

**Longitudinal MRD evaluation in AML with NPM1 mutation:
from definition of molecular relapse to MRD- driven salvage
approach**

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3 1 **Longitudinal MRD evaluation in AML with *NPM1* mutation: from definition of molecular relapse to MRD-**
4 2 **driven salvage approach**

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6 3 **Running Title: MRD-directed therapy in AML with mutated *NPM1***

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10 5 Cagnetta^{1,2}, Michele Cea^{1,2}, Riccardo Marcolin^{1,2}, Andrea Todiere^{1,2}, Filippo Ballerini^{1,2}, Marco Gobbi^{1,2} and
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3 1 ABSTRACT
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5 2 Acute Myeloid Leukemia with mutated *NPM1* has been recognized as a distinct entity. Although the high
6 prognostic value of RT-PCR based minimal residual disease (MRD) assessment in this setting is clear, the
7 3 time from first MRD positivity to overt relapse can be extremely variable and a definition of molecular
8 relapse (Mol-relapse) is lacking.
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11 6 The aim of the present study was to give a definition of Mol-relapse and to evaluate the feasibility and
12 7 efficacy of an MRD-directed salvage therapy.
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15 9 Forty-two consecutive AML patients with *NPM1* mutation were included. We defined Mol-relapse as the
16 reoccurrence of *NPM1* mutation confirmed in 3 consecutive BM samples, with a total increase of *NPM1*
17 9 expression levels of at least 2 logarithms.
18 10

19 11 Starting from January 2015, all patients meeting Mol-relapse criteria were included in a prospective clinical
20 12 trial and treated with MRD directed therapy. At the time of Mol-relapse disease burden was significantly
21 lower if compared to that observed in patients at overt relapse ($p < 0.03$). Both hematological and non-
22 13 hematological toxicities were significantly lower than d in patients being treated with the same therapy for
23 overt relapse. After a median follow-up of 19 months all patients are alive and in MRD negative CR.
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3 1 To the Editor,
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6 3 Acute Myeloid Leukemia with mutated *NPM1*(NPM-AML) has been recognized by WHO 2016 classification
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8 4 as a distinct entity commonly associated with favorable prognosis. In 2017 European Leukemia Net (ELN)
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10 5 classification NPM-AML is included in low or intermediate-risk group according to *FLT3-ITD* mutational
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12 6 status (Dohner *et al*, 2017).

13 7 Many groups have reported that the clearance of minimal residual disease (MRD), assessed by real time
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15 8 PCR for *NPM1* expression level, is crucial to achieve long-term disease free survival (Kronke *et al*, 2011, Ivey
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17 9 *et al NEJM 2016*). In addition, detection of *NPM1* mutation during follow up is associated with an high risk
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19 10 of hematologic relapse (Hem-relapse). However, the interval between first *NPM1* reoccurrence to Hem-
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21 11 relapse can be extremely variable, **as reported in large trials (Schnittger *et al.*, Blood 2009;Ommen *et al.*,**
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23 12 **Blood 2010; Kronke *et al.*, JCO 2011).** Furthermore, a clinically **applicable** definition of molecular relapse
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25 13 (Mol-relapse) is lacking, **as in the recent ELN definition (Schuuruis *et al*, Blood 2018) the precise timing**
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27 14 **between follow-up samples, to confirm a positivity, is not given. Moreover,** no data at all are available on
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29 15 the feasibility and efficacy of a MRD-driven salvage therapy.

30 16 Herein, we analyzed the correlation between *NPM1* MRD kinetics and Hem-Relapse in a cohort of
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32 17 homogeneously, intensively treated young AML patients, in order to give an operational definition of Mol-
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34 18 relapse and to evaluate the feasibility and efficacy of an MRD-directed salvage therapy.

35 19 From January 2004 to January 2014, 42 consecutive younger (<65 years old)normal karyotype AML patients
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37 20 with *NPM1* mutation have been treated in our center. Median age was 50 (range 29-61), *FLT3-ITD* mutation
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39 21 was detected in 17 patients (41%), with high allelic burden in 8/14 evaluated patients (57%). All patients
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41 22 received fludarabine-cytarabine-idarubicine containing induction (FLAI) and achieved hematological CR,
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43 23 followed by high-dose cytarabine-based consolidation, according to our policy (Guolo *et al*, 2016). At the
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45 24 end of the program all patients were *NPM1*-MRD negative and were included in a longitudinal
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47 25 observational MRD study.

