

Advanced Control Approach for Hybrid Systems Based on Solid Oxide Fuel Cells

M. L. Ferrari

Thermochemical Power Group (TPG)

Dipartimento di Macchine, Sistemi Energetici e Trasporti (DIME)

Università di Genova, Italy

mario.ferrari@unige.it

Abstract

This paper shows a new advanced control approach for operations in hybrid systems equipped with solid oxide fuel cell technology. This new tool, which combines feed-forward and standard proportional-integral techniques, controls the system during load changes avoiding failures and stress conditions detrimental to component life. This approach was selected to combine simplicity and good control performance. Moreover, the new approach presented in this paper eliminates the need for mass flow rate meters and other expensive probes, usually required for a commercial plant. Compared to previous works, better performance is achieved in controlling fuel cell temperature (maximum gradient significantly lower than 3 K/min), reducing the pressure gap between cathode and anode sides (at least a 30% decrease during transient operations), and generating a higher safe margin (at least a 10% increase) for the Steam-to-Carbon Ratio.

This new control system was developed and optimized using a hybrid system transient model implemented, validated and tested within previous works. The plant, comprising the coupling of a tubular solid oxide fuel cell stack with a microturbine, is equipped with a bypass valve able to connect the compressor outlet with the turbine inlet duct for rotational speed control. Following model development and tuning activities, several operative conditions were considered to show the new control system increased performance compared to previous tools (the same hybrid system

25 model was used with the new control approach). Special attention was devoted to electrical load
26 steps and ramps considering significant changes in ambient conditions.

27

28

29 **Keywords**

30 Control system, SOFC hybrid system, feed-forward technique, PI controller.

31 **1. Introduction**

32 Hybrid systems, consisting of a solid oxide fuel cell (SOFC) combined with a microturbine
33 (mGT), are expected to have a significant role due to widespread distributed generation paradigm
34 [1-4] and hydrogen economy [5-7]. More specifically, high performance aspects in small-size
35 systems (ultra-high efficiency [8,9], ultra-low emissions [10], fuel flexibility [11,12] and co-
36 generative applications [13,14]) of these innovative plants are essential in terms of environmental
37 and energy demand [15,16].

38 Despite the important results obtained for SOFC hybrid systems through both theoretical
39 [17-19] and experimental [8,20,21] tools, only one prototype, developed by Siemens-Westinghouse
40 [22], reached performance levels close to the expectations. This low rate of success for these kinds
41 of plants is due to the high cost of components [7,23] and technical problems related to system
42 integration [7,24,25]. An important technical issue not completely settled concerns the control
43 system because SOFC hybrid plants are subject to several additional constraints as opposed to
44 standard mGT plants [26]. More specifically, in addition to turbine constraints (maximum rotational
45 speed, surge line and maximum thermal stress for components [27]), other risk situations must be
46 addressed [26,28], including (I) excessive temperature or (II) thermal gradient in the fuel cell, (III)
47 excessive pressure difference between cathodic and anodic sides and (IV) too low Steam-To-
48 Carbon Ratio (STCR) in the SOFC anodic side. These constraints must be considered not only for
49 steady-state conditions, but also during time-dependent operations [29], such as load variations,

50 ambient temperature changes and start-up/shutdown phases [30]. More specifically, several
51 challenges must be overcome to couple the very fast response of the mGT system (low mechanical
52 inertia of the turbine shaft) with the slow thermal variations of the SOFC stack [31] (high thermal
53 capacitance of fuel cell materials), while the different volume values of SOFC sides generate
54 different time-dependent performance in terms of pressurization/depressurization delays (an
55 important aspect to take into account in order to prevent excessive cathode/anode pressure
56 difference during transient operations [25]). Moreover, the fluid dynamic and chemical responses of
57 the anodic side, important aspects to avoid low STCR values, are usually not in line with the
58 transient behaviour necessary to prevent other failures [32-34].

59 Although in the last ten years several works [29,33,35-37] have been carried out on these
60 control issues, the problem is not completely solved due to the large number of constraints to be
61 considered and aspects related to costs that have not been completely optimized. For instance, even
62 if some papers [33,36,37] presented effective control systems for SOFC hybrid plants, thermal
63 stress on the fuel cell was not always prevented (significant thermal gradient: higher than 3 K/min
64 [38] especially for large load steps) and expensive probes were used (e.g. mass flow rate meters in
65 [33,35]). Moreover, the cathode/anode pressure difference was carefully considered only in [33],
66 while other control systems showing interesting results neglected the constraints of this important
67 property (see [26] for experimental aspects). Also the time-dependent aspects related to anodic
68 recirculation were often neglected (considering constant recirculation ratios [38]) or based on very
69 simple approaches [37]. Since the importance of anodic circuit response is essential in preventing
70 failures (e.g. low STCR), it is imperative to develop a transient model of the anodic devices (e.g. an
71 anodic ejector [26,39]) to study a reliable control system for the entire plant [33].

72 This work focused on the development of an advanced control system for SOFC hybrid
73 plants. So, the control strategy presented in [33] was improved considering the coupling of
74 Proportional-Integral (PI) controllers with feed-forward approaches to prevent thermal stress in the
75 fuel cell and to reduce the peak values of cathode/anode pressure difference and STCR. Since the

76 thermal capacitance of the stack is very high, the PI controller necessary to maintain constant stack
77 temperature must be a very slow response device (as done in [33]) to avoid unstable behaviour.
78 However, this approach cannot prevent significant thermal gradients (higher than 3 K/min) in the
79 fuel cell (responsible of serious stress on ceramic material) because the new rotational speed set-
80 point is generated only after an excessive temperature oscillation. On the other hand, the coupling
81 with feed-forward technique (in a system equipped with load variation smoothing devices: a battery
82 package or an electrical grid) can obtain the new rotational speed with the requested time
83 performance and without instability problems. Moreover, this is a simple approach (in comparison
84 with multiple-input and multiple-output controllers), which can combine possible corrective actions
85 for disturbances (e.g. variations of ambient conditions) with less oscillations in plant critical
86 properties. Even if the same basis shown in [33] was maintained (e.g. the mGT speed control was
87 carried out with a compressor/turbine bypass valve), the results obtained with a Matlab[®]-Simulink[®]
88 transient model shows better performance in terms of thermal and mechanical stress on the
89 components. The Matlab[®] version used in this work is the R2010a (7.10.0.499), which is coupled
90 with version 7.5 of the Simulink[®] tool.

91 This work demonstrates improved control performance, over previous works [29,32,33,41],
92 which can prevent failures and increase component life, also broadening the types of transient
93 operations (e.g. variations of ambient conditions) carried out with a previously validated model
94 [42,43].

95 **2. Plant Layout and Control System**

96 The hybrid system considered in this work (continuous lines in Fig.1) is based on Siemens-
97 Westinghouse [22,33] technology for a global size in the range of 300 kW. The plant layout
98 comprises the coupling of a tubular pressurized SOFC with a recuperated microturbine. On the
99 cathodic side air is compressed by a radial machine, preheated by the recuperator and fed to the fuel
100 cell, while in the anodic ducts fuel (methane) is converted into a hydrogen rich mixture by a

101 reformer unit located upstream of the stack. The anodic side is based on a recirculation system
 102 carried out by a single-stage ejector: methane is pre-heated with the plant exhaust flow and fed into
 103 the ejector primary duct, while a part of the SOFC exhaust (anodic flow) is recirculated by the
 104 ejector (secondary nozzle). The flow comprising the mixture of this recirculation and methane is fed
 105 to the stack anodic side. Finally, the anodic circuit outlet flow is mixed with the cathodic exhaust
 106 flow in the off-gas burner to increase turbine inlet enthalpy. The expander can produce the power
 107 needed for the compressor and an useful power additional to the fuel cell generation. On the
 108 electrical side the system is equipped with a battery package in case of stand-alone operation mode
 109 (in case of grid connected operations, the electrical grid can substitute the battery function). The
 110 main property values of the plant at design conditions are reported in Tab.1.

112 Table 1. Design values of main plant properties.

Net electrical power of the entire hybrid system [kW]	284.8
Stack electrical power [kW]	231.3
Net electrical power of the turbine [kW]	53.5
Power consumed by the fuel compressor [kW]	9.4
Global net electrical efficiency [-]	0.637
Fuel utilization factor	0.85
Current density [mA/cm ²]	423.9
Stack average temperature [K]	1229.8
Fuel mass flow rate in the ejector primary duct [kg/s]	0.009
Anodic ejector recirculation ratio [-]	7.18
Air mass flow rate [kg/s]	0.658
Compression ratio [-]	3.85
Recuperator effectiveness [-]	0.89
Turbine inlet temperature [K]	1080.1
Turbine outlet temperature [K]	847.6
Shaft rotational speed [rpm]	68000

113
 114 The control strategy considered in this work is shown in Fig.1 using dotted lines. It is based
 115 on the coupling of standard PI controllers (as in [33]) with a feed-forward technique. The latter
 116 approach is necessary to prevent the high thermal gradient values shown in [33] and in other
 117 previous works (e.g. in [38]). Since the stack thermal capacitance is very high, a possible simple

118 solution to avoid temperature oscillations (and thermal gradients higher than 3 K/min) is the
119 application of the feed-forward technique (based on interpolation tables) because it can obtain the
120 requested rotational speed change without the unstable behaviour typical of a feedback-based
121 controller. However, this new approach can produce the expected performance if load variation is
122 smoothed by a battery or an electrical grid. The numbers of control lines is selected in accordance
123 with [33]. For this reason, where this new approach allows removing a control line in comparison
124 with [33], the related number is not used. This layout is based on a bypass valve for direct
125 connection between the compressor outlet and the turbine inlet ducts. This approach (proposed in
126 [33]) is necessary to control the mGT rotational speed (point 1 in Fig.1) solving the issue related to
127 the difference between the small mechanical inertia of the microturbine shaft and the very high
128 thermal capacitance of the fuel cell stack. While the fractional opening (FO) design value is low
129 (0.05) to achieve good efficiency in steady-state conditions, this valve is managed by a PI controller
130 to maintain the mGT rotational speed at its set-point value.

