

24 **Abstract**

25 In this study, an alternative analytical approach for analyzing and characterizing green tea (GT) samples is
26 proposed, based on the combination of excitation–emission matrix (EEM) fluorescence spectroscopy and multivariate
27 chemometric techniques. The three-dimensional spectra of 63 GT samples were recorded using a Perkin–Elmer LS55
28 luminescence spectrometer; emission spectra were recorded between 295 and 800 nm at excitation wavelength ranging
29 from 200 to 290 nm, with excitation and emission slits both set at 10 nm. The excitation and emission profiles of two
30 factors were obtained using Parallel Factor Analysis (PARAFAC) as a 3-way decomposition method. In this way, for
31 the first time, the spectra of two main fluorophores in green teas have been found. Moreover, a cyclodextrin-modified
32 micellar electrokinetic chromatography method was employed to quantify the most represented catechins and
33 methylxanthines in a subset of 24 GT samples in order to obtain complementary information on the geographical origin
34 of tea. The discrimination ability between the two types of tea has been shown by a Partial Least Squares Class-
35 Modelling performed on the electrokinetic chromatography data, being the sensitivity and specificity of the class model
36 built for the Japanese GT samples 98.70% and 98.68%, respectively. This comprehensive work demonstrates the
37 capability of the combination of EEM fluorescence spectroscopy and PARAFAC model for characterizing,
38 differentiating and analyzing GT samples.

39

40 *Keywords:* Catechins; Cyclodextrin modified-micellar electrokinetic chromatography; Excitation-emission matrix
41 fluorescence spectroscopy; Green tea; Methylxanthines; Parallel Factor Analysis

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43

44 *Abbreviations:* CF, caffeine; (+)C, (+)-catechin; CyD-MEKC, cyclodextrin-modified micellar electrokinetic
45 chromatography; EC, (-)-epicatechin; ECG, (-)-epicatechin gallate; EGC, (-)-epigallocatechin; EGCG, (-)-
46 epigallocatechin gallate; EEM, excitation-emission matrix; GT, green tea; HP β CyD, (2-hydroxypropyl)- β -cyclodextrin;
47 PARAFAC, Parallel Factor Analysis; PLS-CM, Partial Least Squares Class-Modelling; TB, theobromine.

48

49 1. Introduction

50 Tea is an aromatic beverage made from the leaves of *Camellia sinensis*, a plant native to Southeast Asia,
51 cultivated and consumed by humans for thousands of years. Due to its attractive aroma and taste and its effect on
52 reducing lifestyle-related diseases, tea is the most consumed beverage in the world. Green tea (GT) is made from
53 unfermented leaves of *Camellia sinensis* and contains a high concentration of polyphenols, which are powerful
54 antioxidants. The potential health benefits of GT, especially related to its antioxidant properties, have led to an increase
55 of its consumption in the last decades. The principal compounds of GT having biological effects have been identified as
56 catechins and xanthines [1]. Catechins show a strong antioxidant activity and exert antiinflammatory, antiarthritic,
57 antiangiogenic, neuroprotective, anticancer, antiobesity, antiatherosclerotic, anti-diabetic, antibacterial, antiviral and
58 antidental caries effects. Xanthines are responsible for the stimulating effects; caffeine (CF) is a central nervous system
59 and cardiac stimulant and has a diuretic effect, while theobromine (TB), which is present in lower amounts, has also a
60 diuretic effect [1-7]. Among the most abundant catechins in GT there are (+)-catechin, ((+)C), (-)-epicatechin (EC), (-)-
61 epigallocatechin (EGC), (-)-epicatechingallate (ECG), (-)-epigallocatechin gallate (EGCG) [8].