48 26 MRD assessment was scheduled on bone marrow (BM) samples every 3 months for the first 5 years of
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50 27 follow up. Patients who displayed *NPM1* reoccurrence during follow up were re-assessed after 15-30 days.
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52 28 The correlation between MRD detection and Hem-Relapse was analyzed in order to provide an operative
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54 29 definition of Mol-relapse.

55 30 *NPM1* mutations (A,B,C,D) were evaluated on BM samples using Mutant Quant[®] Standard from Ipsogen
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57 31 (Marseille, France) as previously reported (Miglino *et al*, 2012). All Real-Time PCR were performed on DNA
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59 32 Engine 2 (Opticon[®], MJ Research[®]). All statistical analyses were performed as recommended by Delgado *et*
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33 *al* (2014), using IBM SPSS[®] v22, Debian (Linux) version.

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3 1 In patients treated between 2004-2014 (cohort 1), our conventional approach for relapsed patients
4 2 consisted of two courses of MEC regimen administered at Hem-Relapse, followed by allo-SCT when feasible
5 3 (Guolo *et al*, 2016).

8 4 In cohort 1 Hem-relapse occurred in 8 patients, after a median of 24 months (range 14-52); **3 of them had
9 5 concomitant *FLT3-ITD* mutation and two had low-level positive MRD after induction course 2.** Three-year
10 6 overall survival (OS) of cohort 1 was 60.4% (median not reached). The only variables significantly related to
11 7 a shorter survival were unsatisfactory *NPM1* clearance after FLAI (*NPM1* MRD reduction <3.5 logs, $p<0.01$)
12 8 (Miglino *et al*, 2012) and Hem-relapse ($p<0.001$). All patients with Hem-relapse proceeded to MEC salvage
13 9 treatment. Second CR rate was 63% (5/8 patients). One patient died of infection before response
14 10 evaluation and 2 were refractory. At Hem-relapse, median *NPM1* expression levels was 13276. After two
15 11 MEC, complete *NPM1* MRD clearance was achieved in 2/8 relapsing patients (25%).

21 12 In all cases *NPM1* MRD reoccurrence anticipated Hem-Relapse. The median time from NPM MRD
22 13 reoccurrence to Hem-Relapse was 4.5 months but the range was rather wide (1-8.4 months).

25 14 By considering ~~consecutive samples and~~ the trend of MRD increase **in consecutive samples**, we defined
26 15 Mol-relapse as the reoccurrence of *NPM1* mutation confirmed in 3 consecutive BM samples, with an
27 16 ~~overall total~~ increase of *NPM1* expression levels of at least 2 logarithms. **Our definition of Mol-relapse is
28 17 similar to that recently provided by ELN, but includes more stringent timings for re-assessment.** Median
29 18 *NPM1* expression level at Mol-relapse was 1875. All patients with Mol-relapse defined according to the
30 19 aforementioned criteria progressed to overt Hem-Relapse within 3 months from the third BM sample
31 20 (range 0.5-3) and no patient experienced hematological relapse before fulfilling Mol-relapse criteria.

36 21 Starting from January 2015, all patients with Mol-relapse were included in a prospective clinical trial and
37 22 treated with MRD-directed therapy, consisting of one course of MEC (cohort 2). **Among 19 NPM-AML
38 23 patient who were treated in our Institution after January 2015, 4 showed Mol-relapse and were
39 24 therefore included in the prospective study. None of these patients had concomitant *FLT3-ITD* mutation.
40 25 Overall, patients in cohort 1 and 2 had comparable clinical and molecular features.** At the time of Mol-
41 26 relapse disease burden was significantly lower if compared to that observed in patients at overt Hem-
42 27 relapse (*NPM1* expression levels of 1217 vs 13276, respectively, $p<0.03$, Fig. 1).

48 28 All patients receiving salvage treatment at Mol-relapse achieved complete MRD clearance and then
49 29 proceeded to allo-SCT. After a median follow-up of 19 months all patients are alive and in MRD negative CR
50 30 at the time of analysis. **With the limitation of the cohort size, survival of patients receiving MRD-directed
51 31 therapy compares favorably with that of patients receiving MEC salvage at Hem-relapse (Median OS not
52 32 reached vs 12 months, $p<0.05$).**

56 33 Both hematological and non-hematological toxicities of patients receiving MRD-directed therapy were
57 34 significantly lower than those observed in patients treated with MEC for overt Hem-Relapse. **In particular,
58 35 significantly shorter neutropenic period was observed in patient treated with MRD-directed therapy**