131 The system input is the power demand (point 2) for the entire plant. The actual power
132 requirement is calculated considering a control device capable of smoothing the input variations
133 with a battery package as in Fig.1 or through the electrical grid. Also in this work the electrical
134 dumper is controlled by a PI equipped with a previous step block (see Fig.2 for details) capable of
135 reducing power change effects; different solutions are available. The coefficient of the integral part
136 of this PI is calculated through an interpolation table on the basis of the bypass valve FO (this
137 approach is necessary to reduce fuel cell pressure difference by decreasing the response speed of
138 power variation smoothing when FO is significantly different from its design value). Then, the
139 power requested to the SOFC is calculated by multiplying the input signal by a sharing-out
140 coefficient (point 3) as presented in [33]. However, unlike [33], an initial improvement is obtained
141 through a feed-forward approach used to evaluate this coefficient to keep the bypass valve set-point
142 value at 0.05. Instead of a slow response PI controller [33] being responsible for a very significant
143 FO oscillation (linked with a cathode/anode pressure difference high peak), a table of data coming

144 from steady-state analysis is interpolated on the basis of the hybrid system power demand, ambient
145 conditions, anodic recirculation temperature and fuel temperature. The SOFC power demand (point
146 5) is used to evaluate stack current (point 7) calculated by a fast response PI controller. This control
147 device operates to nullify the difference between the SOFC power demand and the effective power
148 generated by the fuel cell (point 6). The remaining electrical demand (point 8) is satisfied by the
149 mGT generator. Fuel flow rate is managed by a valve located upstream of the ejector primary ducts
150 whose FO value (point 12) is calculated through a second feed-forward controller (a second table of
151 data interpolated on the basis of the hybrid system power demand, ambient conditions, anodic
152 recirculation temperature and fuel temperature), instead of a PI device as carried out in [33]. With
153 this new approach, it is possible to keep constant (0.85 at off-design conditions) the fuel utilization
154 factor inside the SOFC, avoiding an expensive fuel mass flow rate probe (necessary device to
155 operate as in [33] with a slow-response PI). Moreover, this second feed-forward controller can
156 significantly reduce the fuel utilization factor oscillations in comparison to the results obtained in
157 [33]. A final controller is necessary to maintain constant SOFC average temperature value. Also in
158 this case, a feed-forward approach is used to calculate the rotational speed set-point (point 11),
159 replacing the slow response PI controller proposed in [33]. This control type change is necessary to
160 avoid significant oscillations in SOFC average temperature, which can generate dangerous thermal
161 stress on ceramic material (especially with thermal gradient values higher than 3 K/min [40]). To
162 ensure the flexibility needed to achieve the response of this controller, the table of data interpolation
163 (including the effects of ambient condition, anodic recirculation temperature and fuel temperature)
164 is carried out including a delay for the hybrid system power demand. This delay unit is based on a
165 slow-response PI controller where the plant power demand is operated as a set-point and the
166 controller output is used as a feedback signal input (see Fig.2 for details). The complete diagram of
167 a PI controller coupled with a previous step block is shown in Fig.2. This configuration can operate
168 as a delay (including the proportional part) of the input value because the previous step block
169 generates the PI set-point value equal to the PI output calculated during the previous calculation

170 step. It also includes an optional input line that is used when it is necessary to change the integral
171 part coefficient. At steady-state condition the output value converges with the input value. This
172 solution was selected instead of a transfer function to utilize similar control devices within the
173 system, thus simplifying the approach: just PI controllers, interpolation tables and algebraic blocks.
174 However, the same effect can be obtained with a first-order transfer function.

175 The values implemented in the interpolation tables shown in Fig.1 were obtained by steady-
176 state calculations carried out considering different values in plant power demand and other
177 influence properties (the main results of this steady-state analysis was reported in [44]) or (for the
178 coefficient factors related to the PI integral part for battery management) from preliminary
179 simulations. The typical limitation of the feed-forward approach (no corrective actions from
180 disturbances) was solved including interpolation table variation (with apt corrective factors) in case
181 of variations of measurable disturbances (ambient conditions, fuel temperature and fuel
182 composition). For this reason Fig.1 includes the following inputs: ambient conditions (temperature,
183 pressure and humidity), fuel temperature and anodic recirculation temperature (its variation can
184 show the effect of fuel composition variation to be compensated in the interpolation tables).
185 Moreover, the not measurable disturbances (e.g. degradation of plant components) can also be
186 compensated with the same approach. In this case, it is necessary to use available measurements to
187 detect the degradation (and the degradation level). For instance, the stack degradation can also be
188 detected by anodic recirculation temperature. In this case, feed-forward components can be adapted
189 modifying the interpolation tables on the basis of this property, maintaining, also in case of stack
190 degradation the target temperature value of the SOFC.

191 **3. Model**

192 The control system development and testing were carried out with a transient model
193 implemented in Matlab[®]-Simulink[®] environment. Although in this work the control system is
194 completely new, the same models of [33] were considered for plant components to highlight the

195 good performance achieved by this new control system compared to the previous one [33]. This
196 model was developed using the TRANSEO tool [45,46], a visual, user-friendly modular program
197 based on a library of components for off-design, transient and dynamic analyses [47] of energy
198 systems.

199 The modelling technique for the main part of the plant components is based on a 0-D
200 approach (balance equations integrated just between inlet and outlet of devices) called "lumped
201 volume". Each component (except the recuperator that is based on a quasi-2-D technique [47]) is
202 modelled with an off-design calculation software connected to a constant section pipe model for
203 fluid dynamic delay [44]. This simplified approach is essential to reach reasonable computational
204 time, ensuring that calculation performance is satisfactory for plant level simulations, as
205 demonstrated in several previous validation works carried out with this modelling technique
206 [42,43]. More specifically, 0-D approaches were demonstrated [19,32,33] to be very effective in
207 control system development and assessment. As stressed in [33,45], models of all plant components
208 include thermal loss and transient response related to changes in chemical composition.

209 3.1 Fuel Cell Model

210 This paper is based on a fuel cell tubular geometry, similar to the design proposed in
211 [33,45], and on surrounding facilities (reformer, pre-heating tube, anodic recirculation, etc.)
212 connected as presented in [49]. The fuel cell model is based on the following hypotheses: adiabatic,
213 uniform voltage, chemical reactions at equilibrium, CO electrochemical reaction neglected. As
214 presented in [50], the fuel cell model includes: voltage calculation subtracting ohmic and activation
215 losses from Nernst's potential, equilibrium of reforming and shifting chemical reactions, mass
216 balances of anodic and cathodic flows (including the effect of reactions), energy balances of flows
217 (this calculation includes: the anodic gas, the flow within the pre-heating quartz tube and the
218 cathodic air, the energy balance related to the tube and the solid PEN (positive(P)-electrolyte(E)-
219 negative(N)) structure. A time-dependent first-order differential equation is used to calculate the

220 stack material average temperature considering thermal balances and the total stack thermal
221 capacitance.

222 This model was validated at stack level considering a wide result comparison against a
223 detailed 1-D model (previously validated against experiments) in both steady-state and transient
224 conditions [50]. The assessment presented in [50] showed that the 0-D approach used for the fuel
225 cell model can generate reliable results for plant level analysis and control system development.

226 3.3 Models for Plant Components

227 Special attention was devoted to the ejector transient model. It was developed in previous
228 works [42,45,46] and validated against experimental data at both steady-state and transient
229 conditions [42]. Given the importance of this component for the anodic side performance [51-53], a
230 detailed validation was carried out for recirculation systems using experimental data obtained
231 through reduced-scale emulator rigs [42,54].

232 Models for the compressor and turbine are based on the interpolation of characteristic non-
233 dimensional curves. Although this is a typical approach for these kinds of machines [55-57], several
234 previous works [45-48] carried out with TRANSEO tool validated these models in transient
235 operations too. The recuperator model is based on a quasi-2D approach to achieve reliable results.
236 Previous works [46,48,58] demonstrated that this technique based on 10 calculation nodes is a good
237 compromise between result performance and computational time. Moreover, the recuperator model
238 was validated against experimental data in [48,58].

239 Finally, validation activity was carried out at system level using the hybrid system emulator
240 rig of the NETL - U.S. DOE located in Morgantown (WV). This plant was able to validate all the
241 cathodic-side models (compressor, turbine, shaft, generator, recuperator, cathodic volume and off-
242 gas burner) at both steady-state and transient conditions [43].

243 4. Results

244 To compare the performance obtained with this new control system to the results obtained in
245 [33], initial simulation tests were carried out considering a 10% load step decrease and a 5% load
246 step increase. In both cases, the same hybrid system model shown in [33] was used to perform a
247 control system comparison. Then, the new control system was used to analyse the effect of changes
248 in ambient conditions in conjunction with electrical load ramps (to simulate real plant operations).

249 4.1. Load Step Decrease

250 This paragraph presents a simulation carried out with the model using the new advanced
251 control system based on the interpolation tables shown in Fig.1: a feed-forward (FF) approach is
252 implemented for some controllers. To compare the results to the performance obtained with a
253 previous PI based control system [33], a 10% load step was considered (as in [33]) for the entire
254 hybrid plant: from about 284.8 kW to 256.3 kW at constant ambient conditions (air at 60% relative
255 humidity, 288.15 K temperature and 1.013 bar pressure). Many simulations were carried out before
256 obtaining the results presented here. This preliminary work was necessary to implement the
257 parameter values especially for the coefficient table of the battery PI controller and for the response
258 of the rotational speed set-point calculator. The results shown in [33] (for PI coefficients coming
259 from Ziegler-Nichols technique [59] followed by a significant tuning activity) were a starting point
260 to maintain the controller in stable condition. However, in this work, extensive activity based on
261 trial-and-error technique was carried out to improve results. More specifically, it was very difficult
262 to synchronize the very different dynamics of the following parameters: fuel cell load, turbine
263 rotational speed and fuel mass flow rate. For instance, to maintain constant SOFC average
264 temperature (with maximum gradient lower than 3 K/min) it is necessary to adapt turbine rotational
265 speed as quickly as load changes on the stack. However, a strong limitation is present to avoid an
266 excessive large bypass valve (decreasing plant efficiency) or surge problems. For this reason, high
267 temperature gradients were not prevented in [33,38]. Moreover, the different anodic loop dynamics

268 (mainly due to the different volumes of the cell sides) can generate excessively high differential
269 pressure values if fuel flow rate is not changed with the same time scales. Unfortunately, if this
270 mass flow rate variation is carried out too quickly (especially in case of load increase) the constraint
271 related to the STCR parameter is not satisfied, generating values lower than 1.8 during the transient
272 operations. So, the battery controller was tuned to obtain the fastest possible response and to reach a
273 compromise between battery operation time decrease (linked with cost decrease due to limited
274 installation of battery components due to maximum power decrease requested by this component)
275 and system, operated in accordance with the various constraints (including the SOFC time-
276 dependent thermal gradient constraint, usually neglected in several previous works [38]).

