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The effect of low frequency noise on the behaviour of juvenile *Sparus aurata*^{a)}

Manuela Mauro,¹ Isabel Pérez-Arjona,^{2,b)} Eduardo J. Belda Perez,^{2,b)} Maria Ceraulo,^{3,c)} Manuel Bou-Cabo,^{4,b)} Thomas Benson,⁵ Victor Espinosa,² Francesco Beltrame,⁶ Salvatore Mazzola,³ Mirella Vazzana,¹ and Giuseppa Buscaino³

¹Department of Biological, Chemical and Pharmaceutical Sciences and Technologies (STEBICEF), University of Palermo, Via Archirafi 18, Palermo, 90123, Italy

²Universitat Politècnica de València, Campus de Gandia, C/Paranimf, 1-46730, Spain

³BioacousticsLab, National Research Council UOS of Capo Granitola, Via del mare, Torretta Granitola, 3-91021, Italy

⁴Instituto Español de Oceanografía (IEO), C. O. Murcia, San Pedro del Pinatar (Murcia), 1-30740, Spain

⁵HR Wallingford, Howbery Park, Wallingford, OX10 8BA, United Kingdom

⁶ENR, The Italian Institution for Research and Promotion of Standardization, Via Francesco Crispi, Palermo, 248-90139, Italy

ABSTRACT:

Anthropogenic activities are causing increased noise levels in the marine environment. To date, few studies have been undertaken to investigate the effects of different noise frequencies on the behaviour of juvenile fish. In this study, the behavioural changes of juvenile gilthead seabream (*Sparus aurata*) are evaluated when exposed to white noise filtered in third-octave bands centred at 63, 125, 500, and 1000 Hz (sound pressure level, 140–150 dB re 1 μ Pa) for 7 h. The group dispersion, motility, and swimming height of the fish were analysed before and during the acoustic emission. Dispersion of the fish was found to reduce immediately upon application of low frequency sound (63 and 125 Hz) with a return to control condition after 2 h (indicative of habituation), whereas at 1 kHz, dispersion increased after 2 h without any habituation. The motility decreased significantly at 63 Hz throughout the 7 h of sound exposure. The swimming height decreased significantly for all frequencies other than 125 Hz. The results of this study highlight significant variations in the behavioural responses of juvenile fish that could have consequences on their fitness and survival. © 2020 Acoustical Society of America. <https://doi.org/10.1121/10.0001255>

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I. INTRODUCTION

Different types of human activities, such as freight shipping, seismic surveying, sonar usage and pleasure boating, are causing an increase in underwater noise in marine ecosystems (Hawkins *et al.*, 2015; Slabbekoom *et al.*, 2010). In recent years anthropogenic activity and, therefore, noise levels have increased in these ecosystems, and for this reason it is considered a pollutant by the World Health Organization (Kunc *et al.*, 2016), the International Maritime Organization, and the Marine Strategy Framework Directive (MSFD) of the European Union (2017/56/EC). The MSFD promotes the achievement of a good quality environmental status for European waters by 2020 and, in particular, the descriptor 11.2 on “continuous low frequency sound” aims to monitor trends in the ambient noise level within the third-octave bands of 63 and 125 Hz (centre frequencies). Although several scientific works highlight the importance of understanding better the effects of this new pollutant on

individuals, on populations, and therefore on whole ecosystems (Borsani *et al.*, 2015), important information about biological and behavioural responses of fish and invertebrates still remain unknown (Hawkins *et al.*, 2015). Several studies have shown that anthropogenic sound can affect marine life at physical, physiological, and behavioural levels (Slabbekoom *et al.*, 2010; Carroll *et al.*, 2017). Physical and physiological effects have been observed in the larval stages of some invertebrate and fish species with increases in mortality rates and developmental delays (Nedelec *et al.*, 2014; Nedelec *et al.*, 2015; McCauley *et al.*, 2017; Fakan *et al.*, 2019); while adults of invertebrates, fish, and mammals show evidence of damage to the auditory system (Solé *et al.*, 2013a; Solé *et al.*, 2013b; Ketten *et al.*, 1993; McCauley *et al.*, 2003). Effects were also found in serum and tissue biochemical parameters for invertebrate and fish species with significant increases in the levels of protein concentration, glucose, Heat Shock Proteins (HSPs) expression, and enzymatic activity (Buscaino *et al.*, 2010; Celi *et al.*, 2016; Vazzana *et al.*, 2016, 2017, 2020a,b). Marine mammals showed significant changes in heart rate levels (Lyamin *et al.*, 2011) and hormonal levels (glucocorticoids, norepinephrine, epinephrine, and dopamine; Romano *et al.*, 2004; Rolland *et al.*, 2012). In addition to the physical and

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^{b)}Also at: Unidad Mixta de Investigación IEO-UPV, Tinglados Muelle Frutero, Grau de Gandia, 46370, Spain.

^{c)}Electronic mail: ceraulo.maria@gmail.com. ORCID:0000-0003-2140-1367.

physiological effects, it has been shown that anthropogenic sounds can also influence the behavioural responses of marine organisms with potentially negative effects even on their survival. At the larval stage of some invertebrate and fish species, exposure to anthropogenic sounds has been associated with a reduction in settlement behaviour and, therefore, the probability of finding a suitable substrate for development (Simpson *et al.*, 2010; Holles *et al.*, 2013; Lecchini *et al.*, 2018). For adults, anthropogenic sound has been linked with (i) increases in locomotion activities (Buscaino *et al.*, 2010; Mueller-Blenkle *et al.*, 2010; Filiciotto *et al.*, 2014; Zhou *et al.*, 2018); (ii) a reduction in prey-predator interactions and, therefore, in defence or attack capabilities (Day *et al.*, 2016; Simpson *et al.*, 2016); (iii) a reduction in foraging activities and, therefore, in growth rates (Shi *et al.*, 2019; Nedelec *et al.*, 2017); and (iv) increases in startle responses (McCauley *et al.*, 2000; Nedelec *et al.*, 2015). It has also been observed that anthropogenic emissions can (a) reduce the communication skills of fish and mammals (Vasconcelos *et al.*, 2007; Gordon *et al.*, 2003; Codarin *et al.*, 2009; Slabbekoorn *et al.*, 2010), (b) cause movement away from the acoustic source and reduce reproductive capacity (Mueller-Blenkle *et al.*, 2010; Castellote *et al.*, 2012), and (c) increase swimming depth and reduce group cohesion (Van Parijs and Corkeron, 2001; Cox *et al.*, 2006; Sarà *et al.*, 2007; Neo *et al.*, 2015; Martín López, 2015; De Quirós *et al.*, 2019). Focusing, in particular, on behavioural responses of fish described in the literature, there are many studies on adults and larvae, whereas impacts of noise on the behaviour of juveniles have not yet been fully investigated (Spiga *et al.*, 2017; Holmes *et al.*, 2017; Ferrari *et al.*, 2018; Zhou *et al.*, 2018).

