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## Biomimetic synthesis of sub-20 nanometer Covalent Organic Frameworks in

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#### Abstract

Covalent organic frameworks (COFs) are commonly synthetized under harsh conditions yielding unprocessable powders. Control in their crystallization process and growth has been limited to studies conducted in hazardous organic solvents. Herein, we report a one-pot synthetic method that yields stable aqueous colloidal solutions of sub-20 nm crystalline imine-based COF particles at room temperature and ambient pressure. Additionally, through the combination of experimental and computational studies, we investigated the mechanisms and forces underlying the formation of such imine-based COF colloids in water. Further, we show that our method can be used to process the colloidal solution into 2D and 3D COF shapes, as well as to generate a COF ink that can be directly printed onto surfaces. These findings should open new vistas in COF chemistry enabling new application areas.

#### Introduction

Covalent organic frameworks (COFs) are porous crystalline materials generated from organic molecules linked via reversible covalent bonds. Since its discovery, COF chemistry has facilitated a modular construction of periodic crystalline matter by connecting molecular subunits in a predictable and modular fashion. This strategy has proved efficient in generating extended crystalline and porous networks possessing permanent porosity, high specific surface areas and excellent thermal/chemical stability; features that have found potential applications in a vast number of fields. However, conventional routes for COF synthesis involve high temperatures, which when combined with the low solubility of the initial building blocks in common reaction media, yield poor control over the size of the crystalline domains and the morphology of COF crystals. Unsurprisingly, such drawbacks have hampered the extraction of reliable information regarding the effects of crystallite size and morphology on COF properties. Accordingly, much

effort is now focused on both understanding and controlling the growth of COF crystals at length scales spanning the nanometer to micron scales.

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Recently, Dichtel and co-workers reported on the preparation of stable particles of boronate esterlinked COFs, whose size can be modulated between 40 and hundreds of nanometers by using mixtures of organic solvents at high temperature. Later, such COFs colloidal solutions in organic media have been used by the same authors for preparing micron-sized single crystals of boronate ester-linked COFs via a seeded growth procedure.<sup>6</sup> Therefore, having access to nanometer-sized particles of COFs allowed the authors to overcome a long-standing challenge in the field, i.e. the formation of large single crystals of COFs. Besides this specific example, COF crystal-downsizing will be key to transforming COFs from unprocessable crystalline powders into processable materials, integrating COFs into nanoscale devices, <sup>7</sup> as well as establishing relationships between COF crystal size and properties. In addition, COF crystal downsizing will expand the range of applications of these materials, such as in the biomedical, device and printing arenas.<sup>8</sup> and enhance their bioavailability. However, only nanoparticles of boronate ester-linked COFs in organic solvents have been reported so far.<sup>5</sup> Unfortunately, boron-based COFs have poor chemical stabilities, which limits their practical implementation. In addition, the fact that hazardous organic solvents are still required as a medium to stabilize their colloidal dispersion precludes their use in biological environments. In contrast, imine-based COFs are significantly more stable and robust for practical use. 10 Nevertheless, despite the high number of reports on imine-based COFs, it has not been possible yet to downsize them to the nanoscale. <sup>11</sup> Put simply, routes for producing aqueous colloidal solutions of imine-linked COF nanoparticles are still missing.

To overcome this limitation, we report here an efficient one-pot method to generate stable and homogeneous colloidal solutions of sub-20 nanometer imine-linked COF particles in water. The

synthesis of crystalline COF colloids was performed for the first time at room temperature using micelles as reaction nanocompartments. This approach was inspired by living systems that make use of confined volumes (such as intracellular compartments) to control crystallization processes in aqueous media. 12-14 This method allowed us to use a combination of experimental scattering techniques in solution, that together with computational calculations, gave unprecedented insights into the mechanism and forces underlying the formation of imine-linked COFs. Additionally, we show that the produced colloids enable the processing of COFs into 2D and 3D shapes such as crystalline freestanding films and monoliths. Further, we prove that such colloids can also be used as inks to directly print COFs onto surfaces. Finally, we demonstrated the generality of our method by applying it to the synthesis of metal-organic frameworks (MOFs) colloids. In particular, we show the synthesis of 20 nanometer MIL-100(Fe) particles at room temperature and ambient pressure. MIL-100(Fe) is a prototypical MOF that requires harsh conditions for its synthesis<sup>15</sup> and only forms larger crystals. 16 We expect that the presented methodology will vastly increase knowledge on structure-property correlations in COFs and MOFs, allowing access to a large number of new applications and functions, whilst significantly enhancing the bioavailability and processability of these materials.