277 The global management of the plant obtained with the control system is shown in Fig.3.
278 Since the electrical demand (for the whole hybrid system) is decreased by the 10% step at time 0 of
279 Fig.3, the battery package is used to smooth this load decrease: both SOFC and mGT can slowly
280 reduce slowly their load values, while the additional power produced by the plant is absorbed by the
281 battery (negative power means battery re-charging phase). However, if the plant can be connected
282 to an external electrical grid, the same behaviour (same power variation smoothing) can be obtained
283 without battery costs. Since the battery smoothing effect has a time response longer than the thermal
284 one (mainly due to the SOFC high thermal capacitance), the effect of fluid dynamic response (also
285 including pressurization/depressurization volume response) is negligible in the results presented in
286 this work. Furthermore, as shown in Fig.4, the rotational speed oscillation typical of load step
287 response (as shown in [33]) is also not present because load demand variation on the mGT is
288 smoothed by the battery. Figure 4 also includes fuel cell inlet pressure at the cathodic side
289 (downstream of the air pre-heating tube) showing a pressure decrease trend due to rotational speed
290 decrease. These trends, compared to the results obtained in [33] (see Fig.4), show a slow-response
291 decrease due to a slow decrease in rotational speed set-point (signal 11 in Fig.1) driven by the PI
292 connected to a previous step block. This approach is necessary to produce a proper delayed
293 response to keep constant the SOFC temperature (Fig.5). The good performance of this controlling

294 solution is well demonstrated by Fig.5 because SOFC average temperature is maintained almost
 295 constant (0.7 K maximum variation during this test) and time-dependent temperature gradient is
 296 almost negligible. The load change smoothing obtained using the battery and the good rotational
 297 speed set-point calculation carried out through the feed-forward (FF) approach can avoid significant
 298 SOFC temperature oscillations. This is a significant improvement considering that the previous
 299 solution [33] based on a PI controller generated a high temperature maximum gradient (about 10
 300 K/min) usually not sustainable by the fuel cell stack.

301 During the test, the sharing-out coefficient (Fig.6) and the fractional opening (Fig.7) of the
 302 bypass valve show decreased oscillating behaviour and a slower response variation compared to the
 303 results reported in [33]. Also their trends are due to the battery smoothing effect coupled with a
 304 feed-forward approach for the sharing-out coefficient calculation too. Using the interpolation table
 305 (instead of the PI controller shown in [33]) to calculate this coefficient value is an effective solution
 306 to maintain the 0.05 fractional opening value at steady-state condition, avoiding efficiency (defined
 307 in Eq.1) decay due to high mass flow rate bypassed from compressor to turbine. The good
 308 performance obtained with this new control system is shown by the plant net efficiency (Fig.8). In
 309 comparison with results calculated in [33], it is possible to avoid significant efficiency decays at
 310 transient condition too.

$$311 \quad eff = \frac{P_{FC} + P_{mGT} - P_{aux}}{m_{fuel} \cdot LHV} \quad (1)$$

$$312 \quad U_f = \frac{[n(H_2) + 4 \cdot n(CH_4) + n(CO)]_{FC_in} - [n(H_2) + 4 \cdot n(CH_4) + n(CO)]_{FC_out}}{[n(H_2) + 4 \cdot n(CH_4) + n(CO)]_{FC_in}} \quad (2)$$

313 The performance obtained with the interpolation table needed to manage the fuel valve is
 314 reported in Fig.9. Both current and fuel mass flow rate decreases are slower in comparison with
 315 previous results [33] because the battery can smooth the load change on the fuel cell. This approach
 316 allows maintaining almost constant fuel utilization value (see Eq.2 for its definition) with 1%
 317 maximum decay during the transient response (reaching the 0.85 design value at steady-state

318 condition). This behaviour is significantly different from the results discussed in [33] where a 17%
319 U_f decay is shown immediately after the 10% load decrease step.

320 As mentioned in the Introduction, an effective control system for hybrid plants must be able
321 to prevent critical values also for the following parameters: STCR, anode-cathode differential
322 pressure, Turbine Inlet Temperature (TIT) and compressor surge margin. Even if it is very difficult
323 to maintain all these parameters within the constraints during time-dependent operations, the
324 smoothing effect of the battery coupled with the feed-forward technique can produce the requested
325 performance. So, the new control approach presented in this paper compensates for the different
326 dynamics responsible for possible critical conditions during transient operations. More specifically,
327 Fig.10 shows that this new control system can prevent low STCR (see Eq.3) values and non-
328 sustainable fuel cell differential pressure. In comparison with results shown in [33], it is possible to
329 obtain smaller variations increasing the possible plant operation field (larger load steps are
330 acceptable) and to reduce mechanical stress on the stack. The maximum peak of differential
331 pressure value is significantly reduced (maximum peak absolute value from 17.6 mbar to 9.4 mbar)
332 using this new control system based on interpolation tables (feed-forward approach for three
333 parameters). For the other critical properties (TIT and K_p), Fig.11 shows that this new control
334 system can prevent TIT peaks and surge conditions. In comparison with [33], the behaviour is less
335 oscillating also for TIT and surge margin (see Eq.4 for its definition). However, no significant
336 thermal stress is generated by this load step (larger steps can be acceptable) because the TIT design
337 value has a significant safety margin (higher than 100 K for standard commercial mGTs) and the
338 temperature increase shown in Fig.11 is very small (about 5 K). Moreover, surge risk is prevented
339 because K_p parameter significantly increases in comparison with its design value.

$$340 \quad STCR = \frac{n(H_2O)}{n(CO) + n(CH_4)} \quad (3)$$

$$341 \quad Kp = \frac{\beta_{s.l.} \cdot m}{\beta \cdot m_{s.l.}} \quad (4)$$

342 Another important aspect to check in these kinds of plants is chemical stress on the fuel cell
343 due to significant chemical composition variation. For this reason, Fig.12 shows mass fractions of
344 the anodic outlet flow (upstream of the off-gas burner) referenced to their design values (each mass
345 fraction is divided by its design value to better show the time-dependend variation). The design
346 values are: 0.518 for CO₂, 0.004 for H₂, 0.438 for H₂O and 0.040 for CO. To better present the
347 performance obtained with this new control system, attention is focused (Fig.12) on the results
348 obtained with the feed-forward (FF) approach. The chemical composition variation was maintained
349 fairly constant because the variations of mass fractions were always lower than 5%. Moreover, for
350 the most significant components (CO₂ and H₂) in the flow, Fig.12 shows a variation lower than
351 0.4%. Similar stable performance was obtained on the cathodic side (with a plot not shown here for
352 sake of brevity).

353 To complete the evaluation of this new control system performance, the same simulation
354 (10% load step decrease) was carried out removing the battery (or the connection to an electrical
355 grid) from the system. In comparison with [33] (based on just feedback technique for all the
356 controllers), the results obtained in this case showed a better control of SOFC average temperature
357 (4 K oscillation instead of 29 K obtained in [33]) for the application of the feed-forward approach.
358 However, some parameters are significantly critical: anode-cathode differential pressure reached 77
359 mbar at the beginning of this transient operation, STCR showed an excessively large oscillation
360 (from 2.23 to 3.44) with possible risk values (lower than 1.8) increasing the load, and also an
361 important oscillation in surge margin (possible risks in other transient phases). So, even if the
362 coupling of PI controllers with a feed-forward approach can produce interesting results, the correct
363 behaviour of the plant (preventing risks) is obtained when a smoothing device is connected on the
364 electrical side (a battery or connection to an external grid), as shown in Fig.1.

365 4.2. Load Step Increase

366 A second transient response tested with this new control system is a load step increase. To
367 carry out a comparison with the previous control approach proposed in [33], a 5% step increase was
368 considered starting from about 256.3 kW hybrid system load (90% of design value). At time 0, the
369 electrical demand was increased with a step to about 270.6 kW (95% of design value) and the
370 simulation was completed when system reached a new steady-state condition. As shown in [33],
371 feedback-based controlling approaches cannot prevent temperature increase peaks because the
372 rotational speed set-point increase is obtained with a measured temperature (in [33] anodic
373 recirculation temperature was used) higher than the set-point. In case this variation is significant (in
374 Fig.13 the PI approach shows a 13.6 K increase), the stack can be damaged (if maximum
375 temperature constraints is exceeded) also at low thermal gradients conditions. On the other hand, a
376 feed-forward approach can produce the necessary coupling between fuel cell load and machine
377 rotational speed especially if a battery is used to smooth effects related to load changes. For these
378 reasons, Fig.13 shows that this new control system can maintain constant SOFC average
379 temperature (Fig.13) also during load step increases avoiding risks due to temperature peaks and
380 stress related to high thermal gradient (always lower than 0.1 K/min with the FF control approach).
381 While results reported in [33] showed a temperature increase which forces to operate the cell with a
382 significant temperature safe margin (from the maximum), Fig.13 shows an almost constant trend
383 obtained with the new approach. Moreover, all the critical parameters were maintained by the
384 control system inside safe ranges. In details, STCR minimum value is 2.08 with a significant
385 margin from 1.8 [60], anode-cathode differential pressure has a -8.4 mbar peak, TIT shows a peak
386 at 1092 K maintaining a significant margin from the mGT maximum sustainable value, surge
387 margin decreases with a minimum value of 1.107 that is higher than the design one.

388 4.3. Ramps for Load and Ambient Conditions

389 In this final calculation the control system was tested with ramps for ambient conditions
390 (temperature, pressure and humidity) and electrical load considering one hour and a half (5400
391 seconds). In details, conditions typical of morning operations were reproduced (one new value at
392 the end of every 900 s periods connected with a linear trend): significant temperature and load
393 demand increase (see Fig.14). The other properties (pressure and relative humidity) were managed
394 simulating a good weather morning (Fig.14).

395 The power values obtained with the model (Fig.15) focus the attention on battery
396 performance, necessary to have this smooth behaviour. During this load increase ramp battery is
397 discharged to satisfy load demand with peaks (up to 14.7 kW). Other results show that this new
398 control system is able to manage the plant avoiding stress and risk conditions. For instance, Fig.16
399 shows that fuel cell average temperature is maintained almost constant (± 1.3 K maximum variation)
400 with a rotational speed increase (from 58234 rpm to 64961 rpm) avoiding high thermal gradients
401 (maximum values less than 0.3 K/min). The other critical properties were maintained inside safe
402 range conditions. For instance, Fig.17 shows that STCR is always higher than 2.6 (significant safe
403 margin from 1.8 [60]) and maximum anode-cathode differential pressure is lower than 10 mbar
404 (considering its absolute value). Moreover, also the other properties, not reported here for sake of
405 brevity, were well controlled inside safe conditions. For instance, TIT maximum peak is about 1100
406 K (significant safety margin from its maximum value) and Kp is always higher than 1.1 (1.13
407 minimum value during the test).

408 **5. Conclusions**

409 This work is related to the development and testing of a new advanced control system for
410 hybrid plants with pressurized SOFCs. Even if the calculations are presented considering a stand-
411 alone application, the same approach can be used for grid connected systems where the battery
412 operations are substituted by the electrical grid. The control logic is based on the coupling of PI

413 controllers with feed-forward approaches necessary to avoid significant temperature variation in the
414 cell (responsible of thermal stress problems) and to reduce the peak values of cathode/anode
415 pressure difference and STCR. So, this work is able to demonstrate improved controlling
416 performance for SOFC hybrid systems, in comparison with previous works [29,32,33,41]. In
417 details, the same component models of [33] were used to compare the good performance obtained
418 with this new controller with the results obtained with the old one shown in [33]. The main
419 conclusions and results presented in this paper are:

- 420 • This new control strategy is able to improve SOFC temperature management because it is
421 able to maintain almost constant this property, avoiding significant thermal gradients (maximum
422 values lower than 0.2 K/min for a 10% load step decrease).
- 423 • The results obtained with the model show that anode-cathode differential pressure can be
424 maintained at sustainable values during transient operations too (maximum values lower than 10
425 mbar for a 10% load step decrease).
- 426 • This new control system can keep all the critical properties inside safe conditions. STCR,
427 turbine TIT and Kp are always calculated with a significant safety margin from critical values. For
428 instance, STCR minimum value is 2.18 in the 10% load step decrease, while its limit value is
429 usually 1.8 [60].
- 430 • The tests carried out considering ramp variations in load and ambient conditions proved that
431 typical plant operations can be tolerated by this new advanced control system with good
432 performance (ramp test main results: thermal gradient in the cell lower than 0.3 K/min, anode-
433 cathode differential pressure lower than 10 mbar, STCR minimum value higher than 2.05).