62 The composition of GT can be influenced by several parameters associated with growth conditions, such as
63 genetic strain, season, climatic conditions, soil profile, growth altitude, horticultural practices, plucking season, shade
64 growth, and with the region in which tea has been cultivated. The other factors that can influence the profile of
65 bioactive compounds are manufacturing process (withering, steaming/pan-firing, rolling, oxidation/fermentation and
66 drying) and storage [8,9]. Besides this huge variability, the price of tea greatly varies according to its geographical
67 origin. Hence, the recognition of the origin of GT is crucial to protect the interests of both consumers and sellers
68 [10,11]. Several analytical methods have been proposed together with chemometric techniques in order to characterize
69 the geographical origins and/or varieties of teas [12-15]. However, most of these methods require expensive equipment
70 and involve tedious sample preparation in order to discriminate GT samples from different geographical origins; as an
71 example, Ye *et al.* [14] extracted the volatile organic components from the dried tea leaves by headspace solid-phase
72 microextraction procedure, followed by GC-MS analysis.

73 In a previous paper coauthored by some of us [10], cyclodextrin-modified micellar electrokinetic
74 chromatography (CyD-MEKC) was employed to simultaneously analyse the most represented catechins and
75 methylxanthines in 92 GT samples of different geographical origin, and the comparison of the obtained data showed
76 that Japanese commercial GT products contained a general lower level of catechins than Chinese GTs.

77 The contents of catechins and methylxanthines were thus used as chemical descriptors and potential indicators of
78 the geographical origin. Considering this previous work as a starting point for further investigations, in the present study
79 an alternative analytical approach was applied for identifying the differences in terms of active compounds content in

80 GT samples from different geographical origin. In order to reach this aim, 63 GT samples were analysed by
81 fluorescence spectroscopy: 29 samples from Japan and 34 from China. The main reason of the choice of these two
82 countries was the interest of the consumers in the comparison of Japanese and Chinese GTs in terms of active
83 compounds content. As a matter of facts, Chinese GT tends to cost consumers much less than Japanese GT, for the
84 massive prevalence of Chinese GT and thus the necessity of maintaining low prices by Chinese producers, and for the
85 lack of space for the production of GT in Japan. Moreover, one of the main differences in GT processing between
86 Chinese and Japanese producers is the way deactivation of enzymes is performed. Chinese GT is usually dry heated in
87 order to deactivate oxidases, whereas in the case of Japanese GT steaming is employed. Besides, Japanese GT is usually
88 shade grown [9]. Hence, we deemed it worthwhile to compare the GTs from these two countries in order to understand
89 if the higher price of Japanese teas can be supported or not by the fact that it is a more prized tea for its higher
90 antioxidant capacity.

91 In more detail, the innovative analytical approach presented is based on the combination of excitation–emission
92 matrix (EEM) fluorescence spectroscopy and chemometric tools to extract useful information from a huge amount of
93 data. The chemometric approach is a fundamental part of the interpretation of fluorescence spectral data of agro-food
94 products due to the presence of many fluorophores, since the fluorescence of a sample consists of a number of
95 overlapping signals not easily understandable without a proper data processing. Accordingly to these principles, three-
96 dimensional fluorescence spectra were elaborated through PCA [16] after unfolding the data into matrices and through
97 Parallel Factor Analysis (PARAFAC) [17] on three-way data as display methods. Moreover, SELECT [18] technique
98 was applied for variable selection, in order to individuate the variables with the highest classification power, *i.e.* the
99 most informative emission bands in discriminating between Japanese and Chinese GTs.

100 Finally, the content of catechins and methylxanthines was determined in a subset of 24 GT samples by the
101 previously developed chiral CyD-MEKC method in order to obtain complementary information on the geographical
102 origin of GT samples and to confirm what observed in our previous work [10], *i.e.* that the amount of all the considered
103 compounds was higher for Chinese GTs, with the exception of ECG. A Partial Least Squares Class-Modelling (PLS-
104 CM) was carried out on this subset of samples to develop a predictive model able to classify new GT samples according
105 to the geographical origin using the CyD-MEKC data.

106

107 **2. Materials and methods**

108 *2.1. Chemicals, solutions and samples*

109 The reference standards of (+)C, EC, EGC, ECG, EGCG, CF, TB, as well as boric acid, 86.1% phosphoric acid,
110 sodium dodecyl sulphate (SDS), (2-hydroxypropyl)- β -cyclodextrin (HP β CyD, degree of substitution 0.6), were

111 purchased from Sigma-Aldrich (St. Louis, MO, USA). The standard stock solutions (1 mg mL⁻¹) of (+)C, EC, EGC,
112 ECG, EGCG, CF, TB and of the internal standard syringic acid were prepared in a mixture of methanol/water in 15:85
113 ratio %v/v. Working standard solutions were obtained by dilution with water in a vial to 500 µL for achieving the
114 desired final concentration values of the compounds.