According to several authors, adult fish are more sensitive and vulnerable to acoustic stress than juveniles due to the larger size of the swim bladder (Casper *et al.*, 2013). However, other works claim that the bladder effects diminish in larger fish due to the greater distance between the swim bladder and the otoliths (Salas *et al.*, 2019). Indeed, recent studies highlighted that swim bladders can serve to dampen vibrations, avoiding the resonance effects by means of a particular viscoelastic structure (Fine *et al.*, 2016; Parmentier and Fine, 2016).

For juvenile individuals, stress can be particularly harmful as it can negatively affect growth (McCormick *et al.*, 1998; Woodley and Peterson, 2003) and increase the risk of predation (Sogard, 1997). Moreover, Salas *et al.* (2019) showed in the red drum that changes in bladder morphology and otolith-bladder relationships during larval stages can influence auditory capacity. Thus, in general, changes that occur during the early life stages of some fish species can result in dramatic changes in their structure, physiology, and behaviour. Furthermore, any stress that changes their sensory information can negatively affect their ability to assess risk and select appropriate reactions. This could make them more vulnerable to predators by influencing their survival (Mesa, 1994; McCormick *et al.*, 2002; Nilsson *et al.*, 2007; Munday *et al.*, 2010; McCormick and Lönnstedt, 2013) and the future generations.

Numerous studies have analysed the effects of specific acoustic emissions (natural or synthetic) on marine organisms, but few have examined the effects of different frequencies on groups of individuals of the same species. Since there are few studies on juvenile fish and, to our knowledge, there are none comparing the effects of different acoustic frequencies on their behaviour, we studied the stress effects of four different low frequency bands (third-octave centred at 63 Hz, 125 Hz, 500 Hz, and 1000 Hz) on juvenile gilthead seabream (*Sparus aurata*, Linnaeus, 1758). This is an important commercial demersal fish species which lives in small groups at a depth range from 1 to 30 m (Lloris, 2005). We analysed the effects of noise on dispersion, motility, and swimming height of the group. The aims of our work were to contribute a better understanding of the effects of the acoustic stress of maritime activities on juvenile individuals of a commercially important fish species, identifying which frequencies have the greatest impact on them and whether they habituate to the sound.

II. MATERIALS AND METHODS

A. Experimental animals

The experiments were carried out at the Polytechnic University of Valencia (UPV, Gandia Campus, Spain). In total, 90 juveniles of *S. aurata* were used, obtained from a marine farm in Sagunto (Spain) with a weight 14.1 ± 0.9 g and total length 10.5 ± 0.2 cm (mean \pm standard deviation). The fish were maintained in a circular tank (radius 2 m, water depth 0.75 m). The water in the tank was filtered and recirculated with a constant temperature of 12 ± 2 °C and a dissolved oxygen concentration of 8 mg l^{-1} . The fish were maintained in a natural photoperiod and fed with commercial dry pellets (0.5% of their body weight). To acclimatize, the animals were moved to the experimental tank (which was identical to the maintenance tank) and not fed for 24 h before the experiment. Experiments were conducted under authorisation of the Dirección General de Producción Agraria y Ganadería, Generalitat Valenciana, Spain (Authorisation No. 2018/VSC/PEA/0156).

1. Acoustics emission and recording systems

The fish were continuously stressed for 7 h with white noise filtered at third-octave frequencies of 63 Hz, 125 Hz, 500 Hz, and 1000 Hz at a sound pressure level (SPL) of between 140 and 150 dB re $1 \mu\text{Pa}$ at 1 m (Fig. 1). This range of frequencies was chosen because the hearing of most fish species is sensitive at frequencies of up to 1 kHz (Popper *et al.*, 2003) and also because it includes the 63 Hz and 125 Hz centre frequencies as indicated in the MSFD as the descriptors to monitor the level of low frequency continuous noise in the sea (Dekeling *et al.*, 2014).

The acoustic experimental setup to emit sounds in the tank consisted of an electroacoustic system composed of a RedPitaya Digital Acquisition (DAQ) board (Red Pitaya d.d., Solkan, Slovenia) connected to an amplifier (TA-F161 Sony Integrated Stereo Amplifier, Sony Corporation, Tokyo, Japan)

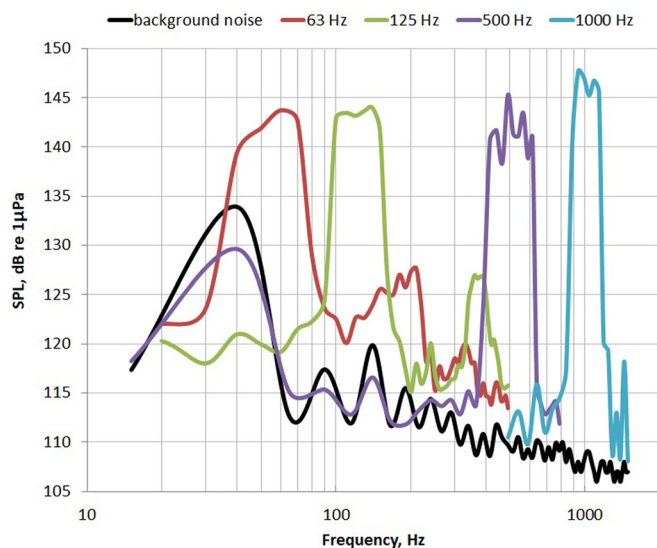


FIG. 1. (Color online) Power spectrum (dB re 1 μ Pa) of tank background noise and different acoustic stimulus. Logarithmic scale on the x axis. Sampling frequency 6000 Hz, fast Fourier transform (FFT) length of 256, frequency resolution 24 Hz, Hamming window, and overlap 50%.

and finally feeding into a loudspeaker (Beyma-UA-UPV prototype, Acustica Beyma, Valencia, Spain). The loudspeaker was previously calibrated using a reference hydrophone (Reson TC4034, Teledyne Reson, Slangerup, Danimarca). Signals with a SPL of 150 dB at each frequency band were generated using a MATLAB® code (The MathWorks, Natick, MA) specifically developed for this work. The loudspeaker was located on the side of the experimental tank without touching the wall.