434 Additional activities are being developed at TPG on hybrid system controllers. More
435 specifically, an emulator plant [61] is being operated to test control approaches in hardware-in-the-
436 loop configuration, considering not only traditional approaches, but also innovative ones (e.g.
437 model predictive control logics [62], which is considered promising in co-generative plants [63]).

438

439 **Acknowledgements**

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441 scientific support and Prof. Alberto Traverso, the main developer of TRANSEO tool.

442

443 **Nomenclature**

Acronyms

AC	Ambient Conditions
DOE	Department Of Energy
DS	DeSulfurizer
FC	Fuel Cell
FF	Feed-Forward
HS	Hybrid System
mGT	micro Gas Turbine
NETL	National Energy Technology Laboratory
PI	Proportional-Integral controller
REC	RECuoperator
SOFC	Solid Oxide Fuel Cell
TPG	Thermochemical Power Group

Variables

Coeff	power sharing-out coefficient [-]
diff_p	anode-cathode differential pressure [Pa]
eff	plant net efficiency [-]
FO	valve Fractional Opening [-]
LHV	Low Heating Value [J/kg]
Kp	surge margin [-]
K _p	proportional coefficient for a PI controller [-]
i	electrical current density [A/m ²]
m	mass flow rate [kg/s]
n	molar flow rate [mol/s]
N	rotational speed [rpm]
p	pressure [Pa]
P	power [W]
RH	Relative Humidity [%]
STCR	Steam-To-Carbon Ratio [-]
T	temperature [K]
T _a	ambient temperature [K]
TIT	Turbine Inlet Temperature [K]
U _f	fuel utilization factor [-]
XMA	mass fraction [-]

Greek symbols

β	compression ratio
τ_i	integral coefficient for a PI controller [-]

Subscripts

ar	anodic recirculation
aux	auxiliaries

cath	cathodic
dp	design point
f	fuel
FC	Fuel Cell
in	inlet
mGT	micro Gas Turbine
out	outlet
s.l.	surge line

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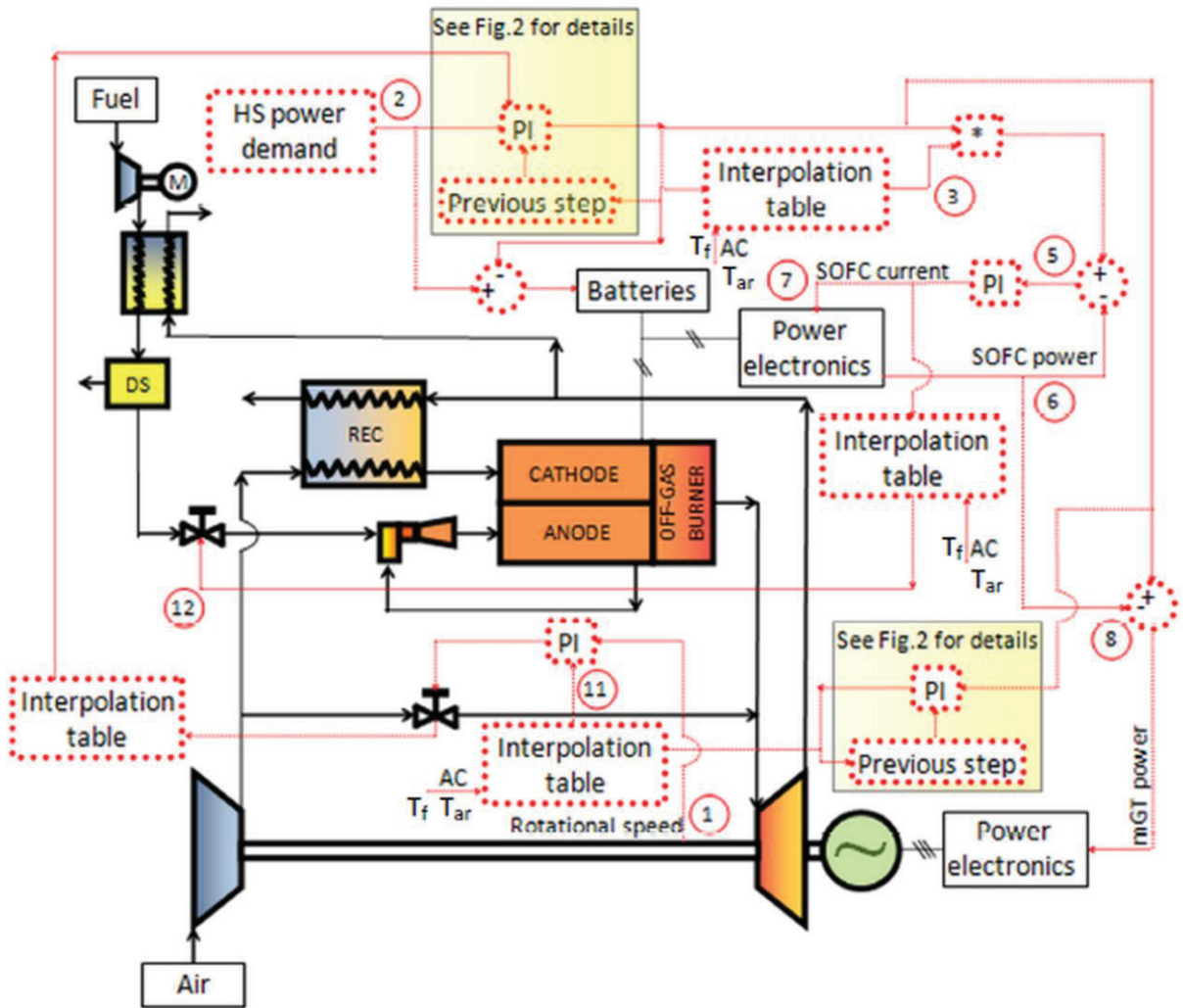
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609 **Figures and Tables**

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Figure 1. Plant and control system layouts.

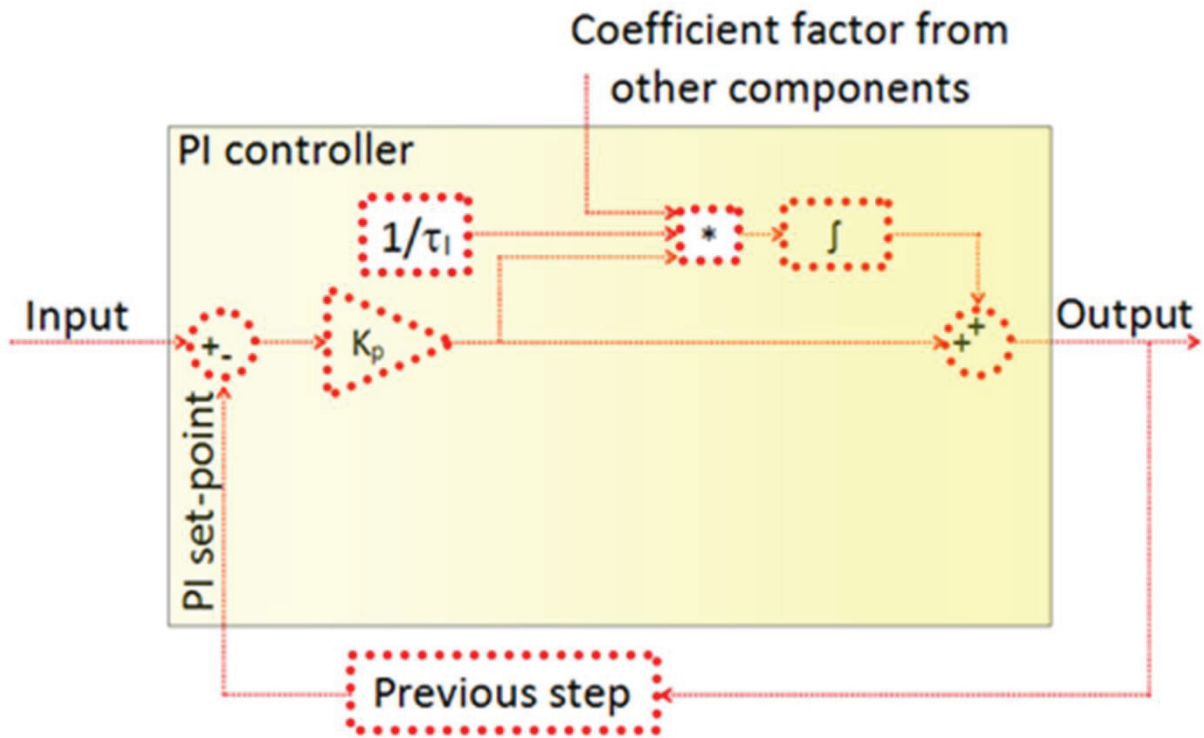


Figure 2. Diagram of PI controller including the previous step block.