#### Results

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**TAPB-BTCA COF** is typically obtained via imine condensation between 1,3,5-tris(4-aminophenyl)benzene (**TAPB**) and 1,3,5-triformylbenzene (**BTCA**) in *meta*-cresol or DMSO. Additionally, acetic acid is used as a catalyst to yield **TAPB-BTCA COF** as an insoluble and unprocessable crystalline powder.<sup>17</sup> Herein, we employed the *catanionic* micellar system<sup>18,19</sup> formed from a mixture of cationic hexadecyltrimethylammonium bromide (CTAB) and anionic sodium dodecyl sulfate (SDS) surfactants (CTAB/SDS 97:3) to generate stable colloidal solutions

of crystalline **TAPB-BTCA COF** nanoparticles in water (**Fig. 1A**). Note that this surfactant ratio guarantees the formation of small mixed micelles in the *catanionic* mixture, instead of bigger vesicles as previously reported, <sup>18</sup> and here it was optimized to achieve the smallest size of **TAPB**-BTCA COF nanoparticles (Fig. S1). The micellar medium allows the solubilization in water of the otherwise insoluble molecular building blocks **BTCA** and **TAPB** at room temperature, yielding two homogeneous solutions of the reactants loaded into CTAB/SDS mixed micelles.<sup>20</sup> After mixing the solutions and adding acetic acid, the reaction mixture turned orange, indicating the formation of imine bonds characteristic of TAPB-BTCA COF growth. However, and in contrast to observations in standard synthetic protocols, the reaction mixture remained clear and homogeneous with no apparent precipitation (Fig. 1B), even after storage at room temperature for twelve months (Fig. S3). Indeed, when irradiated with a laser ( $\lambda = 630$  nm), the reaction mixture clearly exhibited Willis-Tyndall scattering behavior,<sup>21</sup> confirming the presence of colloidal particles (Fig. S2). To validate the existence of crystalline TAPB-BTCA COF nanoparticles in the reaction mixture, synchrotron X-ray diffraction measurements were performed directly on the colloidal solution generated after mixing. The experimental differential diffraction data were fitted using the Le Bail method<sup>22,23</sup> against the reported structural model for **TAPB-BTCA COF** (P3, a  $\sim 15.91 \text{ Å}$  and c  $\sim 3.54 \text{ Å}$  as refined cell parameters) (**Fig. 1C**), demonstrating the presence of the crystalline COF phase with a main low-angle peak centered at  $0.86^{\circ}$  2-theta values ( $\lambda = 0.2068 \text{ Å}$ ) associated with the (100) Bragg reflection. <sup>17</sup> Accordingly, this result unambiguously confirmed the formation of crystalline **TAPB-BTCA COF** nanoparticles via the mixed micelle method. The sizes and morphology of the obtained **TAPB-BTCA COF** nanoparticles were subsequently studied by dynamic light scattering (DLS) and cryogenic transmission electron microscopy (cryo-TEM). DLS measurements conducted on the reaction mixture after 24 hours (Fig. S3A) reported a monodisperse distribution of scatterers centred at 16 nm. Remarkably, the colloidal behaviour of

