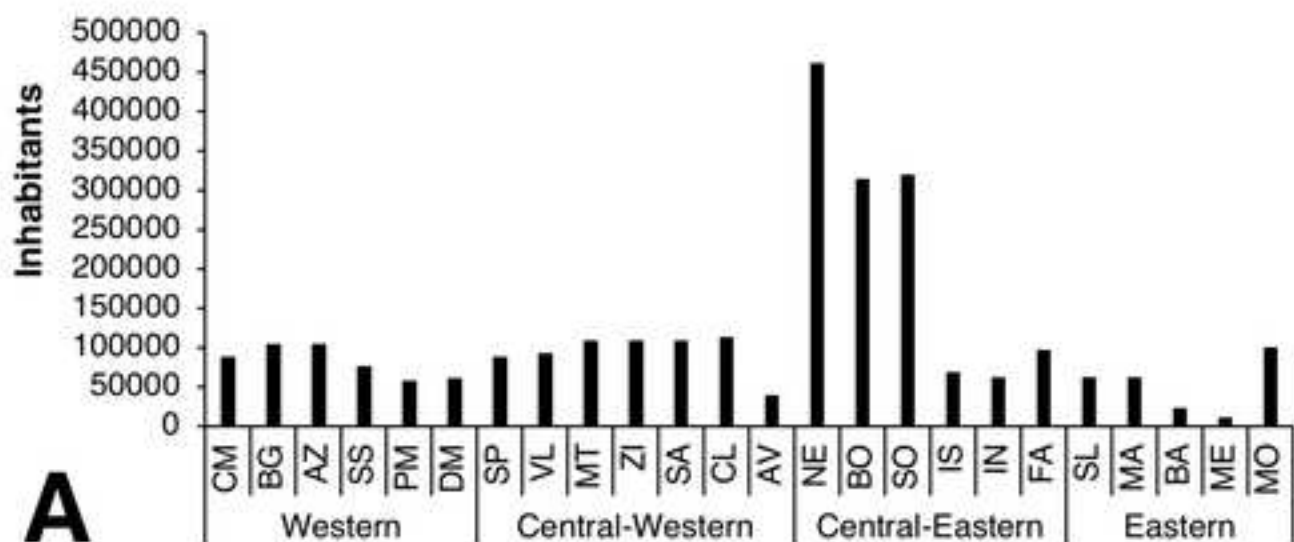


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**Table 1.** General information on the 24 sites investigated in this study.

Macro-area	Site	ID Code	Depth range (m)	Average slope (°)	Average hard bottom (m <sup>2</sup> )
<b>A1_Western sector</b>	S1_Capo Mortola	CM	26-45	37	52
	S2_Bordighera	BG	47-101	56	43
	S3_Arziglia	AZ	46-70	35	56
	S4_Santo Stefano	SS	52-92	53	78
	S5_Porto Maurizio	PM	34-53	36	77
	S6_Diano Marina	DM	50-53	36	77
<b>A2_Central/Western sector</b>	S1_Spotorno	SP	54-143	64	88
	S2_Vado Ligure	VL	48-67	48	55
	S3_Mantice Shoal	MT	77-93	41	64
	S4_Zinola	ZI	45-56	38	45
	S5_Savona	SA	56-84	60	81
	S6_Celle Ligure	CL	39-52	25	53
	S7_Arenzano	AV	36-58	21	50
<b>A3_Central/Eastern sector</b>	S1_Nervi	NE	32-56	46	38
	S2_Bogliasco	BO	53-56	22	52
	S3_Sori	SO	34-53	34	77
	S4_Punta Chiappa	IS	32-54	49	77
	S5_Cala degli Inglesi	IN	33-44	59	77
	S6_Punta del Faro	FA	55-83	36	56
<b>A4_Eastern sector</b>	S1_Sestri Levante	SL	43-68	23	54
	S2_Punta Manara	MA	55-71	29	31
	S3_Punta Baffe	BA	37-70	30	41
	S4_Punta Mesco	ME	36-58	53	42
	S5_Punta Montenero	MO	28-32	25	77



**Table 2.** Reference scores for the 13 components used in the MACS Index. See the text for more details. GL = general litter; LFG = lost fishing gears; SL = small items; LI = large items. Score 0 is acceptable.

Components	Score 1	Score 2	Score 3
<b>Index of Status</b>			
1. Species richness (SR)	≤20	>20 ≤40	>40
2. Basal bio-cover (BC)	<1.5	≥1.5 <2.5	≥2.5
3. Coralline algae cover (CC)	<1.5	≥1.5 <2.5	≥2.5
4. Dominance of structuring species (DM)	1 sp.	2 spp.	≥3 spp.
5. Density of all structuring species (SSD)	≤2	>2 ≤5	>5
6. Mean height of dominant structuring species (SSH)	≤1/3 of ½ max H	>1/3 ≤2/3 of ½ max H	>2/3 of ½ max H
<b>Index of Impact</b>			
7. Sedimentation (SD)	<1.5	≥1.5 <2.5	≥2.5
8. Percent of entangled colonies (ENT)	≤20	>20≤40	>40
9. Percent of necrotic colonies (NCR)	≤20	>20≤40	>40
10. Percent of epibionted colonies (EPB)	≤20	>20≤40	>40
11. Density of litter items (LD)	≤0.1	>0.1≤.0.2	>0.2
12. Litter typology (LT)	Presence of GL	Presence of LFG	GL + LFG
13. Litter size (LS)	Presence of SI	Presence LI	SI + LI

**Table 3.** Size parameters for the 13 structuring species encountered in this study. Values are expressed in cm.

Species	Score 1	Score 2	Score 3	Max height	Reference
<i>Axinella polypoides</i> Schmidt, 1862	≤13	>13 <25	≥25	70	Topsent, 1934
<i>Spongia (Spongia) lamella</i> (Schulze, 1879)	≤10	>10 <20	≥20	60	Pronzato 2018, pers. comm.
<i>Sarcotragus foetidus</i> Schmidt, 1862	≤8	>8 <15	≥15	50	Pansini et al., 2011
<i>Dendrophyllia cornigera</i> (Lamarck, 1816)	≤8	>8 <15	≥15	40	Fourt et al., 2017
<i>Antipathella subpinnata</i> (Ellis & Solander, 1786)	≤25	>25 <50	≥50	150	Bo et al., 2009
<i>Corallium rubrum</i> (Linnaeus, 1758)	≤8	>8 <15	≥15	50	Fourt et al., 2017
<i>Eunicella cavolini</i> (Koch, 1887)	≤10	>10 <20	≥20	60	Sini et al., 2015
<i>Eunicella singularis</i> (Esper, 1791)	≤13	>13 <25	≥25	70	Linares et al., 2008
<i>Eunicella verrucosa</i> (Pallas, 1766)	≤13	>13 <25	≥25	80	Fourt et al., 2017
<i>Paramuricea clavata</i> (Risso, 1826)	≤18	>18 <35	≥35	100	Linares et al., 2008
<i>Leptogorgia sarmentosa</i> (Esper, 1789)	≤18	>18 <35	≥35	100	Weinberg, 1976
<i>Myriapora truncata</i> (Pallas, 1766)	≤2.5	>2.5 <5	≥5	15	de la Nuez-Hernández et al., 2014
<i>Pentapora fascialis</i> (Pallas, 1766)	≤5	>5 <10	≥10	30	Cocito et al., 1998

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