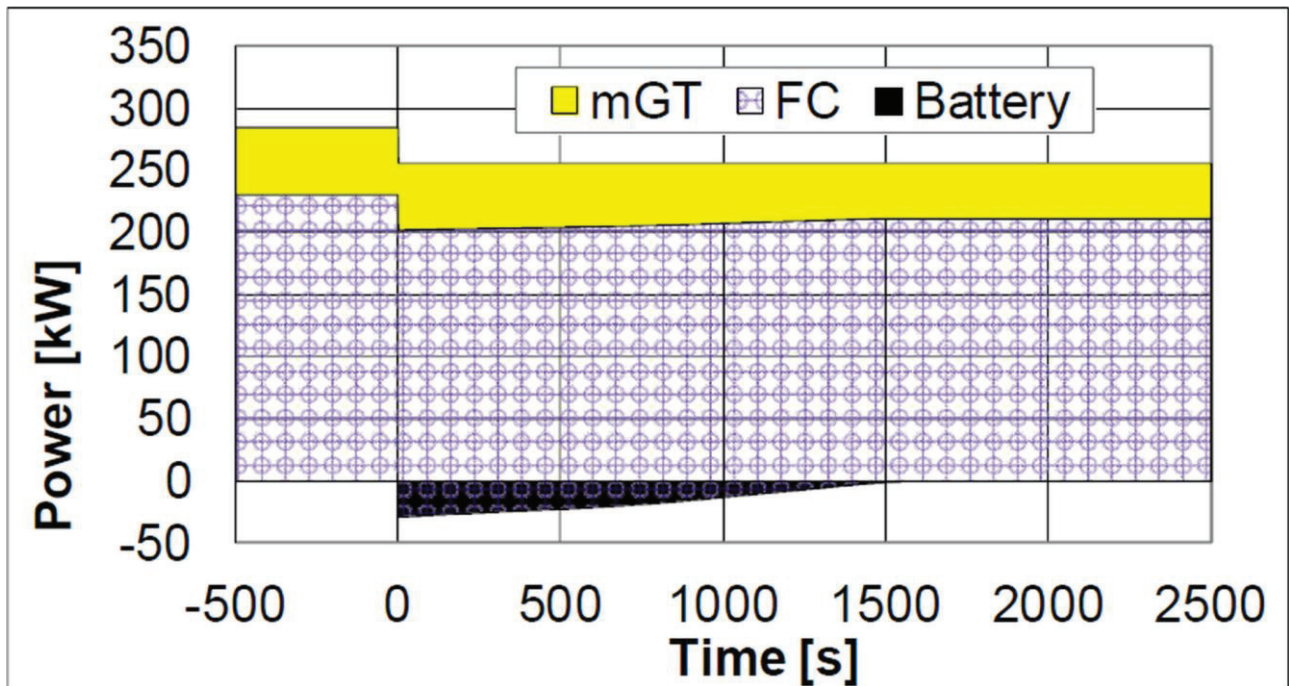
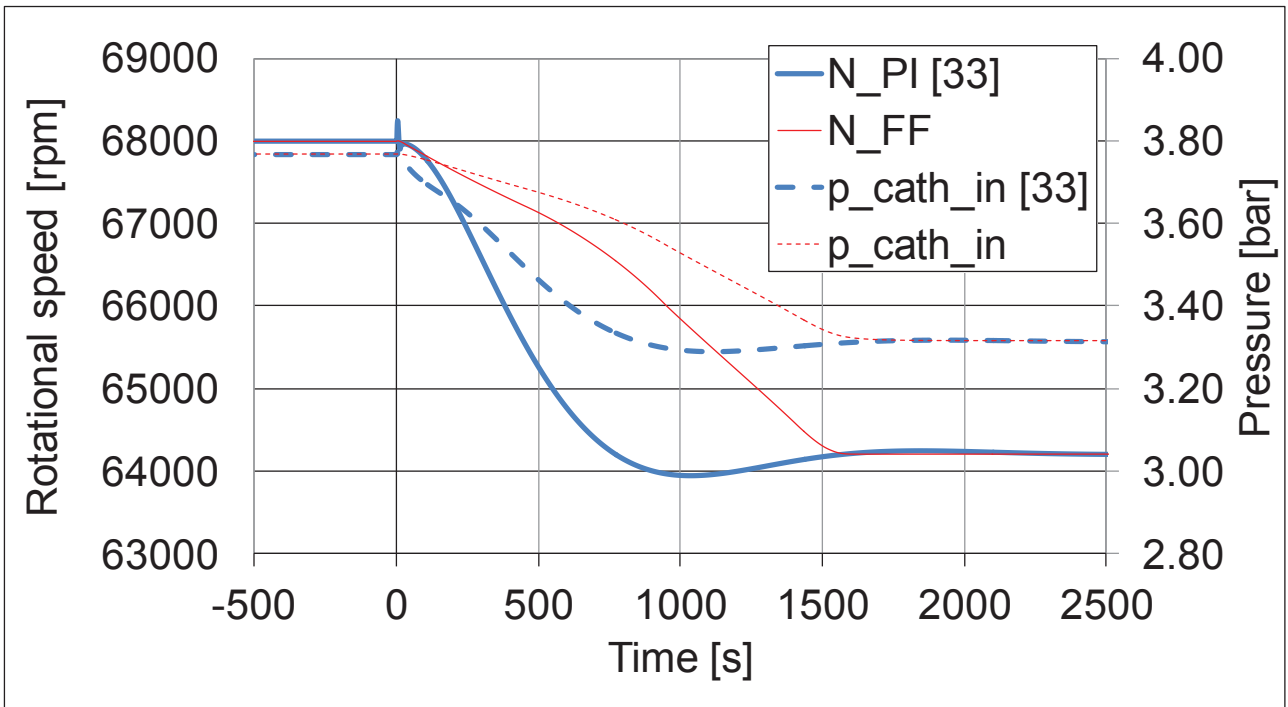


Figure 3. 10% load step decrease: electrical power produced by FC and mGT coupled with battery charging (negative values).

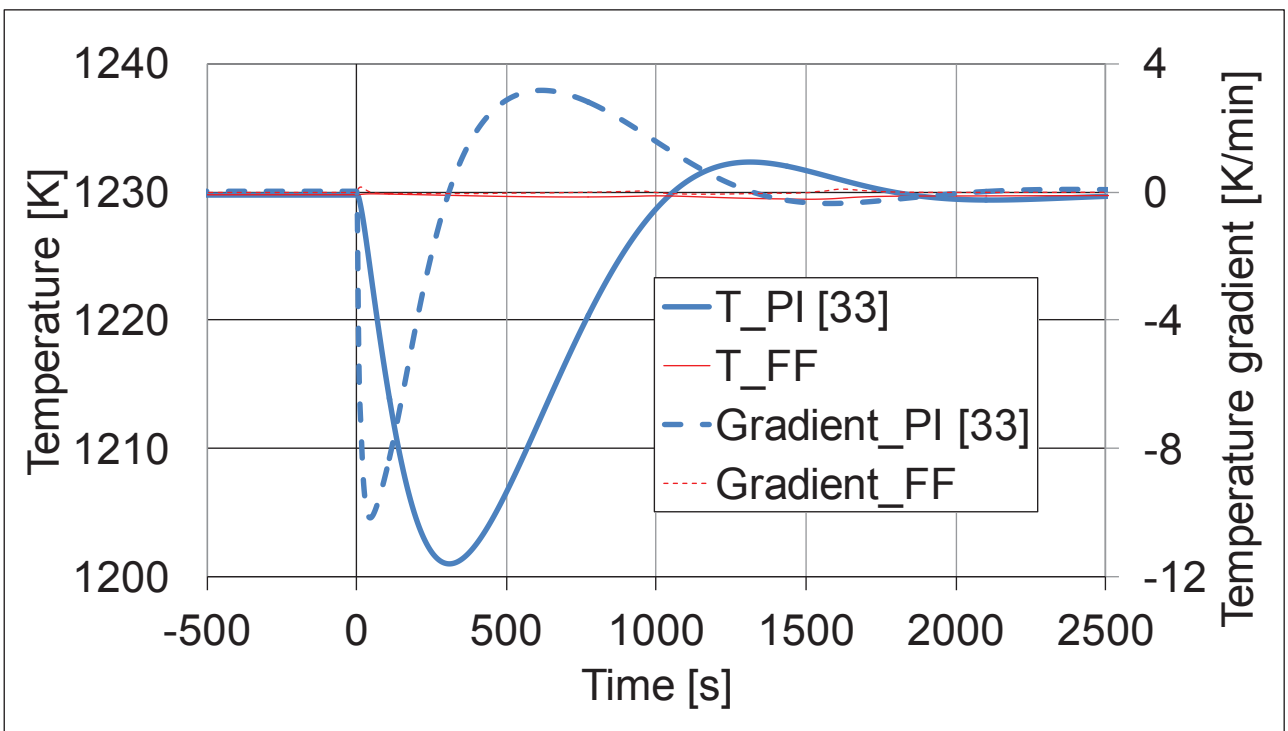
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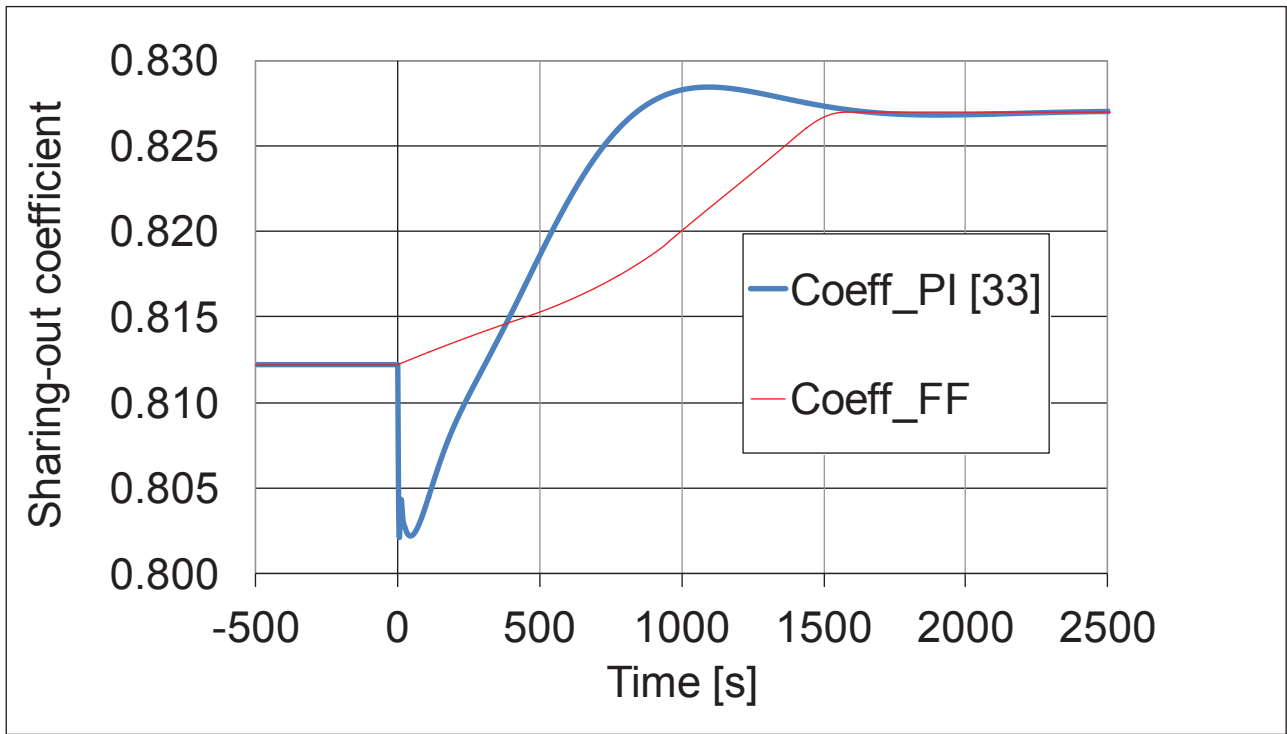
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Figure 4. 10% load step decrease: rotational speed and cathode inlet pressure compared to previous results [33].