115 A set of 63 GT samples of different varieties and from different geographical origins (29 from Japan and 34 from
116 China) was selected for the study and analysis. In order to assure a good degree of representativity of the samples, the
117 main sources of variability for GTs were considered, *i.e.* for Japanese GTs the different varieties, including Bancha,
118 Gyokuro, Matcha, Sencha, Matcha Tsuru types, while for Chinese GTs the different zones (the ten provinces of Hunan,
119 Fujian, Zhejiang, Anhui, Yunnan, Guandong, Jiangsu, Hubei, Shandong, Guanxi). Moreover, each geographical group
120 included samples stored in different conditions and coming from different manufacturing processes. Supplementary
121 Table S1 shows the description of the samples and the corresponding assigned code. The commercial GT samples were
122 collected locally in specialized stores located in the cities of Florence and Genoa (Italy). A subset of 24 samples
123 randomly selected including different types of Japanese GT and different zones of Chinese GT has been analyzed using
124 the CyD-MEKC method for the quantitation of catechins and methylxanthines (Table 1).

125

126 2.2. Preparation of GT samples

127 In order to simulate the content of active compounds in a cup of tea, GT samples were prepared by infusion of
128 tea leaves. The samples were prepared immersing 0.2 g of finely powdered tea leaves in 10 mL of water at 85 °C for 5
129 min in a beaker. Then, the beaker containing tea leaves and water was transferred into an ice bath for 30 s to stop the
130 infusion at the same moment for each sample. In order to remove the leaves before performing the analysis, the infusion
131 was filtered using a filter paper (Albet[®] LabScience) with a porosity equal to 73 g/m².

132

133 2.3. Instrumental

134 2.3.1. Capillary electrophoresis

135 The CyD-MEKC method used for the determination of the compounds was derived from a previous study
136 coauthored by one of us [15]. The analyses were carried out using a ^{3D}CE instrument from Agilent Technologies
137 (Waldbronn, Germany) controlled by the software ^{3D}CE ChemStation (Agilent Technologies) for both acquisition and
138 data management. Fused-silica capillaries (Unifibre, Settimo Milanese, Italy) of 33.0 total length, 8.5 cm effective
139 length and 50 µm inner diameter were used. The detection was carried out by using the on-line DAD detector and the
140 detection wavelength was 200 nm. Voltage and temperature were set at 15 kV and 25 °C, respectively. The background
141 electrolyte was made by 25 mM borate-phosphate buffer pH 2.50 with the addition of 90 mM sodium dodecyl sulphate

142 and 25 mM HPβCyD. Total analysis time was about 8 minutes. Calibration was performed by the internal standard
 143 method, using syringic acid as internal standard. The method had been previously validated in terms of selectivity,
 144 linearity, repeatability, accuracy and sensitivity, showing adequate performances for the analysis of catechins and
 145 methylxanthines in GT, with LOQ values ranging from 0.05 to 0.7 µg mL⁻¹ [15]. Further information on the CE method
 146 and procedure may be found in mentioned Ref. [15].

147

148 2.3.2. Fluorescence spectroscopy

149 The EEM fluorescence measurements were performed directly on GT extracts at room temperature on a Perkin-
 150 Elmer LS55B luminescence spectrometer (Waltham, MA, USA). The excitation-emission matrices of the GT infusions
 151 were recorded using the standard cell holder and a 10 mm quartz SUPRASIL® cell with cell volume of 3.5 mL by
 152 PerkinElmer. The excitation spectra were recorded between 200 nm and 290 nm each 5 nm (19 recorded points),
 153 whereas the emission wavelengths ranged from 295 nm to 800 nm each 0.5 nm (1011 recorded points). The excitation
 154 and the emission monochromator slits were set to 10 nm. The FL WinLab software (PerkinElmer) was used to register
 155 the fluorescent signals.