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3 1 ~~which lead to a lower risk of infectious complications.~~ The neutropenic period, defined as median
4 2 number of days with ANC $<0.5 \times 10^6/l$, was significantly shorter if compared to that following the same
5 3 therapy at leukemic relapse (10 and 17 days, respectively, $p<0.05$), resulting in a lower risk of infectious
6 4 complications.
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10 5 Our study provides the first evidence that the application of standardized molecular relapse criteria, based
11 6 on the logarithmic increase of MRD burden, is able to identify patients with impending Hem-Relapse, and
12 7 can rationally drive the administration of early salvage therapy. MRD-driven salvage approach has become
13 8 part of the current clinical practice in acute lymphoblastic leukemia (Theunissen *et al*, 2017) and in acute
14 9 promyelocytic leukemia (Diverio *et al*, 1998). NPM-AML is a good model for MRD-driven therapy as *NPM1*
15 10 mutations are stable during disease history and molecular NPM1 monitoring is now widely employed
16 11 (Burke *et al*, 2016). However, no information is at the present available on management of NPM-AML
17 12 patients still in hematological CR but displaying persistence or reoccurrence of *NPM1* mutation. Our
18 13 experience shows that MRD-driven salvage therapy is feasible and effective in NPM-AML. Due to the low
19 14 disease burden and normal blood cell count at the time of Mol-relapse toxicity is reduced and, more
20 15 importantly, a single course of MEC is able to achieve MRD negative status in all patients. As already
21 16 reported (Guolo *et al*, 2017), complete MRD clearance before allo-SCT deeply affects the outcome after
22 17 transplant and should be the aim of a modern rescue approaches (Bloomfield *et al*, 2018).
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33 19 Conflict of Interest

34 20 Authors declare that they have no conflict of interest to disclose
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39 23 F.G., P.M. and M.C. designed research

40 24 M.G. and RM.L. supervised research

41 25 M.M. and N.C. performed all molecular analysis

42 26 A.C., M.C., F.B. and A.T. collected and revised the data

43 27 F.G. and P.M. performed all statistical analysis

44 28 F.G., P.M., R.C, M.C and RM.L. wrote the paper

45 29 M.G. and RM.L. revised the final version of manuscript
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53 31 References:

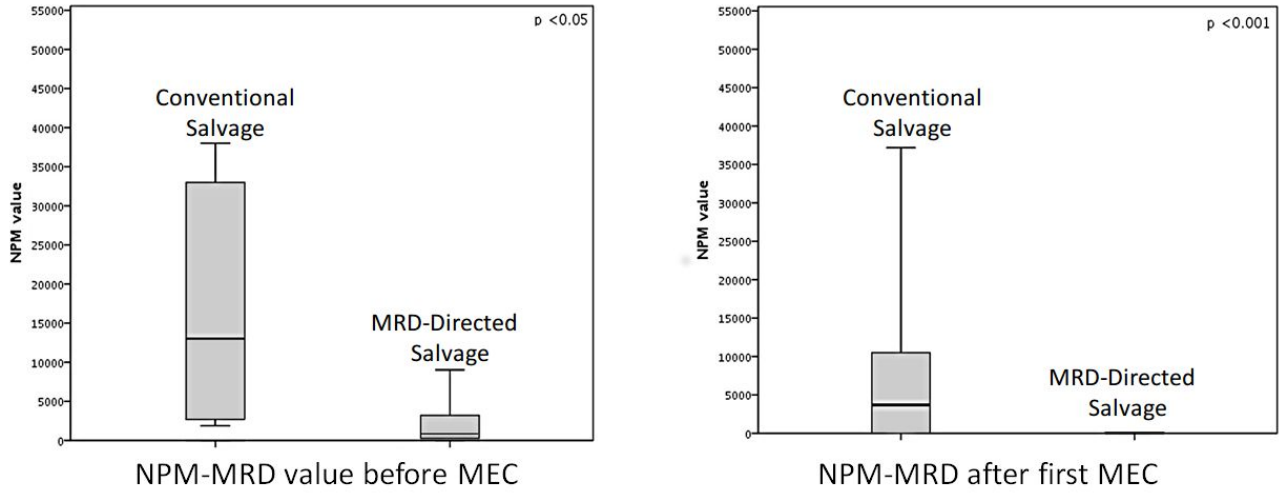
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1 **Figure 1:** Pre and post therapy disease burden in patient treated for MRD relapse compared to patients
2 treated for hematological relapse



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