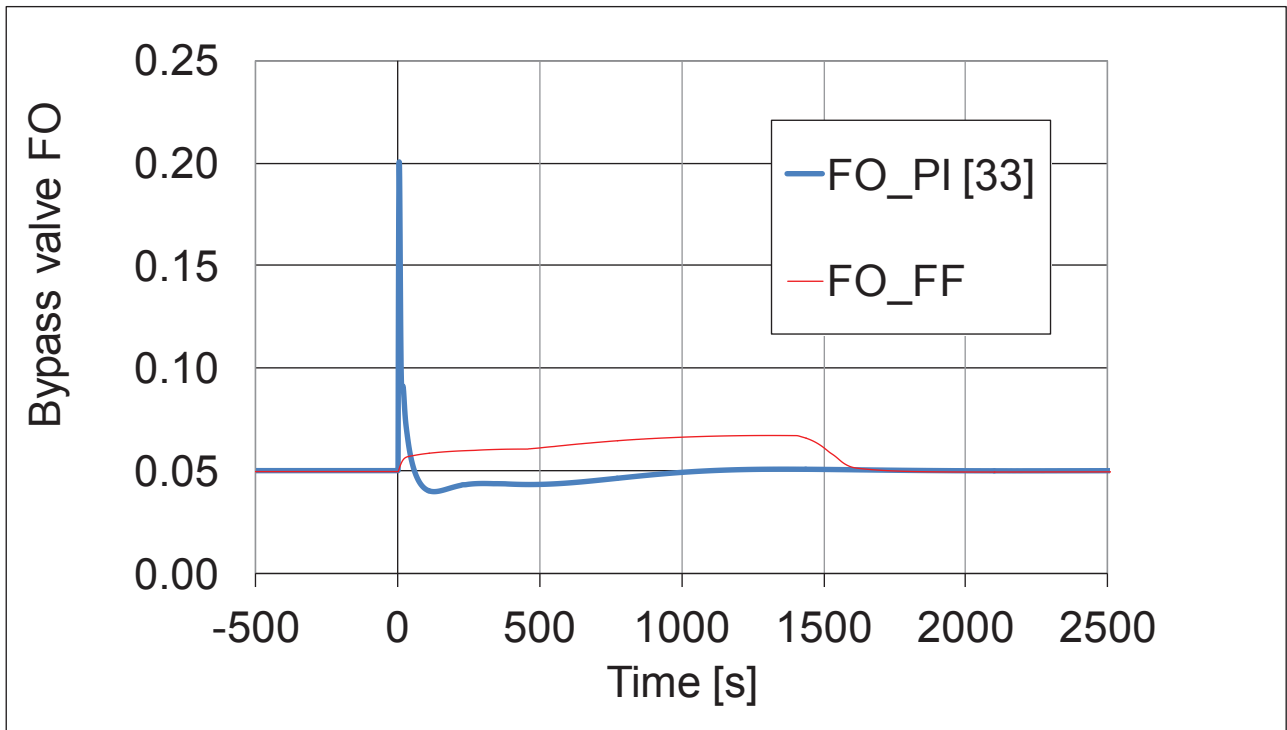
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Figure 5. 10% load step decrease: SOFC average temperature, including temperature gradient, compared to previous results [33].



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Figure 6. 10% load step decrease: power sharing-out coefficient compared to previous results [33].



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Figure 7. 10% load step decrease: bypass valve FO compared to previous results [33].

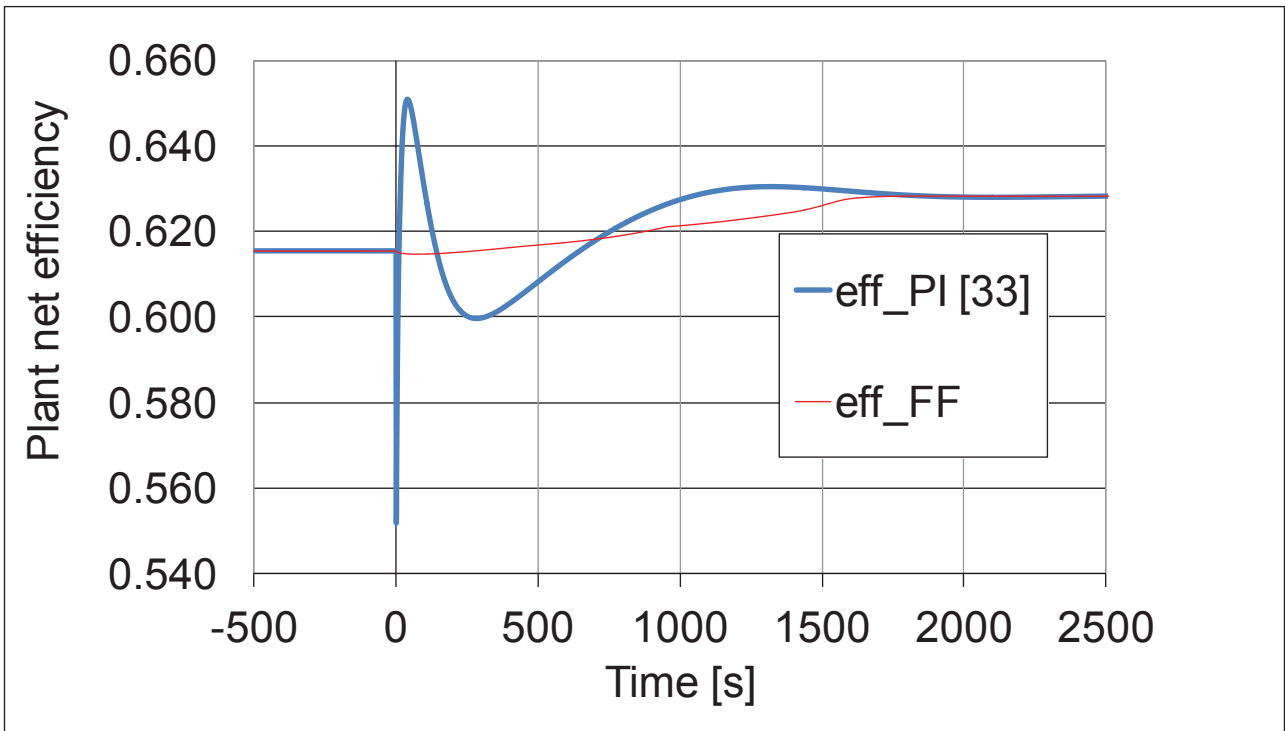


Figure 8. 10% load step decrease: plant net efficiency compared to previous results [33].

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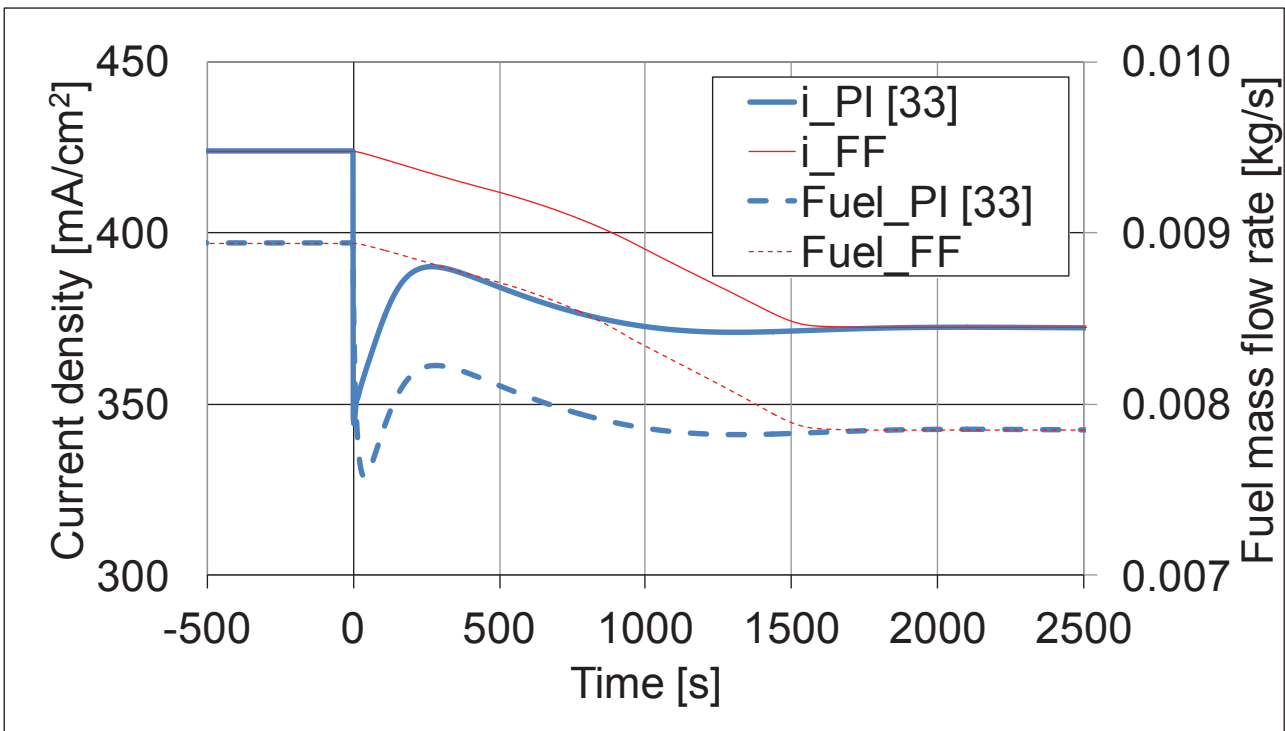


Figure 9. 10% load step decrease: fuel cell current density and fuel mass flow rate in the ejector primary duct compared to previous results [33].

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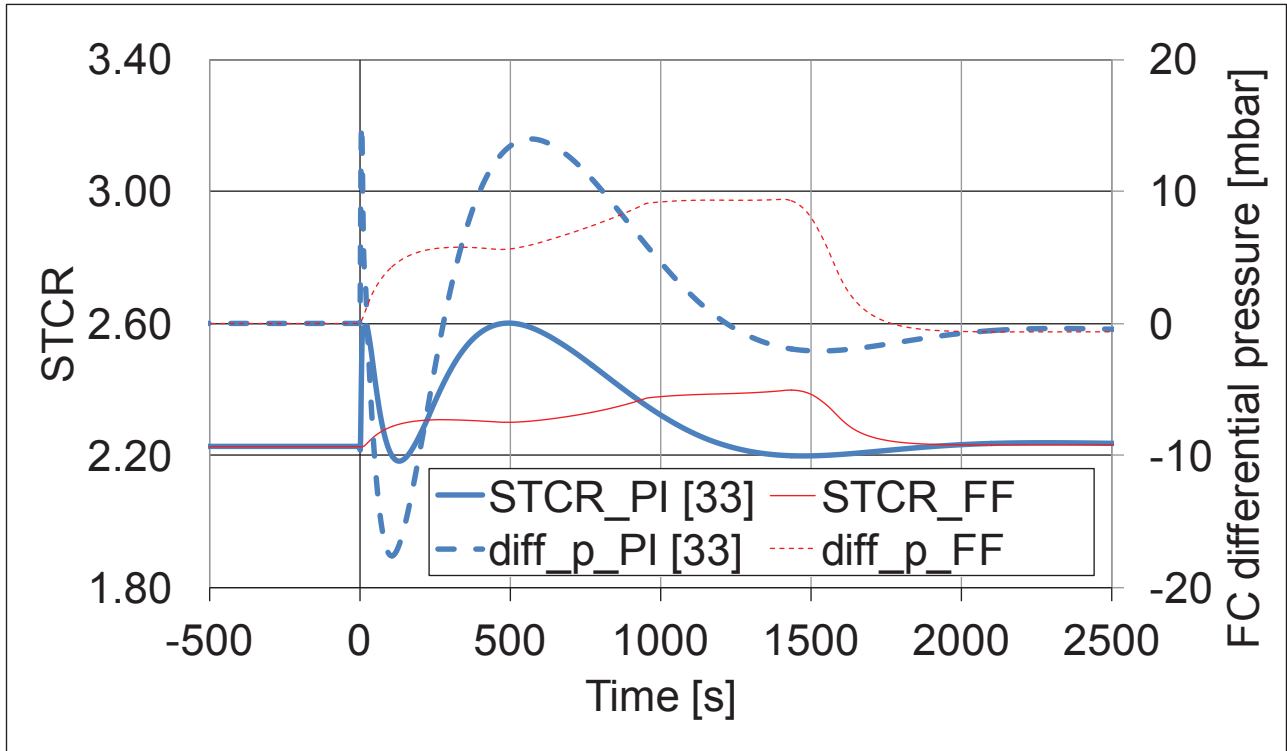


Figure 10. 10% load step decrease: fuel cell STCR and fuel cell differential pressure (between anode and cathode sides) compared to previous results [33].