156

157 2.4. Multivariate data analysis

158 2.4.1. Data exploration

159 PCA [16] is the most used tool in exploratory data analysis and it uses an orthogonal transformation to convert a
 160 set of correlated variables into a set of uncorrelated variables called principal components. This approach makes it
 161 possible to visualize in a comprehensive way the dataset starting from a two-dimensional data matrix. According to the
 162 specific nature of EEM data, organized in a three-dimensional data array, for performing PCA a step of unfolding of the
 163 matrix is requested, while with the PARAFAC algorithm it is possible to directly model n-way data. In the case of
 164 three-way data, like the EEM data, PARAFAC decomposes a data array $\underline{\mathbf{X}}$ with dimension $I \times J \times K$ into three loading
 165 matrices \mathbf{A} , \mathbf{B} and \mathbf{C} , being their columns a_i , b_j and c_k respectively. The trilinear PARAFAC model is expressed as
 166 follows:

$$x_{ijk} = \sum_{f=1}^F a_{if} b_{jf} c_{kf} + e_{ijk} \quad i = 1, 2, \dots, I; \quad j = 1, 2, \dots, J; \quad k = 1, 2, \dots, K \quad (1)$$

167 where x_{ijk} is the element in the position i, j, k of the three-way array $\underline{\mathbf{X}}$; F is the number of factors; a_{if} , b_{jf} and c_{kf}
 168 are the elements of the matrices \mathbf{A} ($I \times F$), \mathbf{B} ($J \times F$) and \mathbf{C} ($K \times F$), respectively; e_{ijk} represents the generic element of
 169 the residual array $\underline{\mathbf{E}}$ ($I \times J \times K$). The PARAFAC model is found by minimizing the sum of squares of the residuals.

170 The excitation-emission fluorescence matrices obtained for several samples can be arranged into a three-way
171 array and the PARAFAC decomposition can be applied for the analysis of fluorescent data. In this case, \mathbf{X} contains the
172 fluorescence intensity at the k -th excitation wavelength and j -th emission wavelength recorded for the i -th sample.
173 Therefore, the vectors a_i , b_j and c_k are the sample, emission and excitation profiles of the f -th fluorophore, respectively.
174 The similarity between the trilinear PARAFAC model and the physical model for fluorescence can be found in Ref.
175 [19].

176 Data are trilinear when the experimental data array is compatible with the structure in Eq. (1). The core
177 consistency diagnostic (CORCONDIA) developed by Bro and Kiers [20] is an index that measures the degree of
178 trilinearity of the experimental data array. A trilinear model has a value of CORCONDIA index close to 100%.

179 If the fluorescence data are trilinear and the appropriate number of factors has been chosen to fit the model, the
180 PARAFAC decomposition provides unique profile estimations, and the achievement of the true underlying excitation
181 and emission spectra for every fluorophore is ensured [17]. PARAFAC has been widely used due to this highly
182 attractive uniqueness property [21], which could be used for the unequivocal identification of compounds.

183

184 2.4.2. Variable selection

185 The selection of the informative variables was performed by means of SELECT [18], a feature selection
186 technique based on the stepwise decorrelation of the variables, which is implemented in the V-Parvus software [22].
187 This technique generates a set of decorrelated variables ordered according to their Fisher weights. At each step,
188 SELECT searches for the variable with the largest classification weight. This variable is selected and decorrelated from
189 the other variables; then the algorithm is repeated until a fixed number of variables is selected or the Fisher weight is
190 lower than a specific cut-off value. SELECT presents an interesting characteristic: the fraction of the residual variance
191 of the predictors after the orthogonalization can be used to select intervals of predictors with better classification
192 performance.

193

194 2.4.3. Class modeling

195 PLS-CM [23] is a supervised method of classification between two categories (or classes), in our case Japanese
196 or Chinese GT. It is a version of Partial Least Squares (PLS) algorithm with a binary response that makes it possible to
197 model the probability distribution of the samples for each class and then performs a hypothesis test evaluating the α
198 probability of type I error and the β probability of type II error. Class-model sensitivity (proportion of the samples of
199 the class that are correctly assigned) and specificity (proportion of samples correctly rejected) are $(1-\alpha)\cdot 100$ and $(1-$
200 $\beta)\cdot 100$, respectively. The risk curve is the plot of β error versus α error probabilities.