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the reaction mixture remains stable and homogeneous (with no appreciable turbidity or size increase) for periods in excess of six months (Fig. S3B). Additionally, cryo-TEM images of the reaction mixture after 24 hours (Fig. 1D) showed two different populations of objects; one centred at 5±1 nm and the other at 16±1 nm in diameter. The former value correlated well with the size of surfactant micelles determined by small-angle X-ray scattering (SAXS) in the pure CTAB/SDS (97:3) mixture (see below and Fig. S11), with the latter comparing well with the size distribution measured by DLS, and thus being ascribed to TAPB-BTCA COF nanoparticles. The highresolution transmission electron microscopy (HR-TEM) study of drop cast reaction mixtures further confirmed the crystallinity of TAPB-BTCA COF nanoparticles. Fig. 1E shows a characteristic HRTEM image and its corresponding Fast Fourier Transform (FFT). The measured periodicities (white arrows in Fig. 1E) match well with the unit cell geometry of TAPB-BTCA **COF** as viewed along the [-211] zone axis. **Fig. 1F** presents a magnified detail of the above HR-TEM image overlapped with the simulated crystal structure of **TAPB-BTCA COF** viewed along the [-211] zone axis, suggesting a good match between the light and dark fringes of the micrograph and the higher and lower atomic density regions of the COF structure. Additionally, scanning electron microscopy (SEM) images of drop cast reaction mixtures revealed the presence of welldefined and uniform nanoparticles (and nanoparticle clusters), with a size that correlates well with both DLS and cryo-TEM measurements (Fig. S1A).

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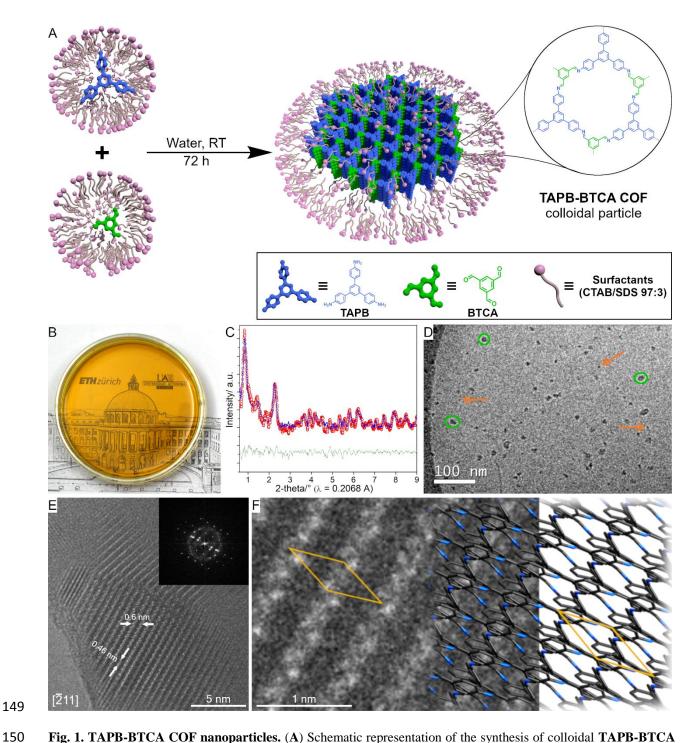
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**Fig. 1. TAPB-BTCA COF** nanoparticles. (**A**) Schematic representation of the synthesis of colloidal **TAPB-BTCA COF** nanoparticles in water. (**B**) Photograph of the transparent reaction mixture. (**C**) Synchrotron X-ray diffraction pattern of the reaction mixture containing **TAPB-BTCA COF** nanoparticles. Experimental differential data are shown in red, with the calculated fit using P3, a ~ 15.91 Å and c ~ 3.54 Å as refined cell parameters in blue and associated residuals in green. (**D**) Cryo-TEM image of **TAPB-BTCA COF** colloid. For clarity, some **TAPB-BTCA COF** nanoparticles are outlined in green and some micelles are indicated by orange arrows. (**E**) HR-TEM image of a **TAPB-**