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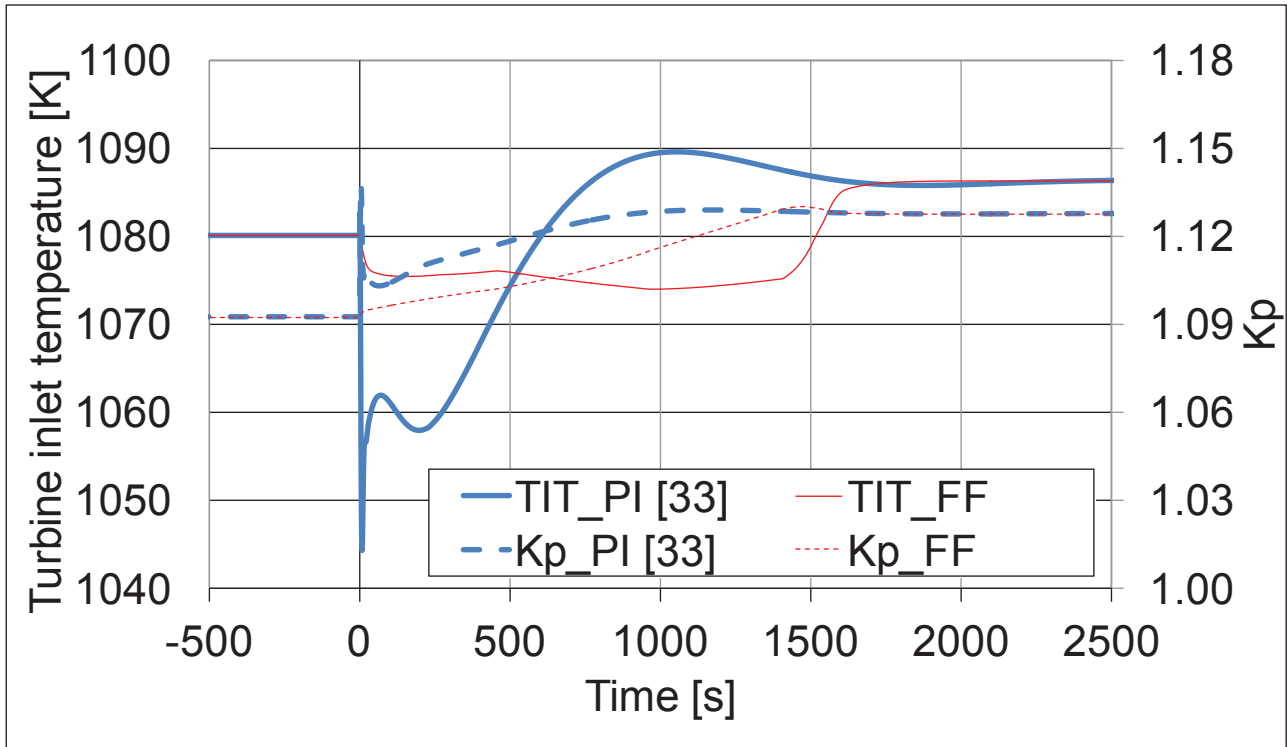
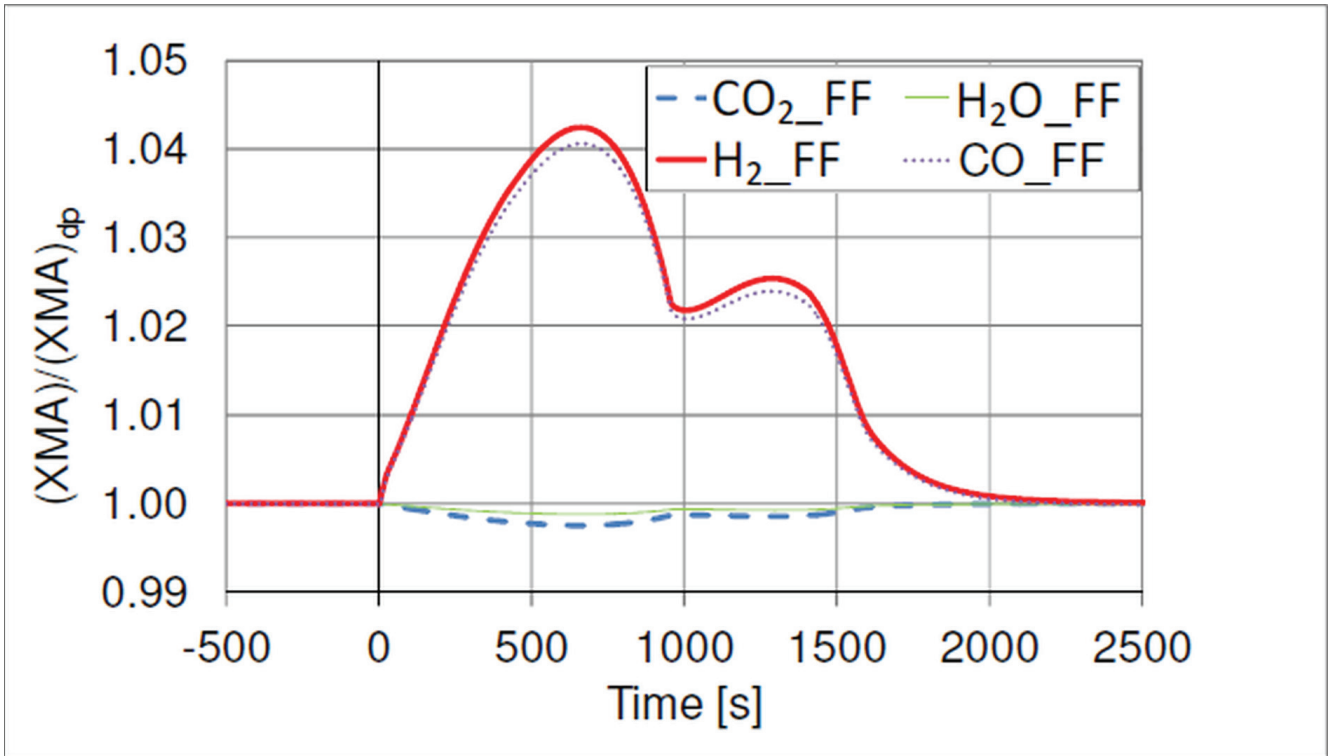


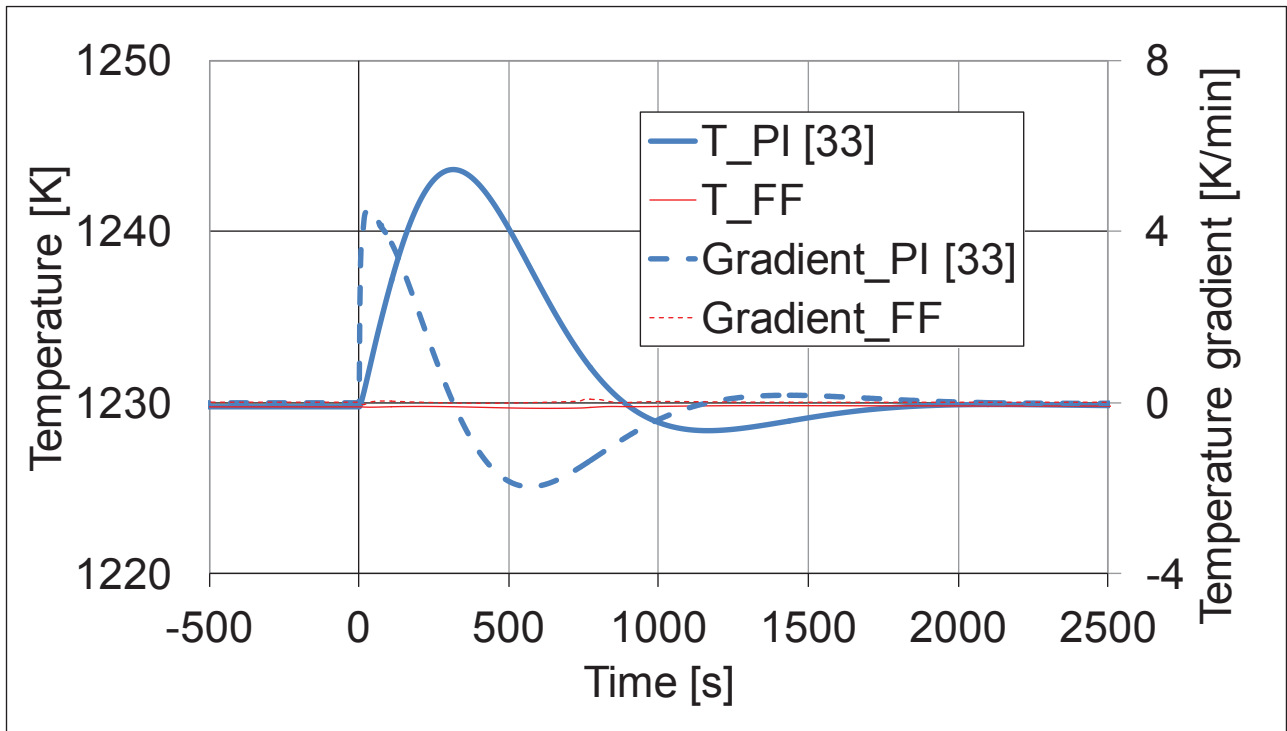
Figure 11. 10% load step decrease: TIT and compressor surge margin (Kp) compared to previous results [33].