For controlling the noise in the experimental tank both during control and experimental trials, we used a calibrated hydrophone (Reson TC4034, Slangerup, Danimarca) connected to a Red Pitaya DAQ board (Solkan, Slovenia) operating at a sampling frequency of 7.6 kHz.

To ensure that the experimental and maintenance tanks were acoustically isolated, preliminary recordings of acoustic ambient noise in the maintenance tank were carried out with the emission system in the experimental tank switched both on and off.

The experimental trials and controls were carried out in the same tank, following a random order, so that problems due to sound transmission were avoided. The estimated sound exposure level for 7 h ranged from 184 to 194 dB re 1 μ Pa² s.

2. Experimental plan

In total, 15 trials were performed consisting of 3 test replicates for each experimental frequency (63, 125, 500, and 1000 Hz) and a further 3 replicates for the control trial, keeping the speaker turned on but without any sound emission. This setup was chosen to avoid possible differences between the experimental and control trials due to the electromagnetic field (Fig. 2).

For each replicate, 6 fish were used, totalling 90 fish across all the trials. To eliminate the effect of possible variation in light and temperature conditions, the trials were performed in

	Replica 1	Replica 2	Replica 3
Trial 63 Hz	6 fish	6 fish	6 fish
Trial 125 Hz	6 fish	6 fish	6 fish
Trial 500 Hz	6 fish	6 fish	6 fish
Trial 1 kHz	6 fish	6 fish	6 fish
Trial CTRL	6 fish	6 fish	6 fish

FIG. 2. (Color online) Experimental plan with replicas for each of four frequency bands and controls.

random order, and the total duration of the experiments was kept to a minimum (approximately one month).

The animals to be tested were randomly selected from the maintenance tank 24 h before the beginning of each trial and transferred to the experimental tank for acclimatization. The hydrophone and the underwater speaker were present in the tank during all the trials, including during the control trials (without acoustic signal generation). Each trial lasted a total of 435 min: 15 min before the sound emission followed by 420 min (7 h) of acoustic exposure.

For this study, we considered the habituation response as being defined as “a relative persistent waning of a response as a result of repeated stimulation, which is not followed by any kind of reinforcement” (Thorpe, 1963; Bejder *et al.*, 2009). In the experiments, the habituation response can therefore be measured as a return of behaviour similar to the control condition. To assess the habituation response to the acoustic stress, considering the limitations in the specifications (in particular battery life) of the two cameras, we chose a specific sampling design for video collection. In each trial, the sampling was divided temporally as follows:

- 15 min before the acoustic emission (before),
- the first 60 min of the acoustic stress emission (D1), and
- the final 15 min of every hour for the following 6 h during which the acoustic stress was emitted (D2–D7).

B. Behavioural analysis

To facilitate the monitoring of the fish behaviour with the video cameras, the fish were confined in a smaller cylindrical net cage (height 1.40 m and diameter 75 cm). The behaviour of the animals was recorded using an underwater

camera (GoPro HERO4, GoPro, Inc., San Mateo, California) located 1 m horizontal distance from the cage at a depth of 0.35 m and an external (in-air) camera (Axis camera 1346, Axis Communications, Lund, Sweden) placed at a distance of 1 m from the top of the cage looking downward (Fig. 3). This arrangement was chosen to make the whole cage visible in both camera's field of view.

For each trial, the camera positioned on the top of the cage (see Fig. 3) recorded for a total of 165 min: 15 min before sound emission (before), then 60 min during the acoustic emission (D1), and again during the final 15 min of each hour for the next 6 h of acoustic emission (D2–D7). The underwater camera, used for recording the swimming height, recorded only 60 min (during the D1 period) due to battery limitations (see Fig. 3).

Analysis of the recorded videos was performed to evaluate dispersion, motility, and swimming height in the water column (Table I). These parameters were chosen because other authors demonstrated their effectiveness in highlighting behavioural responses following an acoustic stress (Buscaino *et al.*, 2010; Hawkins *et al.*, 2014; Neo *et al.*, 2014; Neo *et al.*, 2015; Neo *et al.*, 2016; Nedelec *et al.*, 2016).

The dispersion and the motility behaviours were assessed using recordings from the top-down camera while swimming height was assessed using recordings from the underwater

camera. To extract the dispersion and motility data, the bottom of the cage was virtually divided into a grid of 15 cm squares [the total surface of the bottom was 4416 cm²; see Fig. 3(a)]. For the swimming height evaluation, the water column was virtually divided into three zones: zone zero (the deepest), zone one (intermediate), and zone two [the highest; see Fig. 3(b)]. The video recordings were visualized for the analysis using Windows Media Player Classic (Microsoft Corporation, Redmond, Washington).

1. Dispersion

To analyse the dispersion, the recordings from the video camera positioned above the tank were used. These recordings framed the bottom of the cage net in the field of view. Dispersion was calculated by counting the number of squares occupied by the fish (by virtually drawing a closed polygon around the fish group) in one still image every 30 s (see Table I). The area occupied was expressed as cm² per still image. The first measurement was collected at time zero.