201

202 *2.4.4. Software*

203 Data analysis was performed in the MATLAB environment [24], thanks to tailor made algorithms developed and
204 implemented by the Authors. For the data processing, PCA, PARAFAC and PLS-CM algorithms were applied, in order
205 to extract the significant information embodied within data. For performing variable selection, the SELECT method was
206 applied thanks to its implementation in the software V-Parvus [22].

207

208 **3. Results and discussion**209 *3.1. Catechins and methylxanthines content*

210 The CyD-MEKC method previously described [15] was applied to the analysis of a subset of 24 GT samples in
211 order to confirm our previous observations [10] and to lay the basis for the EEM data processing. By applying the CyD-
212 MEKC method, the samples were characterized by means of $n=7$ variables, namely (+)C, EC, EGC, ECG, EGCG, CF
213 and TB (mg g^{-1} , dry basis), obtaining a data matrix having 24 rows (samples) and 7 columns (variables), shown in Table
214 1. This data set was submitted to chemometric modeling starting from PCA as a display method and then applying the
215 PLS-CM algorithm for class modeling purposes.

216 Firstly, PCA was performed on the data matrix to enhance the presence of structures inside the samples and to
217 understand the correlation between the variables. Fig. 1 shows the loading (a) and the score (b) plots of the catechins
218 ((+)C, EC, EGC, ECG, EGCG), CF and TB autoscaled data in the plane of the 2 first Principal Components, that
219 explain the 86% of the total variance. From the loading plot it was possible to point out that the variable EGCG is the
220 most important factor in PC1, followed by CF and EGC. All loadings are positive so that the samples with highest
221 scores on PC1 have greater value in all the variables. On the contrary, loadings of PC2 have different sign: ECG has the
222 highest positive loading and TB has the highest negative. Along PC1, the scores of the Japanese GT samples in relation
223 to the scores of the Chinese GT samples are lower, indicating that in general Chinese GT samples were characterized by
224 a higher content in the active compounds. This observation is in full agreement with what reported in our previous study
225 [10].

226 In order to build the PLS-CM model, it is necessary to build a dummy vector containing the information about
227 class membership; for this reason, a binary response was constructed considering the values 1 and 2 for the Japanese
228 and Chinese GT, respectively (Table 1). The number of PLS latent variables that minimized the root mean square error
229 in cross-validation (RMSECV) obtained by leave one out procedure was 3, and they explained the 81.68% of response
230 with 90.05% of predictors variance. Fig. 2 shows the distribution of PLS fitted values for the Japanese and Chinese GT

231 samples. Both classes have normal distribution with mean values 1.09 and 1.91 and SD values 0.09 and 0.27,
232 respectively.

233 In order to decide if an unknown sample belongs to one or another class, a threshold value, t_v , between 1 (GT
234 from Japan) and 2 (GT from China) must be established. If the value estimated by PLS is higher than t_v the sample is
235 classified to belong to class 2 (China), while for estimated values lower than t_v the sample is classified to belong to class
236 1 (Japan). A model for one class (e.g. “GT Japanese”), is in fact the acceptance region for the null hypothesis H_0 : the
237 sample belongs to “Japanese GT” class. Therefore, the evaluation of the quality of a class model is given by its
238 sensitivity and specificity. Both parameters have been evaluated in cross-validation, being 98.70% and 98.68%,
239 respectively. The risk curve, reported in Supplementary Fig. S1, is the plot of β versus α probabilities, where it is clear
240 that both probabilities change in opposite directions, that is, α decreases when β increases and vice versa.