BTCA COF nanoparticle along the [-211] zone axis, with the inset showing the FFT. (F) Magnified HR-TEM image 156 157 of a defined area in (E) overlaid with the schematic structural model of TAPB-BTCA COF along the [-211] projection. 158 159 After confirming that sub-20 nanometer TAPB-BTCA COF particles can be generated, we investigated the possibility of isolating the COF material as a bulk solid. To this purpose, , we 160 added ethanol to the reaction mixture to destabilize the surfactant aggregates, <sup>24</sup> which triggered the 161 162 flocculation of TAPB-BTCA COF nanoparticles as an insoluble yellow powder, hereafter termed 163 **TAPB-BTCA COF(s)**. After flocculation, **TAPB-BTCA COF(s)** could be simply isolated from 164 the reaction mixture by centrifugation. TAPB-BTCA COF(s) was characterized by Fourier-165 transform infrared (FT-IR) spectroscopy and solid-state Cross Polarization/Magic Angle Spinning Nuclear Magnetic Resonance (13C CP-MAS NMR). FT-IR spectra confirmed the presence of imine 166 bonds through the appearance of the characteristic imine C=N stretching band at 1623 cm<sup>-1</sup> (Fig. 167 S4), while solid state <sup>13</sup>C CP-MAS NMR spectra exhibited the representative signal of the imine 168 carbon atom at 157.1 ppm (Fig. S5). Additionally, powder X-ray diffraction (PXRD) patterns of 169 170 **TAPB-BTCA COF(s)** (Fig. S6) were in excellent accordance with those previously reported for this material.<sup>17</sup> It should be noted that the measured PXRD peaks were broader than those usually 171 observed for TAPB-BTCA COF(s) prepared by conventional bulk synthetic methods, 11 172 suggesting the presence of smaller crystalline domains in **TAPB-BTCA COF(s)**. <sup>25</sup> The permanent 173 porosity of TAPB-BTCA COF(s) was also confirmed by nitrogen adsorption isotherm 174 175 measurements on previously activated samples, showing a characteristic isotherm with a Brunauer-Emmet-Teller (BET) area ( $A_{BET}$ ) of 687 m<sup>2</sup> g<sup>-1</sup> at 77 K (**Fig. S7**). Finally, the CO<sub>2</sub> and water 176 sorption properties of TAPB-BTCA COF(s) were also measured (Fig. S8 and S9). It was found 177 to be porous to CO<sub>2</sub> with a total uptake of 9 mmol g<sup>-1</sup> at 203 K and 760 torr (1 mmol g<sup>-1</sup> at 298 K 178

and 760 torr). Moreover, water-vapour sorption isotherms showed a step between 40-50% relative

humidity, after which the water uptake increases monotonically until a maximum of 15% in mass  $(0.15~g_{water}~g_{COF}^{-1})$ , which is the typical behaviour for this class of materials bearing hydrophobic walls.<sup>11</sup>

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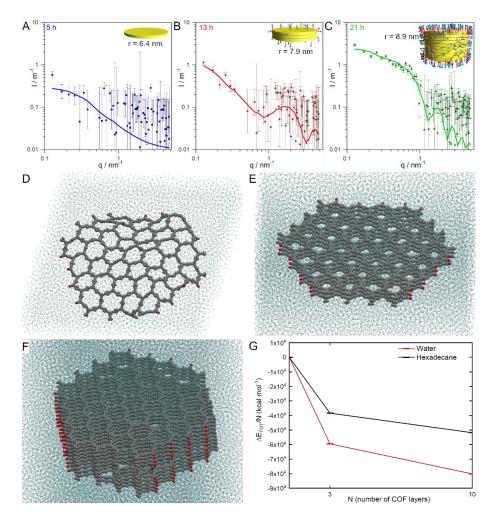
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To clarify the processes underlying the formation of TAPB-BTCA COF nanoparticles in the catanionic micellar medium, time-resolved in-situ DLS and SAXS experiments were performed. DLS indicated that the average hydrodynamic diameter of colloidal particles increased during the first few hours (after the addition of acetic acid), leveling off to yield a final average hydrodynamic diameter of 16 nm (Fig. S10). In contrast, when the synthesis was performed in pure CTAB micelles (i.e. without SDS), the size of **TAPB-BTCA COF** continued to increase until precipitation occurred. Accordingly, the role of the anionic surfactant was clearly evidenced, with SDS reducing the electrostatic repulsion of CTAB heads in the micellar aggregates (i.e. decreasing the surface energy), and favoring the formation of assemblies with lower curvatures. 19,26 This in turn, facilitated the colloidal stabilization of COF oligomers and the final TAPB-BTCA COF nanoparticles by the CTAB/SDS mixed surfactant system, even over extended periods of time. Time-resolved SAXS experiments provided further insights into the growth mechanism of TAPB-BTCA COF nanoparticles. SAXS spectra of the two micellar solutions containing the TAPB and **BTCA** precursors (in the presence of acetic acid) indicated the existence of  $4.8 \pm 2$  nm diameter spherical micelles; comparable to what it was observed in pure CTAB/SDS (97:3) solutions (Fig. **S11**). These data indicate that solubilization of COF precursors has a negligible effect on the size and shape of the CTAB/SDS micellar aggregates. However, after mixing the two micellar solutions loaded with COF precursors, clear changes in the SAXS profiles were observed as a function of time. Scattering profiles at selected reaction times (5, 13, and 21 hours) are shown in Fig. 2, along with their best fits obtained from the used scattering model (further details are provided in