2. Motility

For the motility analyses, the same recordings were used as for the dispersion. A 10 s snippet of video was

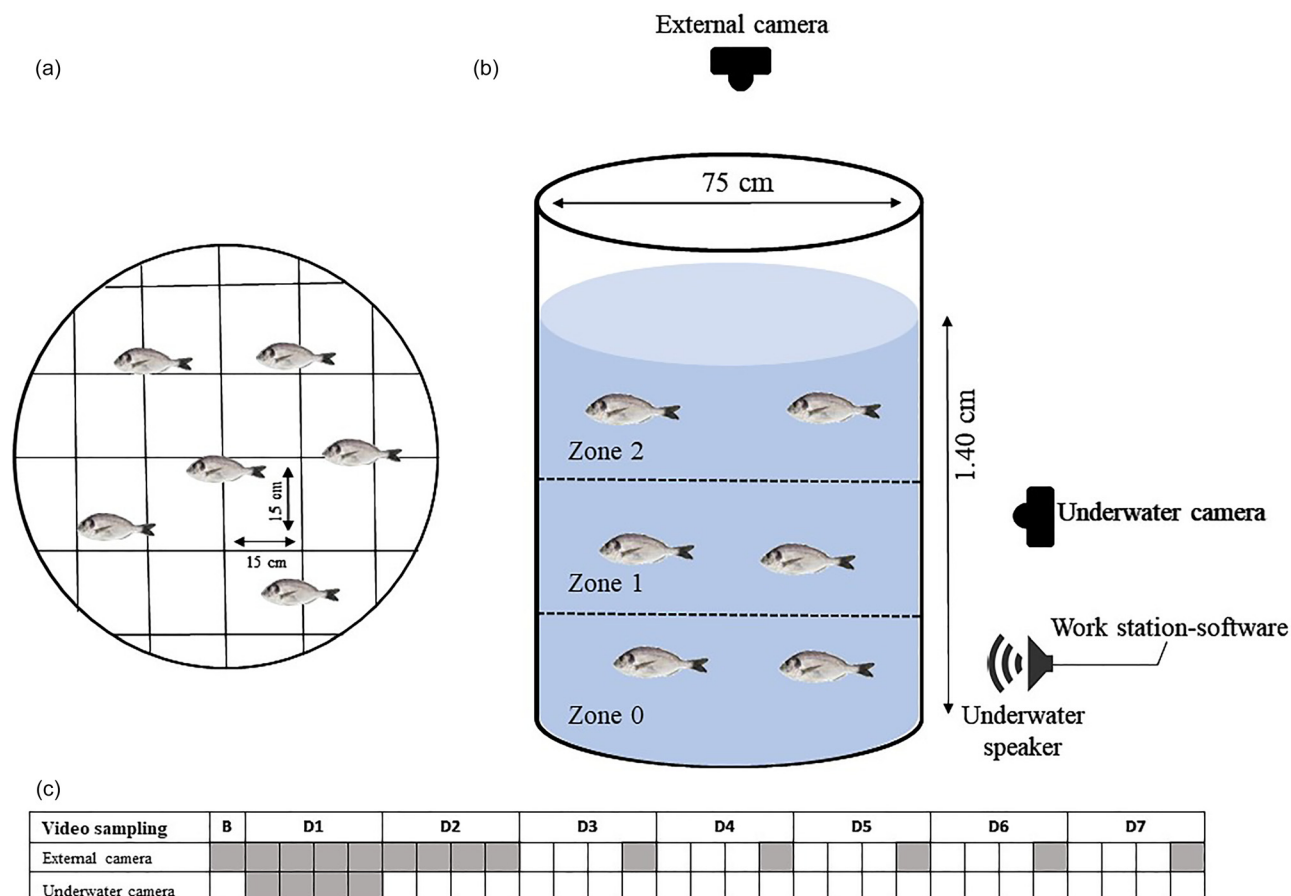


FIG. 3. (Color online) (a) Schematic representation of the grid created at the bottom of the basket for the study of dispersion and motility of fish. (b) Schematic representation of the cylindrical cage net, underwater speaker, and external and underwater cameras; the water column was ideally divided into three parts to study the swimming height of fish: zero zone (lower), one zone (intermediate), two zone (higher). (c) Timing of each trial and video monitoring. Each square represents 15 min.

TABLE I. Behavioural categories description.

	Description	Sampling design	Sampling effort	Unit
Dispersion	Area occupied by fish measured as the number of squares occupied by fish multiplied by the single square area (225 cm ²)	Instantaneous sampling on a 30 s grid (in before, during, and post periods)	338 frames per trial and 5070 frames in total	cm ²
Motility	The number of squares crossed by each of six fish in 10 s. These six values were averaged.	One measurement every 5 min	41 values for each trial and 615 values in total	cm/s
Swimming height	The zone occupied by each of six fish. These six values were averaged.	One measurement every 5 min (only the during period).	13 values for each trial and 195 values in total	Dimensionless index

analysed every 5 min of the recording (starting at time zero). For each snippet, the number of grid squares crossed by each fish in that time period was counted (see Table I). The number of squares was then converted into an approximate swimming speed (cm/s) by considering the size of each square (15 cm²) and the time period of analysis (10 s). In this way, the motility was evaluated for each fish and then averaged.

3. Swimming height

The swimming height was obtained using the underwater camera (GoPro, Inc.) video recordings [see Fig. 3(b)], which viewed the side of the cage net. Every 5 min, starting at time zero, the zone occupied by each fish was noted (see Table I). These six values were averaged. This parameter was measured only during the first hour of acoustic emission (D1).

C. Statistical analysis

The experiments were performed with three replicates for each sound emission frequency and another three replicates for the control trial (Fig. 2). Dispersion, motility, and swimming height data were tested for normal distribution using Shapiro-Wilk tests.

Non-parametric U-Mann Whitney tests were carried out to evaluate differences in dispersion and motility between the control and acoustic trials for each period of sampling.

To investigate the nonlinear effect of the experimental time (before and during the noise exposure) on behavioural parameters, generalized additive models (GAMs) were carried out for each trial using the mgcv package (Wood *et al.*, 2016) in R (version 3.4.0). Dispersion and motility parameters were used as dependent variables and the experimental time was used as a smooth term. The family distribution applied in the models was changed according to the results of normal distribution tests (Gaussian family for normally distributed data and Gamma family for non-normal distributed data). The model diagnostic was checked for each model. Concerning the swimming height, the Kruskal-Wallis test and multiple comparisons *post hoc* test were applied, comparing different trials only for the first hour of acoustic exposure (D1).

III. RESULTS

The analysis of the dispersion and motility behaviours in the 15 min sampling periods before acoustic emission

started did not show any significant differences between the test and control groups (Table II). Differences were, however, observed during the sound exposure as described in Secs. III A–III C.

TABLE II. Results of U-Mann Whitney test to explore significant differences in the dispersion and motility behaviours between control groups and each test group at different frequency bands (1/3 octave band centred at 63, 125, 500, and 1000 Hz) inside each period (before, D1, D2, D3, D4, D5, D6, D7). Bold values indicate significant differences between control groups and test groups.