241

242 3.2 Fluorescence spectra

243 Fig. 3 shows two typical excitation-emission spectra of one Japanese (J1) and one Chinese GT sample (C1).

244

245 3.2.1. Repeatability studies

246 In order to assess the experimental variability and the repeatability in preparing the tea infusions, the analysis of
247 two GT samples of different geographical origin (one from Japan and one from China) were replicated 3 times at a
248 distance of time (one week). Supplementary Fig. S2 displays the score plot obtained by PCA of the spectral data after
249 unfolding. PC1, which explains 97.8% of the total variance, clearly separates the 2 GT samples; on the contrary, the
250 difference among the 3 replicates of the same sample is along PC2, which explains only 1.4% of the variance.

251

252 3.2.2. PCA

253 Two bands of the emission spectra were removed, namely from 295 to 350 nm and from 700 to 800 nm, due to
254 the lack of information typical of these two areas (Fig. 3). The range between 350-700 nm was retained and used for
255 data elaboration. A data matrix of dimension 63×13300 was built, where each row corresponded to the emission
256 spectrum (700 wavelengths) obtained at each of the 19 excitation wavelengths for all the 63 GT samples measured.
257 PCA was performed as unsupervised pattern recognition technique on this ‘unfolded’ matrix after the data had been
258 mean-centered.

259 Fig. 4 shows the score plot on the plane PC1-PC4. It is possible to notice a discrimination between Japanese and
260 Chinese GT samples along PC1, the direction explaining the 74.3% of the total variance, even if a certain overlap is
261 present and the complete separation between the classes is not obtained. In the PC1-PC4 plot it can be also clearly

262 noticed that Matcha GT samples, considered one of the Japan's rarest and most precious GT variety, are grouped in a
263 cluster in the orthogonal space at negative scores on PC1.

264 Looking at the loading profile on PC1 (Fig. 5), it is possible to notice the bands more informative along PC1 and
265 thus useful for discriminating between Japanese and Chinese GTs, namely 410-450 nm and 500-600 nm. The first band
266 (410-450 nm) shows positive loadings on PC1 and this suggests that it is related to active compounds content in GT
267 from China; on the contrary the broad band (500-600 nm) has negative loadings, therefore it seems linked to chemical
268 compounds characterizing the Japanese GTs.

269

270 3.2.3. PARAFAC

271 The EEM data recorded for the 63 samples analysed were arranged into a data array where the excitation
272 wavelengths between 200 nm and 290 nm and the emission wavelengths between 295 nm and 800 nm were considered.
273 Therefore, the dimension of this array was $63 \times 1011 \times 19$ (where 63 are the samples, 1011 the emission wavelengths
274 and 19 the excitation wavelengths). The PARAFAC decomposition of this array, without any constrain, required two
275 factors (CORCONDIA of 100%, explained variance of 98.6%).

276 The plot of the loadings of the mode of the samples (first mode, Fig. 6a) is similar to the PCA score plot (Fig. 4)
277 and it shows a rather clear discrimination between Chinese and Japanese GTs. The plot of the loadings of the mode of
278 the emission (second mode, Fig. 6b) shows the emission spectra for two fluorophores, one with maximum around 420
279 nm and the other one with maxima at 500-550 nm. The plot of the loadings of the third mode (Fig. 6c) shows the
280 excitation profiles. As can be seen in these plots, PARAFAC enabled to differentiate the infusions of GT according to
281 the geographical origin (Chinese and Japanese). Moreover, due to the trilinearity of the data, it can be concluded that
282 the two groups of fluorophores found with the PARAFAC model are the same in all the GT samples.

283

284 3.2.4. Variable selection

285 SELECT was applied as a variable selection technique in order to individuate the variables with the highest
286 classification power, *i.e.* the most informative emission bands in discriminating between Japanese and Chinese GT
287 samples. SELECT was applied on the unfolded data matrix of dimension 63×13300 where each row corresponded to
288 the emission spectrum obtained for each excitation wavelength of each GT sample measured; the frequency histogram
289 of the selections showed as the most selected variables the two bands 415-450 nm and 495-550 nm (Supplementary Fig.
290 S3).