Supplementary Materials). These three SAXS spectra describe three different regimes during the 203 204 progress of the reaction (Fig. 2A-C and Fig. S12). At short reaction times (5 hours in Fig. 2A), SAXS profiles fit well to a disk-particle model with a radius of 6.4 nm and a thickness of 0.354 205 206 nm, which corresponds to a single layer of bare TAPB-BTCA COF (Fig. S13A and Table S1). 207 As the reaction proceeded (13 hours in Fig. 2B), SAXS data showed a significant increase in intensity at low values of the scattering vector ( $q < 1 \text{ nm}^{-1}$ ), together with the appearance of a broad 208 feature around 2 nm<sup>-1</sup>, suggesting changes of electron density contrast (further discussion is 209 provided in the Supplementary Materials).<sup>27</sup> This spectrum could then be better fitted to a COF-210 core@double-shell disk model, with a core thickness of 0.91 nm corresponding to a three-layered 211 212 TAPB-BTCA COF stack surrounded by surfactant molecules (Fig. S13B and Table S1). At longer reaction times (21 hours in **Fig. 2C**), the SAXS profile showed a marked change at  $q < 1 \text{ nm}^{-1}$ , with 213 a clear slope variation at 0.5 nm<sup>-1</sup>. This spectrum could also be described using a COF-214 215 core@double-shell disk model, but with a core radius of 11 nm and a thickness of 3.74 nm (Fig. S13B and Table S1). This thickness corresponds to ten-layered TAPB-BTCA COF stacks fully 216 217 covered by surfactant. Importantly, these extracted values were in good agreement with the overall size of the colloidal particles as measured by DLS and cryo-TEM. It should be noted that the 218 formation of a compact surfactant layer around the COF nanoparticles is crucial for their 219 stabilization in the reaction mixture, preventing further growth and flocculation. Accordingly, 220 SAXS data suggest that after an initial phase of lateral growth by covalent polymerization, the 221 222 increase in size of **TAPB-BTCA COF** nanoparticles is essentially driven by the  $\pi$ - $\pi$  stacking of 223 COF layers (Table S1). Coarse grained molecular dynamics (CG-MD) simulations of single-, 224 three- and ten-layered **TAPB-BTCA COF** particles were performed to gain further insight into the 225 forces driving the self-assembly process. The simulations were run in water as well as hexadecane

to simulate the hydrophobic environment of the micellar interior (see Supplementary Materials for further details about the CG model and simulations).



**Fig. 2. Growth of TAPB-BTCA COF nanoparticles.** (**A**), (**B**) and (**C**) SAXS spectra of the reaction mixture at 5, 13 and 21 hours, respectively. Experimental data (symbols) and best fits to the used scattering model (line). The insets illustrate the species measured at the three different regimes, with yellow disks representing the **TAPB-BTCA COF** core, red cylinders the hydrophobic tails of the surfactants, and blue spheres their polar heads. (**D**), (**E**) and (**F**) Snapshots of **TAPB-BTCA COF** assemblies comprising 1, 3 and 10 layers respectively, after CG-MD simulation in water. (**G**) Total energy for the simulations of **TAPB-BTCA COF** assemblies in water and hexadecane. Energies are normalized by dividing the total energy by the number of layers, *N*.