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Figure 12. 10% load step decrease: mass fractions of anodic outlet flow (referenced to their design values) for this new control system (FF).



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Figure 13. 5% load step increase: SOFC average temperature, including temperature gradient, compared to previous results [33].

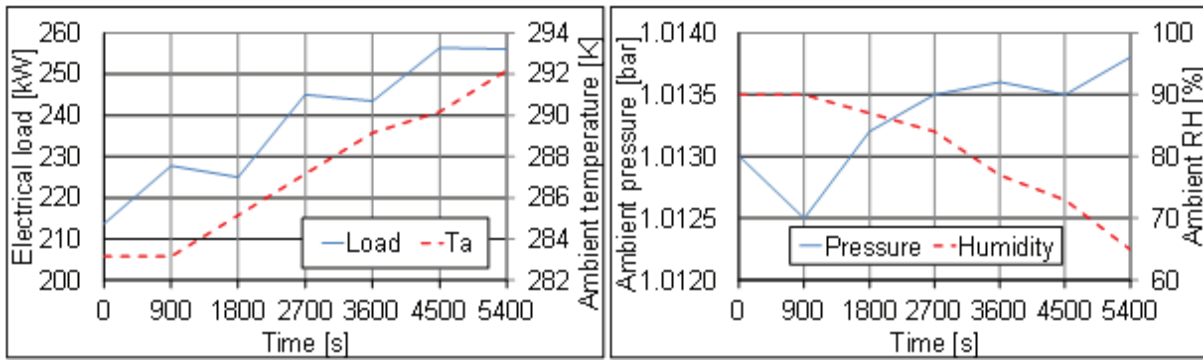


Figure 14. Ramps for load and ambient conditions: input values.

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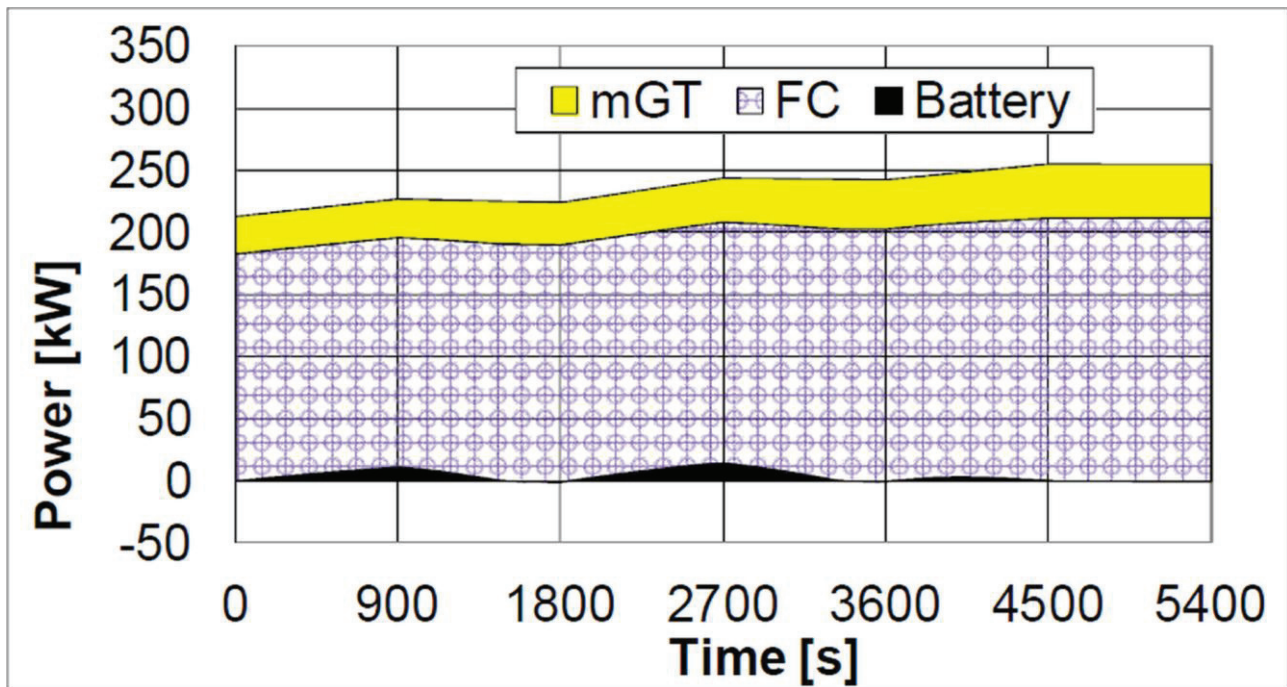


Figure 15. Ramps for load and ambient conditions: electrical power produced by FC and mGT coupled with battery charging (negative values).

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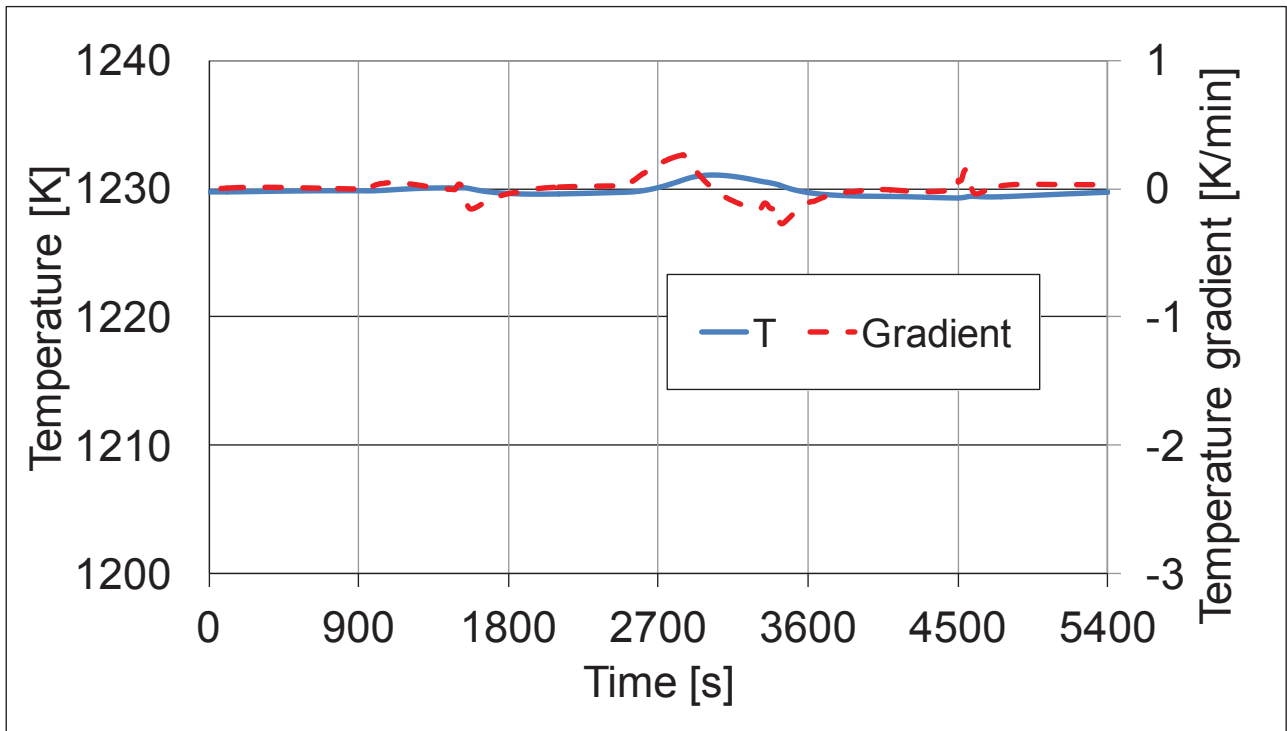


Figure 16. Ramps for load and ambient conditions: SOFC average temperature and the related gradient.

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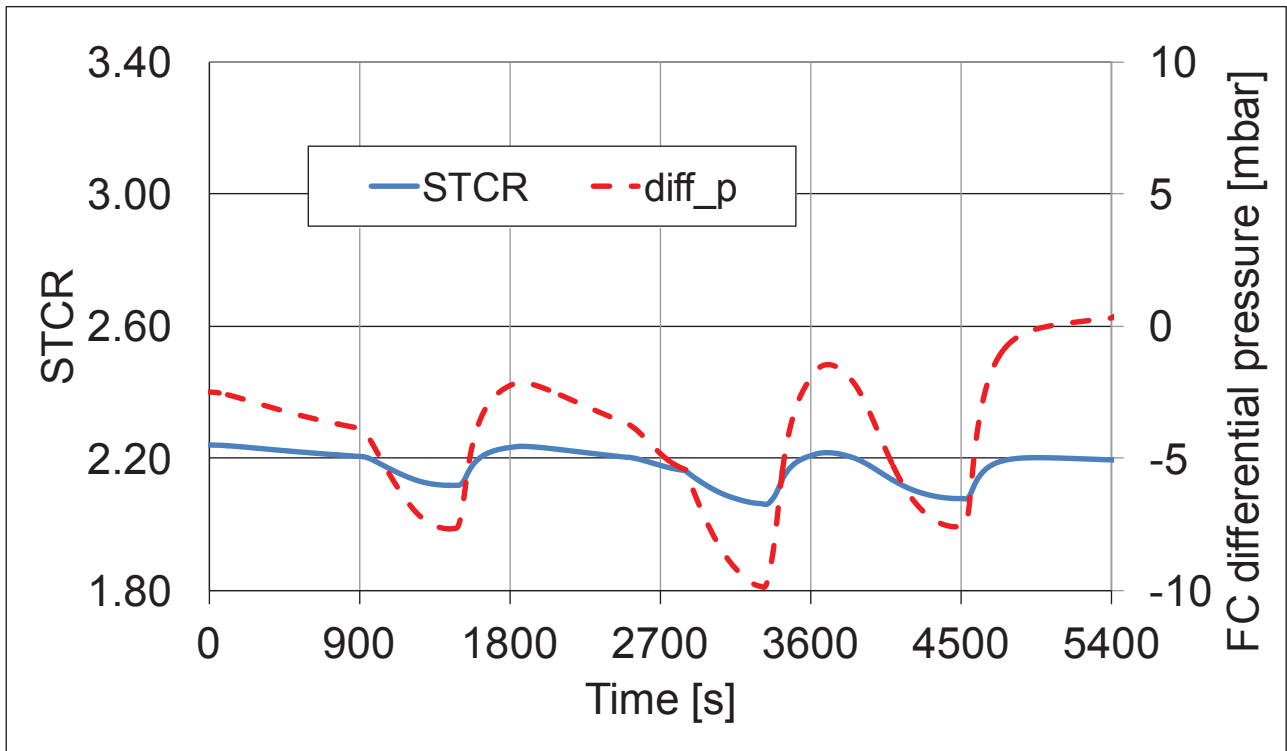


Figure 17. Ramps for load and ambient conditions: fuel cell STCR and fuel cell differential pressure (between anode and cathode sides).

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