291 It is worthwhile to notice that the variables chosen by SELECT corresponded to the two bands highlighted by
292 PARAFAC in the second mode, namely the emission spectra of two fluorophores. These outcomes are also in

293 agreement with the profile of the loading on PC1, that highlights the presence of two important bands, the first positive
294 at 410-450 nm and the second negative over 500 nm. Combining this information, it was possible to assume that the
295 first emission band (410-450 nm) is due to a fluorophore characterizing the Chinese GT samples and that the broad
296 band at 500-550 nm is related to the presence of compounds most abundant in the Japanese GT samples. The band at
297 410-450 nm probably corresponds to fluorescence emission of catechins, which are more abundant in Chinese samples.
298 The band at 500-550 nm is probably attributable to carotenoids, that are recognized to be in particularly high quantities
299 in Japanese tea, especially in Matcha, which contains 4 times more carotene than carrots and nine times more than
300 spinach [25]. The infuses of GT prepared for the analysis were noticed to be slight yellow-green color due to pigments
301 as chlorophylls and carotenoids; the quantities of pigment extracted in hot water are related to the concentrations of the
302 pigments in teas [26]. These observations were in agreement with the findings of Ref. [27], where the emission spectra
303 of various organic compounds which are known to be endogenous component of plant leaves were measured,
304 evidencing that catechins possess a fluorescence maximum near 440 nm and that β -carotene exhibits fluorescence
305 emission with a maximum near 530 nm.

306

307 **4. Conclusions**

308 The aim of the present study was to evaluate the possibility of using EEM fluorescence spectroscopy as a rapid
309 analytical method for analyzing and characterizing GT samples, distinguishing between different geographical origins
310 (China or Japan). The experimental data, given their complex and multivariate nature, were elaborated with
311 chemometric techniques with the aim of extracting the useful information contained therein. PCA was applied, as a
312 display technique, on the “unfolded data” and PARAFAC was performed on three-dimensional arrays. The PCA results
313 were visualized by means of the score plot related to PC1 and PC4, which explained 76.8% of the total variance making
314 it possible to distinguish Chinese and Japanese samples. The separation between the two geographical origins was
315 mainly along PC1. Using PARAFAC, it was possible to perform the decomposition of the three-dimensional emission-
316 excitation matrix: the information on the first mode was similar to that observed by applying PCA to the matrix after
317 unfolding and it demonstrated that fluorescence spectroscopy is a promising and fast analytical method to characterize
318 GT samples on the basis of their geographical origin. PARAFAC on the second mode also highlighted the emission
319 spectra of two fluorophores, one with a maximum around 420 nm and the other with a maximum at 500-550 nm. These
320 bands correspond to the variables with the highest loadings on PC1 and also correspond to the variables selected by the
321 SELECT algorithm, that are those with the highest discriminating power between Japanese and Chinese GT samples.
322 The band around 420 nm was assumed to correspond to the fluorescence emission of catechins, which are more
323 abundant in the Chinese samples, and the band around 500-550 nm was attributed to carotenoids. Moreover, the CyD-

324 MEKC method was applied for the analysis of a subset of 24 GT samples confirming that catechins are more abundant
325 in Chinese samples. In addition, the PLS-CM built with these data made it possible to distinguish Japanese from
326 Chinese GT samples with a sensitivity and specificity of 98.70 and 98.68%, respectively.

327

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330

331 **References**

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393

394 **Figure Captions**

395
396 **Fig. 1.** PCA (a) loading plot and (b) score plot of catechins and methylxanthines data.

397
398 **Fig. 2.** Normal distribution fitted for Japanese GT samples (in blue) and Chinese GT samples (in red).

399
400 **Fig. 3.** A typical excitation-emission spectra of (a) a Japanese (J1) and (b) a Chinese (C1) GT sample.

401
402 **Fig. 4.** PCA score plot on the PC1-PC4 plane for the fluorescence data. Matcha samples are indicated in green in the
403 plot.

404
405 **Fig. 5.** Loading profile on PC1.

406
407 **Fig. 6.** PARAFAC results: (a) loading plot of the mode of the samples (first mode); explained variance 98.6%
408 (F1=96.0% and F2=2.6%); (b) loading plot of the emission mode (second mode); (c) loading plot of the excitation mode
409 (third mode).

410