Fig. 2 D-F present snapshots of the equilibrated assemblies in water (see Fig. S14 for the associated simulations in hexadecane). Simulations confirmed the strong cooperativity in the interaction of the COF layers in both solvents, with a significant reduction in the normalized energy per-layer for the three and ten-layered **TAPB-BTCA COF** particles when compared to the isolated monolayer (**Fig. S15**). The calculated energy of stabilization was similar in both solvents (**Fig. S15**). This suggests that there is a strong preference for COF layers to aggregate, even within the hydrophobic environment, due to a direct enthalpic contribution. However, when considering the total energy of the simulated system (i.e. including the solvent contribution), there is a clear difference between the behavior of the system in water or hexadecane (Fig. 2G). Specifically, COF layers are more likely to aggregate in water (higher cooperativity), suggesting that in this case the driving force for aggregation predominantly arises due to an increase in the water-water interactions upon COF aggregation; a signature of the hydrophobic effect. In addition, MD simulations reveal a higher flexibility of the COF single-layer, which deformed significantly during the simulations (e.g. Fig. 2D and Fig. S14) compared to the stacked systems (Fig. 2E-F). These data also explain the greater tendency of surfactant molecules to interact with thicker assemblies (as measured by SAXS), since rigid COF stacks have more extended hydrophobic patches (e.g. pore walls) than rippled singlelayers. In addition to the importance of obtaining colloidal solutions of sub-20 nanometer COF particles in water, the described methodology also offers new opportunities for particle processing. Indeed, until now, a major limitation for the further implementation of COFs outside of laboratory environments has been their unprocessable nature.<sup>28</sup> Here, we show that by controlling the flocculation and aggregation of **TAPB-BTCA COF** nanoparticles in the reaction mixture (through the addition of ethanol), 2D and 3D TAPB-BTCA COF shapes could be easily achieved. For

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example, films of TAPB-BTCA COF(s) on the millimeter scale were prepared by confining a concentrated reaction mixture into a home-made microengineered clamp (Fig. 3A), followed by evaporation of the solvent. The concentrated reaction mixture was prepared by exchanging water for ethanol (further details are provided in the Supplementary Materials). We observed that highly uniform freestanding films with thicknesses of approximately 500 ± 10 nm were efficiently obtained via this approach (Fig. 3B). Alternatively, reducing the size of the home-made microengineered clamp to squares of 500 µm lateral size or even changing its 2D shape to 3D morphologies led to the generation of smaller TAPB-BTCA COF(s) films (Fig. S16) or 3D octahedrons (Fig. 3C and Supplementary Materials). SEM analysis of these structures showed a nanoparticulated texture similar to the one observed for TAPB-BTCA COF(s) (Fig. S17). These data indicate that the processing steps allowed **TAPB-BTCA COF(s)** to be shaped into 2D and 3D morphologies, with negligible reductions in the integrity of the COF material. Additionally, PXRD patterns of these structures were identical to those previously reported in the literature for this COF (**Fig. S18A**). Interestingly, the controlled diffusion of ethanol to the reaction mixture through a 3D flow-focusing microfluidic device allowed us to generate a processable COF ink from the initial colloidal solution. Indeed, the laminar flow conditions operating within such a device provided control over the flocculation and aggregation of TAPB-BTCA COF nanoparticles (Fig. 3D). Accordingly, a direct printing of **TAPB-BTCA COF(s)** onto surfaces was possible through the tubing connected to the outlet of the microfluidic device (Fig. 3E and 3F and Video S1). PXRD analysis of the printed structures confirmed that **TAPB-BTCA COF(s)** was deposited (**Fig. S18B**).