		Dispersion			Motility		
		Z	p-level	Valid N	Z	p-level	Valid N
63 Hz	Before	-0.64	0.5221	93	0.84	0.4025	12
	D1	12.64	0.0000	363	5.64	0.0000	39
	D2	3.54	0.0005	93	3.97	0.0001	12
	D3	0.94	0.3488	93	2.25	0.0243	12
	D4	-0.85	0.3969	93	2.92	0.0036	12
	D5	-1.32	0.1865	93	3.03	0.0024	12
	D6	-0.85	0.3931	93	2.25	0.0243	12
	D7	0.72	0.4721	93	3.03	0.0024	12
125 Hz	Before	-1.33	0.1838	93	-0.03	0.9770	12
	D1	15.94	0.0000	363	5.61	0.0000	39
	D2	2.62	0.0094	93	3.80	0.0002	12
	D3	0.20	0.8445	93	0.61	0.5444	12
	D4	0.68	0.4942	93	-0.78	0.4357	12
	D5	1.97	0.0484	93	-1.48	0.1379	10
	D6	1.57	0.1167	93	0.32	0.7508	12
	D7	1.42	0.1543	93	1.04	0.2987	12
500 Hz	Before	-0.25	0.7990	93	0.12	0.9081	12
	D1	4.17	0.0000	363	0.24	0.8105	39
	D2	-0.13	0.9003	93	1.94	0.0531	12
	D3	-1.27	0.2053	93	0.75	0.4529	12
	D4	-1.10	0.2700	93	1.50	0.1333	12
	D5	-0.16	0.8755	93	-0.20	0.8399	12
	D6	-2.59	0.0095	93	0.06	0.95402	12
	D7	-4.01	0.0001	93	0.00	10.000	12
1 kHz	Before	0.88	0.3797	93	0.09	0.9310	12
	D1	0.43	0.6692	363	1.31	0.1888	39
	D2	-3.18	0.0017	93	0.70	0.4884	12
	D3	-3.79	0.0001	93	1.85	0.0647	12
	D4	-1.57	0.1163	93	3.12	0.0018	12
	D5	-3.25	0.0012	93	1.53	0.1260	12
	D6	-3.16	0.0016	93	3.26	0.0011	12
	D7	-3.93	0.0001	93	1.33	0.1842	12

A. Dispersion behaviour

The analysis of dispersion showed significant differences during the first hour of acoustic emission (D1) for all frequencies except for 1 kHz (Table II and Fig. 4). During this period, a large decrease in dispersion was observed (equivalent to an increase in cohesion) as compared to the control groups. For example, for 125 Hz, before emission started the dispersion was $2922 \pm 631 \text{ cm}^2$ (mean \pm SD), while during D1 the values decrease to $1939 \pm 603 \text{ cm}^2$. At the lower sound frequencies (63 and 125 Hz), the differences between the control and acoustic groups decreased with time of exposure (Fig. 4) with a gradual return to approximately the control values. At these frequencies the sound

exposures had an immediate behavioural effect visible in the first two hours of emission that was greatest at 125 Hz. After that, a gradual habituation effect was observed. At 63 Hz, the specimens showed values comparable to the control at the third hour, which remained for the last five hours of exposure, whereas at 125 Hz the average dispersion stayed slightly lower with a significant decrease occurring at the fifth hour of exposure (D5).

Conversely, at the higher frequencies (500 Hz and 1 kHz), the differences increased over time with higher values for the acoustic test groups compared to the control groups.

For the 500 Hz tests, a significant reduction in dispersion was observed during the first hour of acoustic emission