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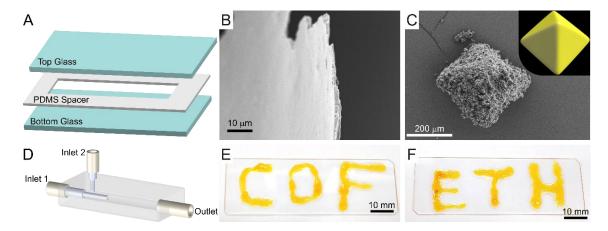


Fig. 3. Processability of the reaction mixture. (A) Schematic illustration of the home-made microengineered clamp used to generate TAPB-BTCA COF(s) films. (B) SEM image of the cross-section of a freestanding mm-sized film obtained using the setup shown in (A). (C) SEM image of a TAPB-BTCA COF(s) octahedron (500 μm edge). (D) Schematic illustration of the continuous 3D flow-focusing microfluidic device used to print TAPB-BTCA COF(s). The reaction mixture was directly injected through inlet 1, while ethanol was introduced via inlet 2. (E) and (F) Photographs of "COF" and "ETH" printed with TAPB-BTCA COF on a planar surface using the device shown in (D).

To demonstrate the generality of our method, we prepared another imine-based COF, namely **Tz-COF** <sup>29</sup> via the reaction of 2,4,6-tris(4-aminophenyl)-1,3,5-triazine and **BTCA** in a CTAB/SDS (97:3) mixture. SEM, DLS, and PXRD analysis clearly confirmed the formation of **Tz-COF** particles with a size distribution centered around 20 nm (**Fig. S19-S22**).<sup>30</sup> Permanent porosity was measured using BET analysis, with results agreeing with previously reported values for the same COF material (**Fig. S23**).<sup>31</sup> Finally, it is significant to note that our method can be extended to MOFs. To demonstrate such generality, we synthesized a prototypical MOF that requires harsh conditions to crystalize, *i.e.* **MIL-100(Fe)**<sup>15</sup>. *In-situ* synchrotron X-ray diffraction measurements of the homogenous reaction mixture clearly confirmed the formation of **MIL-100(Fe)** (**Fig. S24**). Furthermore, DLS measurements of drop-cast reaction mixtures indicated a particle size distribution centered around 20 nm (**Fig. S25**). To the best of our knowledge, this is the smallest size reported for this biodegradable and non-toxic MOF.<sup>32</sup> After flocculation of the colloid with

ethanol, PXRD and BET analysis of the resulting powder additionally confirmed the formation of MIL-100(Fe), (Fig. S26 and Fig. S27, respectively). Surprisingly, and in spite of the nanometer size of the generated MIL-100(Fe) particles, the measured BET surface area was high (1068 m<sup>2</sup>g<sup>-1</sup>).

### **Discussion**

In summary, we have demonstrated a mild procedure for the preparation of stable aqueous colloidal solutions of crystalline imine-linked COF nanoparticles assisted by micelles of a *catanionic* surfactant mixture. The micellar medium provides control over the growth of the COF crystallites, which allowed us to reach the smallest size for COF particles among those reported so far. Additionally, by a combination of experimental and computational studies, we were able to shed light into the mechanism and forces underlying the growth of such COF colloids. Note that this mechanistic study is unprecedented for imine-based COFs. Remarkably, the colloidal nature of the formed imine-based COF nanoparticles enabled their processing into 2D and 3D shapes, as well as the generation of an ink for their direct printing onto surfaces. Finally, to demonstrate the generality of our method we extended it to the preparation of colloidal nanoparticles of other porous crystalline materials, such as MOFs. We foresee that the preparation of chemically stable and easily processable imine-based COF colloids will open the door to new applications of these materials, for example in the field of functional devices, due to improved integration possibilities, or biomedicine, thanks to improved bioavailability.

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- 436 **Competing interests:** A patent related to the work presented in this document has been filed.
- Data and materials availability: All data needed to evaluate the conclusions in the article is
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- 439 **Supplementary Materials:** Materials and Methods; Supplementary Text; Figures S1-S31;
- Tables S1; Movie S1.

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