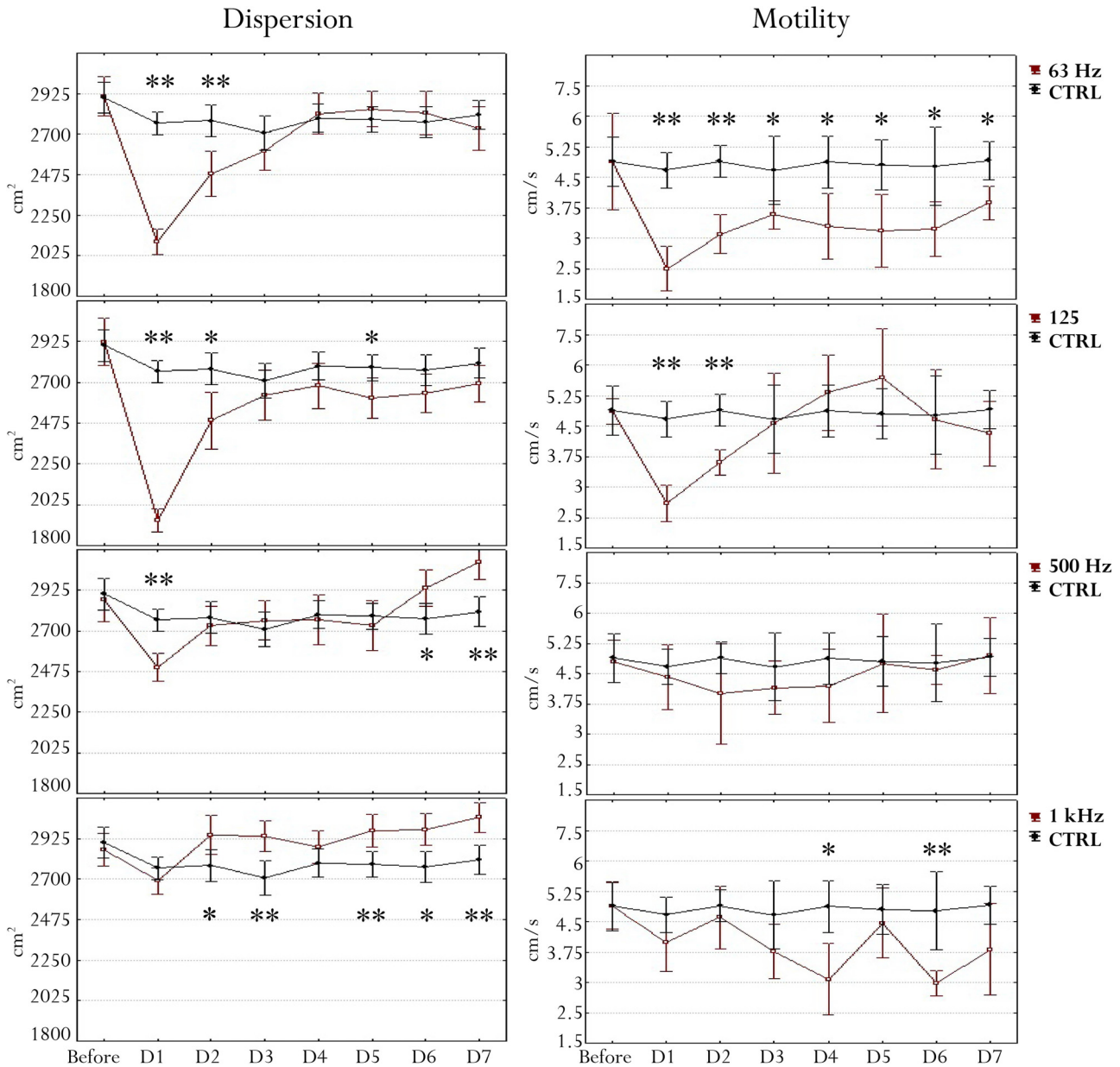


FIG. 4. (Color online) (Left) Mean \pm 95% confidence interval of the dispersion obtained for each replicate by counting the number of squares occupied by the group of fish every 30 s. (Right) Mean \pm 95% confidence interval of motility obtained for each replicate by counting the number of squares crossed by each fish in 10 s and then averaged. Statistical differences are shown within the same period between the control and acoustic groups (* $p < 0.05$, ** $p < 0.0001$).

followed by a significant increase in the last two hours. For the 1 kHz tests, the animals' dispersion increased significantly after the second hour of emission.

The GAMs analysis confirmed the effect of time of exposure at all frequencies (see Table III) with higher explained deviance in 63 Hz, 125 Hz, and 500 Hz. The smooth term for the control trial significantly modelled the data but with a very low explained deviance (4.1%).

B. Motility behaviour

A general and significant decrease of motility was observed for the fish exposed to acoustic noise. As was

observed for dispersion, this reduction was largest in the first hour of exposition (D1). The analysis of motility showed significant differences during the first hour of acoustic emission (D1) at 63 Hz and 125 Hz (Table II and Fig. 4) with values that changed from 3.3 ± 1.3 cm/s to 1.5 ± 1.1 cm/s for the 63 Hz trial and from 3.2 ± 0.3 cm/s to 1.7 ± 0.9 cm/s for the 125 Hz trial. At 63 Hz, the animals did not return to the control values for the rest of the trial, while at 125 Hz, they showed similar motility values to control after 3 h of exposure (D3).

At 500 Hz, no significant differences were observed between the control and acoustic test groups even though, on average, lower values of motility were recorded during

TABLE III. Results of the generalized additive models (GAMs) considering as dependent variables, dispersion and motility parameters, and as smooth term, the experimental time; edf is effective degrees of freedom.

Dispersion ~ s (experimental time)						
Trial	Control (n = 1011)		Estimate	Standard error	t value	p value
		Intercept	7.93	0.005	1541	0.001
			edf	F	p value	Deviance explained
	63 Hz (n = 1014)	Smooth term (experimental time)	8.359	1.45	<0.001	4.09%
		Intercept	7.811	0.0069	1123	0.001
			edf	F	p value	Deviance explained
	125 Hz (n = 997)	Smooth term (experimental time)	23.62	26	<0.001	40.20%
		Intercept	7.789	0.00693	1124	0.001
			edf	F	p value	Deviance explained
	500 Hz (n = 1004)	Smooth term (experimental time)	21.41	23.28	<0.001	33.10%
		Intercept	7.905	0.00628	1259	0.001
			edf	F	p value	Deviance explained
	1 kHz (n = 1013)	Smooth term (experimental time)	26.24	25.45	<0.001	34.60%
		Intercept	7.9511	0.005921	1343	0.001
			edf	F	p value	Deviance explained
		Smooth term (experimental time)	24.6	14.26	<0.001	25.50%
Motility ~ s (experimental time)						
Trial	Control (n = 123)		Estimate	Standard error	t value	p value
		Intercept	3.185	0.068	46.7	<0.001
			edf	F	p value	Deviance explained
	63 Hz (n = 119)	Smooth term (experimental time)	1	0.22	0.622	0.20%
		Intercept	2.179	0.079	27.5	<0.001
			edf	F	p value	Deviance explained
	125 Hz (n = 997)	Smooth term (experimental time)	6.881	4.009	<0.001	25.70%
		Intercept	2447.24	16.56	147.8	<0.001
			edf	F	p value	Deviance explained
	500 Hz (n = 118)	Smooth term (experimental time)	12.55	37.41	p < 0.001	33.30%
		Intercept	1.119	0.029	38.2	<0.001
			edf	F	p value	Deviance explained
	1 kHz (n = 121)	Smooth term (experimental time)	7.78	2.56	<0.05	16.50%
		Intercept	2.68	0.0947	28.29	p < 0.001
			edf	F	p value	Deviance explained
		Smooth term (experimental time)	4.309	1.418	0.212	7.90%

the first 4 h of acoustic exposure. The 1 kHz groups showed significant differences compared to control groups after 4 and 6 h (D4 and D6) of exposure to the noise (see Fig. 4).

In summary, the time of exposure was found to significantly affect the motility behaviour of the fish at most of the tested acoustic frequencies (63, 125, and 500 Hz) with higher explained deviance for the 63 Hz and 125 Hz trials. No significant effect was found for the control group and the highest test frequency of 1 kHz (Table III).

C. Swimming height behaviour

Fish exposed to noise were generally observed to swim toward the bottom (Fig. 5). The swimming height of the fish, assessed during the first hour of acoustic exposure, showed significant differences between the acoustic groups and the control groups (Table IV). Only the 125 Hz tests showed no significant differences compared to the control group (Table IV).

IV. DISCUSSION

The results of this study have highlighted significant variations in the behavioural responses of juvenile fish depending on the exposure to different acoustic frequencies. The greatest impact was observed at lower acoustic frequencies (1/3 octave bands centred at 63 Hz and 125 Hz). However, the higher frequencies also elicited significant behavioural changes, especially during the final few hours of monitoring (see, for example, the dispersion at 1 kHz).

Control specimens were observed to occupy a larger area with higher dispersion levels compared to the trials in which specimens were exposed to sound. However, the control groups still tended to occupy only half of the available area, on average, despite the lack of acoustic stimulus. Considering that our study was carried out during daylight hours, this result is in agreement with Hawkins *et al.* (2012), who observed a greater cohesion during daylight hours in

TABLE IV. Results of the Kruskal-Wallis (K-W) test and the multiple comparisons *post hoc* test for swimming height (ε' values) measured in the different trials. Bold values of the test are significant at $p < 0.05$ and bold values with “*” are significant at $p < 0.0001$.

		63 Hz	125 Hz	500 Hz	1 kHz	Control
63 Hz	K-W test H		2.82	0.92	1.51	5.29*
125 Hz	(4, $N = 195$) = 54.5	2.82		3.74	1.31	2.47
500 Hz	$p < 0.0001$	0.92	3.74		2.43	6.21*
1 kHz		1.51	1.31	2.43		3.78
Control		5.29*	2.47	6.21*	3.78	

fish *Sprattus sprattus* exposed to acoustic stress. Our results demonstrated that all frequencies tested had a significant effect on the dispersion. The initial effects were noticeably different depending on the frequency of the emitted sound but generally occurred immediately after exposure to the noise. The fish also showed evidence of returning to control behaviour over time, depending on the particular acoustic frequency.

The variability in the dispersion of the group can be explained by changes in swimming speed responses and different freezing responses. During the low frequency exposures (63 and 125 Hz) at the start of the acoustic emission, the animals reacted quickly by grouping at a single point very close to each other with evident freezing reactions. At higher frequencies (500 Hz and 1 kHz), on the other hand, the animals reacted to the sound not by grouping at a single point but by immediately stopping at the point where they were at the start of the acoustic emission with shorter freezing reactions than the low frequencies followed by rapid movements from point to point in the cage.

Our results confirmed the effect of anthropogenic noise on group dispersion and they are in agreement with the formation of closer groups as described in Fewtrell and McCauley (2012). We confirm that dispersion can be a good behavioural impact indicator, even using a small experimental arena in which the ability of the individuals to see each other is elevated.

Regarding motility, significant effects on behaviour were found during the first hour of sound exposure at lower frequencies (63 Hz and 125 Hz). The reduction in motility observed at these frequencies is not in agreement with the results obtained by Buscaino *et al.* (2010) and Neo *et al.* (2016), who tested animals at frequencies ranging between 100 Hz and 1 kHz. However, adult individuals of *S. aurata* and slightly different acoustic frequencies were used in those studies and, for this reason, a different response in juveniles might be expected (Holmes *et al.*, 2017; Ferrari *et al.*, 2018).

The measured recovery of motility over time, returning to control values, could be due to habituation or sensory adaptation and/or muscle fatigue (Domjan, 2010; Neo *et al.*, 2014). Out of the two lowest tested frequencies, 63 Hz appeared to have the most impact with the fish never showing a recovery behaviour. Conversely, at 125 Hz, the fish returned to the control values during the third hour of

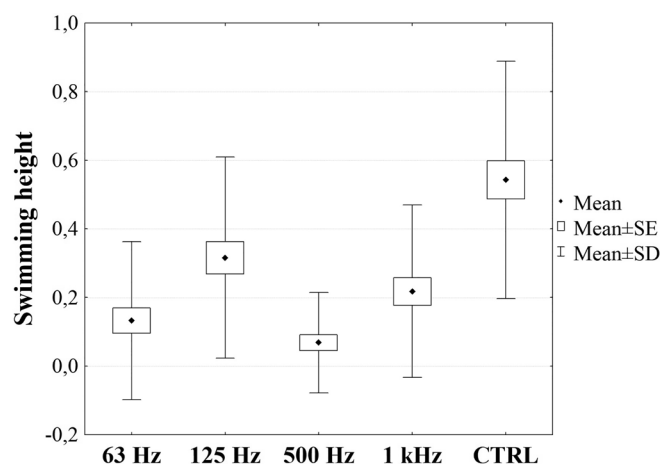


FIG. 5. Swimming height obtained by dividing the water column into different zones: 0 for the lowest, 1 for the middle, and 2 for the highest. The multiple comparison *post hoc* test showed significant differences between control (CTRL) trials versus all sound frequency trials ($p < 0.05$) other than the 125 Hz trials ($p = 0.13$).

exposure and subsequently exceeded them. These increases in speed, even if not significant, may also be caused by sudden reactions and accelerations (Blaxter *et al.*, 1981; Fewtrell and McCauley, 2012; Kastelein *et al.*, 2008; Pearson *et al.*, 1992; Purser and Radford, 2011; Wardle *et al.*, 2001). Reactions of this type were also observed in our study during the 1 kHz trials, which showed higher variability in the motility measurements. A considerable amount of attack and startle reactions were observed at this stimulus frequency, which influenced the motility. It is probable that the animals became more irritated or frightened. Such reactions are essential anti-predation and anxiety behaviours (Cachat *et al.*, 2010), and their variations could indicate a negative impact of low frequencies on fish motility.

The swimming depth significantly decreased during all tested exposure frequencies other than 125 Hz. This observation of a change in swimming depth due to noise is in agreement with the results of Sarà *et al.* (2007), in which tuna were subjected to motorboat noise. Several other studies have shown that fish dive deeper after noise exposure, resulting in movement vertically down through the water column and not horizontally (Doksæter *et al.*, 2012; Fewtrell and McCauley, 2012; Gerlotto and Fréon, 1992; Handegard *et al.*, 2003; Slotte *et al.*, 2004). Our results are also in agreement with Neo *et al.* (2016), who observed an increase in swimming depth in *S. aurata* adults in response to an acoustic stress. They also observed that the fish, when exposed to an acoustic stimulus, tended to swim closer to the emitter while increasing their swimming depth. This was explained as a possible phonotactic response due to curiosity toward the sound emitted (Nelson and Johnson, 1972; Weilgart, 2007). However, in our study, the greater depth of swimming due to curiosity can be discounted because the fish tended to be in the part of the basket that was more distant from the acoustic emitter. The observed behaviour of swimming toward the bed could be due to anxiety (Cachat *et al.*, 2010; Israeli-Weinstein and Kimmel, 1998; Kuwada *et al.*, 2000; Luca and Gerlai, 2012; Skilbrei and Holst, 2009; Wilson and Dill, 2002), which is also observed in outdoor studies (Gerlotto and Fréon, 1992; Handegard *et al.*, 2003; Slotte *et al.*, 2004). Our study confirms that swimming depth can be a good behavioural indicator of response to stress, demonstrating an attempt to escape and perhaps a reduction in risk of predation.

The acoustic field generated inside the tank was not characterized in detail during the experimental measurements; it was considered that at the main frequencies of interest, 63 Hz and 125 Hz, the wavelength associated was on the order of 23 m and 12 m, respectively. At these wavelengths, and considering the dimensions of the tank, no significant effects of own mode vibration are expected. However, it is recognised that slight variations in the acoustic sound field due to acoustic reverberation and interference phenomena could have influenced the fish movements inside the tank. For this reason, it is necessary to carefully interpret our results, and further validation should be performed in the future against observed behavioural responses of this species in the wild.

Some of the variability in behavioural responses between the low and high frequency exposures could possibly be due to differing sound sensitivities of the fish. Currently, the audiogram of many fish species, in this case juvenile *S. aurata*, is not known, but the frequency ranges from 50 and 1122 Hz (with a SPL of 140–150 dB re 1 μ Pa) are generally heard by most fish species (Popper *et al.*, 2003). Although more studies are needed to confirm our findings, our results suggest that the fish responded differently to the frequencies used in experiments.

The observed recovery time could be due to either habituation or sensory adaptation (Domjan, 2010). The possibility of a species becoming accustomed to an acoustic stress has been observed in a number of previous studies (Neo *et al.*, 2014; Neo *et al.*, 2015; Neo *et al.*, 2016; Neo *et al.*, 2018; Nedelec *et al.*, 2016) and, in accordance with Neo *et al.* (2014), the continuous noise used in our experiments may have favoured a partial habituation effect compared to an intermittent noise. When an organism is exposed to a continuous noise, it is subjected to a continuous stimulation that involves a rapid habituation to stress and therefore a more rapid recovery (Rankin and Broster, 1992; Rankin *et al.*, 2009). Conversely, in the presence of intermittent sounds, the recovery to pre-exposure levels in sea bass has been observed to be slower (Neo *et al.*, 2014; Koolhaas *et al.*, 2011; Rankin *et al.*, 2009).

In the present study, we did not consider the full recovery of the measured parameters since it would likely require a much longer time—on the order of weeks (Smith *et al.*, 2004a,b; Wysocki and Ladich, 2005). Furthermore, the possibility that fish become accustomed to the acoustic stress does not exclude the presence of any negative impacts (Bejder *et al.*, 2009). In fact, although fish get used to stress, it is possible to find effects on essential functions, such as the distribution and organization of the group, with consequences at physiological (Anderson *et al.*, 2011; Filiciotto *et al.*, 2013) and auditory levels (Vasconcelos *et al.*, 2007).

Although it has not been directly demonstrated, the behavioural changes observed could have consequences on the survival, reproduction, foraging, and growth of the species and the time of surveillance of the offspring, which could also be adversely impacted (Picciulin *et al.*, 2010; Blom *et al.*, 2019).

In this study, we characterized the sounds referring to the pressure unit (1 μ Pa), but many fish can also detect particle displacement, particularly at frequencies below a few hundred hertz (Popper and Hawkins, 2018).

Although we are aware of the importance of describing sounds in terms of particle motion as well as sound pressure, when investigating the effects of sounds on fish (Popper and Hawkins, 2019), we could not directly obtain such measurements. On the other hand, measurements of particle displacement in three dimensions in a small tank are heavily affected by the presence of reflecting walls, bottom and water surface, and their usefulness becomes restricted (Ceraulo *et al.*, 2016). The results of our study are based on comparisons between control and acoustic groups and not between the different acoustic stimuli. In light of these

considerations, the particle motion measurements became of secondary importance. Laboratory measurements can produce different results when compared to equivalent data obtained in the natural environment. For example, fish in the wild have been shown to react more strongly to acoustic stress compared to captive fish (Benhaïm *et al.*, 2012; Lepage *et al.*, 2000). Unknown environmental conditions in the field can also influence behaviour of wild fish (Brewer, 2000). This makes it difficult to obtain controlled measurements in the field. So, although field measurements are very important, our study shows that laboratory experiments benefit from the ability to carry out behavioural experiments in a reliable and controlled manner; the costs are also much less prohibitive compared to field surveys (Bruitjes *et al.*, 2017).

Although in our work some variables in the behaviour analysis did not show significant differences (e.g., 500 Hz on motility and 125 Hz on swimming height), this does not necessarily indicate the absence of an effect since individuals can respond to stress with different strategies which may not have been apparent simply by visual observation (Koolhaas *et al.*, 2011; Silva *et al.*, 2010). Previous studies have demonstrated that human generated noise can cause stress in fish leading to physiological changes in levels of heat shock proteins, cortisol, glucose, protein concentration, and lactate in plasma and tissue (Santulli *et al.*, 1999; Buscaino *et al.*, 2010; Celi *et al.*, 2016; Vazzana *et al.*, 2017; Anderson *et al.*, 2011; Smith *et al.*, 2004a; Wysocki *et al.*, 2006). Although probably a hormonal variation would have been found following acoustic stress (Anderson *et al.*, 2011; Santulli *et al.*, 1999; Smith *et al.*, 2004a; Wysocki *et al.*, 2006), an evaluation of the physiological effects was not possible during the present study, and further investigations in this area are warranted.

V. CONCLUSIONS

For the first time, our study compared the effects of different acoustic frequencies (within the range 63–1000 Hz) on the behavioural responses of juvenile individuals of *S. aurata* in controlled laboratory experiments. Our results showed that the largest responses occurred at the two lowest tested frequencies, 63 Hz and 125 Hz. This finding is in accordance with the MSFD (Directive 2008/56/EC), which recognises that sound at these frequencies is a potential threat for marine organisms, particularly considering it can travel for long distances in deep water. The observed changes in the fish dispersion, motility, and swimming depth during the acoustic exposure trials could lead to negative consequences for fish in the wild by restricting their normal behaviours such as food acquisition, migration, reproduction, and intraspecific communication. This becomes more important when considering that the tested fish were juveniles, which are more susceptible to reductions in survival rates than adults.

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